



**STEVEN L. BESHEAR
GOVERNOR**

**COMMONWEALTH OF KENTUCKY
PERSONNEL CABINET
501 High Street, 2nd Floor
FRANKFORT, KY 40601
www.kentucky.gov**

**TIMOTHY LONGMEYER
SECRETARY**

4TH QUARTER 2013

KEHP TRUST FUND STATUS REPORT

(FOR THE PERIOD ENDING DECEMBER 31, 2013)

In accordance with the provisions of KRS 18A.2254(3)(f), the attached document constitutes the 4th Quarter, 2013 report on the status of the Kentucky Employee's Health Plan (KEHP) Trust Fund. As directed by statute, this report will be provided to the Governor, the Interim Joint Committee on Appropriations and Revenue, the Kentucky Group Health Insurance Board, and the Advisory Committee of State Health Insurance Subscribers.

The report includes, as of December 31, 2013:

1. The current balance of the trust fund;
2. A detailed description of all income to the trust fund;
3. A detailed description of all receipts due to the trust fund;
4. The total amount of payments made for claims from the trust fund;
5. A detailed description of all payments made to the third party administrator (TPA);
6. Current enrollment data;
7. Any other information provided by the Secretary; and
8. Any other information requested by the Interim Joint Committee on Appropriations and Revenue.
9. Additional reports provided for 2nd and 4th quarter reports:
 - a. A projection of medical claims incurred but not yet reported that are considered liabilities to the trust fund
 - b. A statement of any other trust fund liabilities
 - c. A detailed calculation of premium rates including base claims, trend assumptions, administrative fees and any benefit and plan changes
 - d. A detailed description of the current in-state and out-of-state networks provided under the plan;

- e. Specific data regarding the TPA's performance, including
 - i. Results or outcomes of disease management and wellness programs;
 - ii. Results of case management audits and educational and communication efforts;
 - iii. Comparison of actual measurable results to contract performance guarantees

1. Current Trust Fund Balance:

As of June 30, 2013, the balance of the self-insurance trust fund was \$156,410,895.35, which includes accounting for the 2006–2013 plan years, including reserves for Healthcare Reimbursement Accounts (HRA). Along with the detailed transactions, summary reports for each plan year, as well as a combined summary, have been provided.

2-5. Detailed Description of Trust Fund Transactions:

Detailed transactions of the trust fund are contained in **Attachment A**. Monthly detailed transaction reports have been provided to the Legislative Research Commission. A month by month summary of the Trust Fund accounting by premiums, interest income, drug rebates, claims and ASO fees is included as **Attachment B**.

6. Current Enrollment Data:

Included as **Attachment C** are charts that show the number of employees and the number of lives covered under the plan. The totals shown reflect the same information as provided in the previous report, updated to include the most recent quarter's data.

7. Additional Information Provided by the Secretary of the Personnel Cabinet:

- a. **Early Retiree Reinsurance Program (ERRP).** In an effort to slow the recent erosion in employer-based retiree health coverage, the Patient Protection and Affordable Care Act established a temporary program to reimburse employment-based plans for a portion of the costs they incur providing health coverage to early retirees, ages 55-64 (i.e. non-Medicare eligible).

Under Early Retiree Reinsurance Program ("ERRP"), as interpreted by regulations issued by the Department of Health and Human Services (HHS), a plan sponsor may be reimbursed for 80% of the qualifying retiree health benefit costs paid by its health plan. Qualifying costs attributable to an early retiree for a particular plan year are limited to those that exceed \$15,000 and do not exceed \$90,000. HHS originally set aside \$5 billion to pay these early retiree reimbursements.

Plan sponsors must use reimbursements under the program to lower their health benefit premiums or costs, to lower costs for plan participants, or any combination of the two. See 45 CFR § 149.200(a). The Commonwealth of Kentucky ERRP application stated:

Any funds received through reimbursement under the Early Retiree Reinsurance Program will be used (1) to offset increases in health benefit costs under KEHP for the Commonwealth of Kentucky, (2) to reduce premium contributions, copayments, deductibles, coinsurance, or other out-of-pocket costs for some or all participants in KEHP, or (3) both to offset increases in the Commonwealth's costs and to reduce participants' costs under KEHP...The Commonwealth expects that most or all of the funds it receives in 2010 or 2011 will be used to reduce future health care inflation trend costs for KEHP in 2012...By applying the funds in this manner, the Commonwealth anticipates that it will be able to maintain KEHP benefits for 2012 at a higher level, and/or to keep participant contributions and cost-sharing amounts

under KEHP for 2012 at a lower level, than these amounts would be if the Commonwealth received no reimbursements from the Early Retiree Reinsurance Program. To the extent that the reimbursement funds are applied to offset health care inflation trend costs, the funds will benefit all participants in KEHP.

Reimbursements may not be used as general revenue for the plan sponsor. See PPACA, Pub. L. No. 111-148, § 1102(c)(4) (2010); 45 CFR § 149.200(b). In order to ensure that reimbursements are not used as general revenue, sponsors who use reimbursements to lower their own health benefit premiums or costs must maintain their level of financial effort in supporting the plan. Such sponsors can use reimbursements to cover their share of premium increases from year to year, but they cannot use reimbursements to simply lower their level of plan contributions. See Preamble to Early Retiree Reinsurance Program, 75 Fed. Reg. 24450 (May 5, 2010). The sponsor must explain in the program application how it will maintain its contribution to the plan, as required by 45 CFR 149.40(a)(5)(iii).

Whether a sponsor has satisfied the maintenance of effort requirement generally will be determined by comparing the sponsor's health benefit costs (including premiums) for all plan participants for the applicable year to the sponsor's costs for the plan year cycle ending immediately prior to submission of the plan's certification application.

Early Retiree Reinsurance Program FAQs state: “[t]he regulations explain that the purpose of the maintenance of effort requirement is to prohibit sponsors from using program funds as general revenue. If a sponsor could show maintenance of effort by showing it paid the same percentage of total costs, such an approach would incentivize the deterioration of health benefits because sponsors could pay less to sponsor a plan by sponsoring a less robust plan but receive the same amount of reimbursement under the program, which is contrary to the purpose of the statute and our regulations.”

The Commonwealth of Kentucky's ERRP Application response about uses of ERRP funds and maintenance of effort, in relevant part, states:

...For plan year 2010, the average annual employer contribution, based on enrollment, is estimated to be \$8,348.04 per participant. The average annual employer contribution for plan year 2012 is estimated to be \$8,515.08 per participant. As a result of these budgeted increases in employer contributions, the Commonwealth's level of support for KEHP is projected to be at least equal to and, in fact, greater than its support during plan year 2010.

The Commonwealth of Kentucky, as plan sponsor, had received \$95,474,715.51. Following the guidance of the Early Retiree Reinsurance Program FAQs the ERRP funds were deposited into a sub-account of the public employee health insurance trust fund, in preparation for using the funds compliant with the Commonwealth's application and 45 CFR § 149.200 (a) and (b) in plan year 2013 and/or plan year 2014.

ERRP Funds are being used in compliance with EERP program requirements for plan year 2013. As of December 31, 2013, the Commonwealth of Kentucky, as plans sponsor, has an EERP account balance of \$85,666,719.68.

- b. **House Bill (HB) 265:** HB 265 – enacted during the 2012 regular session of the Kentucky General Assembly and subjected to line item veto by the Governor – addresses certain issues with respect to the finances of the Kentucky Employees' Health Plan. Following the line item veto, the legislation reads in pertinent part as follows: "State Group Health Insurance Plan - Plan Year Closure: Notwithstanding KRS 18A.2254, plan years 2006, 2007, 2008, and 2009 shall be considered closed as of December 31, 2011, and all balances from those plan years shall be transferred to Plan Year 2010. All other income and expenses attributable to the closed plan years shall be deposited in or charged to the Plan Year 2010 account after that date. Notwithstanding KRS 18A.2254, transfer of funds from Plan Year 2010 is authorized." KEHP has made the necessary adjustments to its financial statements as directed by HB265.

8. Additional Information as Requested by the Committee:

No additional information has been requested by the Committee for inclusion in this report.

9. Additional reports provided for 2nd and 4th quarter reports:

- a. **A projection of medical claims incurred but not yet reported that are considered liabilities to the trust fund:**

A statement of medical claims incurred but not yet reported (IBNR) as of December 31, 2013 is being prepared by KEHP's actuarial consultant, Aon-Hewitt. As of the date of this report, the IBNR report had not been completed. This report will be updated with an IBNR report as **Appendix D** as soon as the report is received.

- b. **A statement of any other trust fund liabilities:**

A statement of other trust fund liabilities as of December 31, 2013 is being prepared by KEHP's accounting consultant, Blue & Company. As of the date of this report, the statement of other trust fund liabilities had not been completed. This report will be updated with a statement of other trust fund liabilities as soon as the statement is received.

- c. **A detailed calculation of premium rates including base claims, trend assumptions, administrative fees and any benefit and plan changes:**

As required by Kentucky Revised Statute (KRS) 18A.2254(1)(a), premium rates for the 2014 Plan Year were set forth in the 2014 KEHP Benefits Selection Guide, which was filed as a part of and appended to 101 Kentucky Administrative Regulation (KAR) 210 on or about September 15, 2013.

- d. **A detailed description of the current in-state and out-of-state networks provided under the plan:**

Due to the addition of new providers and termination of established providers, provider networks change on a continuous basis and printed directories are often incomplete. As a result, KEHP's Third Party Administrator (TPA) — Humana — encourages the use of on-line provider directories, which are updated at least weekly. The link to Humana's *Physician Finder Plus*, which provides the capability to search for a provider based on provider type and location, is:

<http://www.humana.com/members/explore/> (the “Provider Search” feature is located at the bottom right of the page). Humana’s Customer Service Center can provide assistance in locating a participating provider. Other than normal provider contracting activities, no proposed network changes are currently pending.

e. Specific data regarding the TPA’s performance, including

i. Results or outcomes of disease management and wellness programs:

Results or outcomes of disease management and wellness programs are included in **Attachment E**, which contains information provided by Humana’s disease management subsidiary, Humana Health.

Additional results or outcomes of disease management and wellness programs are included in **Attachment F**, which contains information provided by Humana and the Department of Employee Insurance (DEI).

ii. Results of case management audits and educational and communication efforts:

Results or outcomes of case management and educational and communication efforts are included in **Attachment E**, which contains information provided by Humana’s case management and utilization management subsidiary, Humana Health.

iii. Comparison of actual measurable results to contract performance guarantees:

KEHP’s Third Party Administrator (TPA) — Humana — missed the goal of telephone handle time. The goal was 80% of calls within the time standard and their SPAA unit achieved only 79.9%. Humana met all other contract performance standards for the 4th Quarter of 2013. A comparison of actual measurable results to contract performance guarantees is included in the report appended hereto as **Attachment G**.

KEHP’s Pharmacy Benefits Manager (PBM) — Express Scripts — failed to meet several contract performance standards for the 4th Quarter of 2013 and as of this date, penalties are pending. Express Scripts did not meet Average Speed of Answer, which should be 30 seconds or less. In the 4th quarter, ESI’s Average Speed of Answer was 74.99 seconds. They also did not meet the performance requirements for Transaction Data Transfer, for Utilization Data Transfer or for Data provided to the Insurance Vendor. A comparison of actual measurable results to contract performance guarantees is included in the report appended hereto as **Attachment H**.

Kentucky Employees' Health Plan – Status Report

Attachment A

4th Quarter, 2013

Page A-1

DATE	DESCRIPTION	AMOUNT	LRC Code	LRC Description	Plan Year
	Beginning Balance	\$199,162,207.18			
11/04/13	INTEREST DISTRIBUTION OCTOBER 2013	5,044.36	110	Interest Income	2010
10/03/13	MEDICAL ESCHEATS AUGUST 2013 PY 2010	22.95	201	Medical Claims	2010
10/03/13	CLAIM RECOVERY AUGUST 2013 PY 2010	44,321.54	201	Medical Claims	2010
10/02/13	REPLACEMENT ESCHEATED CHECKS	(130.00)	201	Medical Claims	2010
10/02/13	REPLACEMENT ESCHEATED CHECKS	(139.64)	201	Medical Claims	2010
10/02/13	REPLACEMENT ESCHEATED CHECKS	(1,086.00)	201	Medical Claims	2010
10/02/13	REPLACEMENT ESCHEATED CHECKS	(108.63)	201	Medical Claims	2010
10/02/13	REPLACEMENT ESCHEATED CHECKS	(111.35)	201	Medical Claims	2010
10/02/13	REPLACEMENT ESCHEATED CHECKS	(100.40)	201	Medical Claims	2010
10/24/13	UNCLAIMED PROPERTY FOR HLTH INS ESCHEATS	(8,413.72)	201	Medical Claims	2010
10/01/13	MEDICAL CLAIMS 2010	134.53	201	Medical Claims	2010
10/04/13	MEDICAL CLAIMS 2010	(8,028.91)	201	Medical Claims	2010
10/07/13	MEDICAL CLAIMS 2010	(31.49)	201	Medical Claims	2010
10/08/13	MEDICAL CLAIMS 2010	204.26	201	Medical Claims	2010
10/09/13	MEDICAL CLAIMS 2010	(47.77)	201	Medical Claims	2010
10/11/13	MEDICAL CLAIMS 2010	76.67	201	Medical Claims	2010
10/15/13	MEDICAL CLAIMS 2010	(10,599.99)	201	Medical Claims	2010
10/18/13	MEDICAL CLAIMS 2010	409.86	201	Medical Claims	2010
10/22/13	MEDICAL CLAIMS 2010	62.75	201	Medical Claims	2010
10/24/13	MEDICAL CLAIMS 2010	(1,799.57)	201	Medical Claims	2010
10/25/13	MEDICAL CLAIMS 2010	23.78	201	Medical Claims	2010
10/28/13	MEDICAL CLAIMS 2010	(81.63)	201	Medical Claims	2010
10/29/13	MEDICAL CLAIMS 2010	(3,024.30)	201	Medical Claims	2010
10/30/13	MEDICAL CLAIMS 2010	(4,051.16)	201	Medical Claims	2010
10/31/13	HUMANA SHARED SAVINGS 08/2013	(0.33)	202	Humana Admin	2010
10/02/13	HRA WAIVER CLAIM RECOVERY AUGUST 2013 PY 2010	148.09	204	HRA Waiver Claims	2010
10/30/13	HRA WAIVER CLAIM RECOVERY SEPTEMBER 2013 PY 2010	20.00	204	HRA Waiver Claims	2010
10/24/13	UNCLAIMED PROPERTY FOR HRA ESCHEATS	(8,300.26)	204	HRA Waiver Claims	2010
11/04/13	INTEREST DISTRIBUTION OCTOBER 2013	4,442.31	110	Interest Income	2011
10/03/13	MEDICAL VOIDS AUGUST 2013 PY 2011	488.75	201	Medical Claims	2011
10/03/13	MEDICAL ESCHEATS AUGUST 2013 PY 2011	(93.92)	201	Medical Claims	2011
10/03/13	CLAIM RECOVERY AUGUST 2013 PY 2011	205,566.34	201	Medical Claims	2011
09/30/13	MEDICAL CLAIMS 2011	(380.57)	201	Medical Claims	2011
10/01/13	MEDICAL CLAIMS 2011	(3,551.23)	201	Medical Claims	2011
10/02/13	MEDICAL CLAIMS 2011	(1,128.15)	201	Medical Claims	2011
10/03/13	MEDICAL CLAIMS 2011	(559.98)	201	Medical Claims	2011
10/04/13	MEDICAL CLAIMS 2011	(866.81)	201	Medical Claims	2011
10/07/13	MEDICAL CLAIMS 2011	(9,821.42)	201	Medical Claims	2011
10/08/13	MEDICAL CLAIMS 2011	(3,477.46)	201	Medical Claims	2011
10/09/13	MEDICAL CLAIMS 2011	34.51	201	Medical Claims	2011
10/10/13	MEDICAL CLAIMS 2011	(621.72)	201	Medical Claims	2011
10/11/13	MEDICAL CLAIMS 2011	(5,334.69)	201	Medical Claims	2011
10/15/13	MEDICAL CLAIMS 2011	(7,863.30)	201	Medical Claims	2011
10/17/13	MEDICAL CLAIMS 2011	(10,208.64)	201	Medical Claims	2011
10/18/13	MEDICAL CLAIMS 2011	(8,486.66)	201	Medical Claims	2011
10/21/13	MEDICAL CLAIMS 2011	(349.83)	201	Medical Claims	2011
10/22/13	MEDICAL CLAIMS 2011	(703.50)	201	Medical Claims	2011
10/23/13	MEDICAL CLAIMS 2011	(1,234.18)	201	Medical Claims	2011
10/24/13	MEDICAL CLAIMS 2011	(863.75)	201	Medical Claims	2011
10/25/13	MEDICAL CLAIMS 2011	(3,235.47)	201	Medical Claims	2011
10/28/13	MEDICAL CLAIMS 2011	(569.61)	201	Medical Claims	2011
10/29/13	MEDICAL CLAIMS 2011	(37,306.39)	201	Medical Claims	2011
10/30/13	MEDICAL CLAIMS 2011	(1,966.98)	201	Medical Claims	2011
10/31/13	HUMANA SHARED SAVINGS 09/2013	(210.00)	202	Humana Admin	2011
10/31/13	HUMANA SHARED SAVINGS 08/2013	123.49	202	Humana Admin	2011
10/02/13	HRA SELECT ESCHEATED CHECKS AUGUST 2013 PY 2011	10.85	203	HRA Select Claims	2011
10/30/13	CLAIM RECOVERY HRA SELECT SEPTEMBER 2013 PY 2011	45.00	203	HRA Select Claims	2011
10/30/13	HRA SELECT ESCHEATED CHECKS SEPTEMBER 2013 PY 2011	1,818.71	203	HRA Select Claims	2011

Kentucky Employees' Health Plan – Status Report

Attachment A

4th Quarter, 2013

Page A-2

DATE	DESCRIPTION	AMOUNT	LRC Code	LRC Description	Plan Year
10/02/13	HRA WAIVER ESCHEATED CHECKS AUGUST 2013 PY 2011	969.68	204	HRA Waiver Claims	2011
10/02/13	HRA WAIVER CLAIM RECOVERY AUGUST 2013 PY 2011	29.99	204	HRA Waiver Claims	2011
10/30/13	HRA WAIVER VOIDS SEPTEMBER 2013 PY 2011	(54.77)	204	HRA Waiver Claims	2011
10/16/13	HRA CLAIM PAYMENTS (HRA WAIVER 2011)	(36.00)	204	HRA Waiver Claims	2011
10/22/13	EXPRESS SCRIPTS 10/22/2013	(34.01)	301	Pharmacy Claims	2011
10/29/13	EXPRESS SCRIPTS 10/29/2013	(127.96)	301	Pharmacy Claims	2011
10/25/13	EXPRESS SCRIPTS 10/21/2013	(12.50)	302	Express Scripts Admin	2011
10/03/13	EPAY-HEALTH PREMIUMS 2012	220.00	101	Premiums	2012
11/04/13	INTEREST DISTRIBUTION OCTOBER 2013	(495.85)	110	Interest Income	2012
10/30/13	INTEREST EARNED @ BOA 3RD QTR 2013 HRA SELECT PLAN YEAR 12	0.09	110	Interest Income	2012
10/30/13	INTEREST EARNED @ BOA 3RD QTR 2013 HRA WAIVER PLAN YEAR 12	0.57	110	Interest Income	2012
10/03/13	CLAIM RECOVERY AUGUST 2013 PY 2012	1,010,976.52	201	Medical Claims	2012
10/03/13	MEDICAL VOIDS AUGUST 2013 PY 2012	15,479.48	201	Medical Claims	2012
10/03/13	MEDICAL ESCHEATS AUGUST 2013 PY 2012	7,741.83	201	Medical Claims	2012
09/30/13	MEDICAL CLAIMS 2012	(61,384.50)	201	Medical Claims	2012
10/01/13	MEDICAL CLAIMS 2012	(35,981.64)	201	Medical Claims	2012
10/02/13	MEDICAL CLAIMS 2012	(8,600.70)	201	Medical Claims	2012
10/03/13	MEDICAL CLAIMS 2012	(13,130.85)	201	Medical Claims	2012
10/04/13	MEDICAL CLAIMS 2012	(81,539.04)	201	Medical Claims	2012
10/07/13	MEDICAL CLAIMS 2012	(43,122.23)	201	Medical Claims	2012
10/08/13	MEDICAL CLAIMS 2012	(12,296.41)	201	Medical Claims	2012
10/09/13	MEDICAL CLAIMS 2012	(18,185.56)	201	Medical Claims	2012
10/10/13	MEDICAL CLAIMS 2012	(9,582.15)	201	Medical Claims	2012
10/11/13	MEDICAL CLAIMS 2012	(25,751.45)	201	Medical Claims	2012
10/15/13	MEDICAL CLAIMS 2012	(37,919.73)	201	Medical Claims	2012
10/16/13	MEDICAL CLAIMS 2012	(12,738.15)	201	Medical Claims	2012
10/17/13	MEDICAL CLAIMS 2012	(21,875.84)	201	Medical Claims	2012
10/18/13	MEDICAL CLAIMS 2012	(36,490.12)	201	Medical Claims	2012
10/21/13	MEDICAL CLAIMS 2012	(58,978.03)	201	Medical Claims	2012
10/22/13	MEDICAL CLAIMS 2012	(96,549.66)	201	Medical Claims	2012
10/23/13	MEDICAL CLAIMS 2012	(8,556.71)	201	Medical Claims	2012
10/24/13	MEDICAL CLAIMS 2012	(157,074.93)	201	Medical Claims	2012
10/25/13	MEDICAL CLAIMS 2012	(45,294.38)	201	Medical Claims	2012
10/28/13	MEDICAL CLAIMS 2012	(2,132.87)	201	Medical Claims	2012
10/29/13	MEDICAL CLAIMS 2012	(66,087.27)	201	Medical Claims	2012
10/30/13	MEDICAL CLAIMS 2012	(47,883.72)	201	Medical Claims	2012
10/31/13	HUMANA SHARED SAVINGS 09/2013	(3,459.44)	202	Humana Admin	2012
10/31/13	HUMANA SHARED SAVINGS 08/2013	(6,415.03)	202	Humana Admin	2012
10/02/13	HRA SELECT VOIDS AUGUST 2013 PY 2012	89.00	203	HRA Select Claims	2012
10/02/13	CLAIM RECOVERY HRA SELECT AUGUST 2013 PY 2012	924.97	203	HRA Select Claims	2012
10/30/13	HRA SELECT ESCHEATED CHECKS SEPTEMBER 2013 PY 2012	1,342.61	203	HRA Select Claims	2012
10/30/13	CLAIM RECOVERY HRA SELECT SEPTEMBER 2013 PY 2012	1,649.87	203	HRA Select Claims	2012
10/30/13	HRA SELECT VOIDS SEPTEMBER 2013 PY 2012	2,430.66	203	HRA Select Claims	2012
10/02/13	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(500.00)	203	HRA Select Claims	2012
10/03/13	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(1,501.11)	203	HRA Select Claims	2012
10/04/13	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(129.91)	203	HRA Select Claims	2012
10/08/13	HRA CLAIM PAYMENTS (HRA SELECT 2012)	138.53	203	HRA Select Claims	2012
10/10/13	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(2,548.57)	203	HRA Select Claims	2012
10/11/13	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(37.70)	203	HRA Select Claims	2012
10/16/13	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(72.66)	203	HRA Select Claims	2012
10/17/13	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(101.05)	203	HRA Select Claims	2012
10/22/13	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(448.99)	203	HRA Select Claims	2012
10/24/13	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(2,393.00)	203	HRA Select Claims	2012
10/25/13	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(254.67)	203	HRA Select Claims	2012
10/30/13	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(32.21)	203	HRA Select Claims	2012
10/02/13	HRA WAIVER VOIDS AUGUST 2013 PY 2012	3,301.99	204	HRA Waiver Claims	2012
10/02/13	HRA WAIVER ESCHEATED CHECKS AUGUST 2013 PY 2012	878.18	204	HRA Waiver Claims	2012
10/02/13	HRA WAIVER CLAIM RECOVERY AUGUST 2013 PY 2012	1,821.53	204	HRA Waiver Claims	2012

Kentucky Employees' Health Plan – Status Report

Attachment A

4th Quarter, 2013

Page A-3

DATE	DESCRIPTION	AMOUNT	LRC Code	LRC Description	Plan Year
10/30/13	HRA WAIVER CLAIM RECOVERY SEPTEMBER 2013 PY 2012	1,986.06	204	HRA Waiver Claims	2012
10/30/13	HRA WAIVER VOIDS SEPTEMBER 2013 PY 2012	261.48	204	HRA Waiver Claims	2012
09/30/13	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(393.21)	204	HRA Waiver Claims	2012
10/01/13	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(1,664.69)	204	HRA Waiver Claims	2012
10/02/13	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(1,460.10)	204	HRA Waiver Claims	2012
10/03/13	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(933.61)	204	HRA Waiver Claims	2012
10/04/13	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(439.50)	204	HRA Waiver Claims	2012
10/08/13	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	30.00	204	HRA Waiver Claims	2012
10/09/13	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(10.00)	204	HRA Waiver Claims	2012
10/10/13	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(1,045.87)	204	HRA Waiver Claims	2012
10/15/13	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(1,195.69)	204	HRA Waiver Claims	2012
10/16/13	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	36.00	204	HRA Waiver Claims	2012
10/17/13	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(25.00)	204	HRA Waiver Claims	2012
10/18/13	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	539.50	204	HRA Waiver Claims	2012
10/22/13	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(154.00)	204	HRA Waiver Claims	2012
10/24/13	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(76.89)	204	HRA Waiver Claims	2012
10/25/13	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(460.69)	204	HRA Waiver Claims	2012
10/28/13	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(1,184.97)	204	HRA Waiver Claims	2012
10/29/13	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(815.17)	204	HRA Waiver Claims	2012
10/30/13	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(284.70)	204	HRA Waiver Claims	2012
10/01/13	EXPRESS SCRIPTS 10/1/13	(10.72)	301	Pharmacy Claims	2012
10/08/13	EXPRESS SCRIPTS 10/08/2013	1,868.47	301	Pharmacy Claims	2012
10/15/13	EXPRESS SCRIPTS 10/15/2013	104.00	301	Pharmacy Claims	2012
10/22/13	EXPRESS SCRIPTS 10/22/2013	(5.00)	301	Pharmacy Claims	2012
10/29/13	EXPRESS SCRIPTS 10/29/2013	(426.37)	301	Pharmacy Claims	2012
10/04/13	EXPRESS SCRIPTS 10/1/2013	(10.00)	302	Express Scripts Admin	2012
10/11/13	EXPRESS SCRIPTS 10/8/2013	(1.32)	302	Express Scripts Admin	2012
10/22/13	EXPRESS SCRIPTS 10/15/2013	(15.14)	302	Express Scripts Admin	2012
10/25/13	EXPRESS SCRIPTS 10/21/2013	(5.00)	302	Express Scripts Admin	2012
10/09/13	UPPS PAYROLL	12,865,282.33	101	Premiums	2013
10/24/13	UPPS PAYROLL	12,926,169.87	101	Premiums	2013
10/02/13	UPPS PAYROLL	(314.78)	101	Premiums	2013
10/07/13	UPPS PAYROLL	(643.96)	101	Premiums	2013
10/15/13	UPPS PAYROLL	(884.95)	101	Premiums	2013
10/17/13	UPPS PAYROLL	994.99	101	Premiums	2013
10/22/13	UPPS PAYROLL	2,455.31	101	Premiums	2013
10/30/13	UPPS PAYROLL	704.48	101	Premiums	2013
10/18/13	NSF CK 1039	(52.76)	101	Premiums	2013
10/31/13	RETURNED CHECK - FAYETTE CO BOE #00283495	(92.65)	101	Premiums	2013
09/06/13	HEALTH PREMIUMS	152,414.82	101	Premiums	2013
09/30/13	KRS #31100 09/13 HEALTH PREMIUM	176,512.24	101	Premiums	2013
09/30/13	KCTCS #81000 PREMIUM PAYMENT SEPT 2013	45,505.66	101	Premiums	2013
09/30/13	EPAY-HEALTH PREMIUMS 2013	217,301.61	101	Premiums	2013
09/30/13	EPAY-HEALTH PREMIUMS 2013	240,516.19	101	Premiums	2013
10/01/13	HEALTH PREMIUMS	566,647.09	101	Premiums	2013
10/02/13	HEALTH PREMIUMS	986,493.25	101	Premiums	2013
10/03/13	EPAY-HEALTH PREMIUMS 2013	588,320.33	101	Premiums	2013
10/03/13	EPAY-HEALTH PREMIUMS 2013	16,263.22	101	Premiums	2013
10/03/13	HEALTH PREMIUMS	764,197.96	101	Premiums	2013
10/04/13	HEALTH PREMIUMS	710,836.24	101	Premiums	2013
10/07/13	HEALTH PREMIUMS	1,609,571.64	101	Premiums	2013
10/07/13	EPAY-HEALTH PREMIUMS 2013	82,002.32	101	Premiums	2013
10/07/13	EPAY-HEALTH PREMIUMS 2013	226,595.70	101	Premiums	2013
10/07/13	EPAY-HEALTH PREMIUMS 2013	294,727.44	101	Premiums	2013
10/08/13	KRS #31100 10/13 HEALTH PREMIUM	16,289.63	101	Premiums	2013
10/08/13	HEALTH PREMIUMS	548,773.18	101	Premiums	2013
10/09/13	EPAY-HEALTH PREMIUMS 2013	256,858.34	101	Premiums	2013
10/09/13	HEALTH PREMIUMS	276,844.98	101	Premiums	2013
10/10/13	HEALTH PREMIUMS	571,430.89	101	Premiums	2013
10/10/13	EPAY-HEALTH PREMIUMS 2013	31,275.54	101	Premiums	2013
10/11/13	HEALTH PREMIUMS	137,608.56	101	Premiums	2013
10/14/13	HEALTH PREMIUMS	485,986.90	101	Premiums	2013
10/14/13	EPAY-HEALTH PREMIUMS 2013	17,554.86	101	Premiums	2013
10/14/13	EPAY-HEALTH PREMIUMS 2013	76,046.05	101	Premiums	2013
10/14/13	EPAY-HEALTH PREMIUMS 2013	122,587.48	101	Premiums	2013

Kentucky Employees' Health Plan – Status Report

Attachment A

4th Quarter, 2013

Page A-4

DATE	DESCRIPTION	AMOUNT	LRC Code	LRC Description	Plan Year
10/15/13	JEFFERSON CO BOE SEPT 2013 HEALTH	1,532,583.87	101	Premiums	2013
10/15/13	HEALTH PREMIUMS	306,380.25	101	Premiums	2013
10/15/13	JUDICIAL RETIREMENT 10/13	103,543.40	101	Premiums	2013
10/15/13	JUDICIAL RETIREMENT 10/13	6,799.16	101	Premiums	2013
10/15/13	LEGISLATIVE RETIREMENT 10/13	18,426.15	101	Premiums	2013
10/15/13	LEGISLATIVE RETIREMENT 10/13	4,772.99	101	Premiums	2013
10/16/13	COBRA PREMIUMS SEPT 2013	253,997.86	101	Premiums	2013
10/16/13	HEALTH PREMIUMS	281,881.24	101	Premiums	2013
10/17/13	EPAY-HEALTH PREMIUMS 2013	98,677.27	101	Premiums	2013
10/17/13	EPAY-HEALTH PREMIUMS 2013	49,518.30	101	Premiums	2013
10/17/13	HEALTH PREMIUMS	371,807.82	101	Premiums	2013
10/18/13	HEALTH PREMIUMS	29,871.28	101	Premiums	2013
10/21/13	HEALTH PREMIUMS	462,271.03	101	Premiums	2013
10/21/13	EPAY-HEALTH PREMIUMS 2013	37,506.00	101	Premiums	2013
10/21/13	EPAY-HEALTH PREMIUMS 2013	53,484.74	101	Premiums	2013
10/21/13	EPAY-HEALTH PREMIUMS 2013	30,608.84	101	Premiums	2013
10/22/13	HEALTH PREMIUMS	1,170,674.84	101	Premiums	2013
10/23/13	EPAY-HEALTH PREMIUMS 2013	17,587.42	101	Premiums	2013
10/23/13	EPAY-HEALTH PREMIUMS 2013	13,950.90	101	Premiums	2013
10/23/13	HEALTH PREMIUMS	231,549.87	101	Premiums	2013
10/24/13	HEALTH PREMIUMS	158,876.60	101	Premiums	2013
10/25/13	HEALTH PREMIUMS	754,754.89	101	Premiums	2013
10/28/13	HEALTH PREMIUMS	820,402.84	101	Premiums	2013
10/29/13	EPAY-HEALTH PREMIUMS 2013	101,255.49	101	Premiums	2013
10/29/13	EPAY-HEALTH PREMIUMS 2013	26,446.05	101	Premiums	2013
10/29/13	EPAY-HEALTH PREMIUMS 2013	218,717.78	101	Premiums	2013
10/29/13	HEALTH PREMIUMS	239,232.91	101	Premiums	2013
10/30/13	HEALTH PREMIUMS	564,957.47	101	Premiums	2013
10/30/13	KCTCS #45470 PREMIUM PAYMENT OCT 2013	3,313,199.57	101	Premiums	2013
10/30/13	KCTCS #81000 PREMIUM PAYMENT OCT 2013	42,128.96	101	Premiums	2013
10/31/13	HEALTH PREMIUMS	233,892.37	101	Premiums	2013
10/07/13	HLTH INS REFUND	(210.00)	101	Premiums	2013
10/10/13	HLTH INS REFUND	(278.92)	101	Premiums	2013
10/28/13	HLTH INS REFUND	(18.64)	101	Premiums	2013
10/07/13	HLTH INS REFUND	(94.14)	101	Premiums	2013
10/28/13	HLTH INS REFUND	(62.76)	101	Premiums	2013
10/07/13	HLTH INS REFUND	(70.00)	101	Premiums	2013
10/28/13	HLTH INS REFUND	(69.80)	101	Premiums	2013
10/07/13	HLTH INS REFUND	(31.38)	101	Premiums	2013
10/28/13	HLTH INS REFUND	(31.38)	101	Premiums	2013
10/07/13	HLTH INS REFUND	(125.52)	101	Premiums	2013
10/28/13	HLTH INS REFUND	(34.90)	101	Premiums	2013
10/07/13	HLTH INS REFUND	(31.38)	101	Premiums	2013
10/28/13	HLTH INS REFUND	(36.54)	101	Premiums	2013
10/07/13	HLTH INS REFUND	(18.64)	101	Premiums	2013
10/28/13	HLTH INS REFUND	(36.54)	101	Premiums	2013
10/07/13	HLTH INS REFUND	(431.00)	101	Premiums	2013
10/28/13	HLTH INS REFUND	(156.98)	101	Premiums	2013
10/07/13	HLTH INS REFUND	(62.76)	101	Premiums	2013
10/07/13	HLTH INS REFUND	(52.09)	101	Premiums	2013
10/07/13	HLTH INS REFUND	(198.00)	101	Premiums	2013
10/09/13	CORRECT CR5 FUND ACCOUNTING	188.22	101	Premiums	2013
10/09/13	CORRECT CR5 FUND ACCOUNTING	(188.22)	101	Premiums	2013
10/01/13	KDE PREMIUMS SEPT 2013	52,536,609.88	101	Premiums	2013
10/11/13	KRS 10/13 HEALTH PREMIUMS	21,939,013.91	101	Premiums	2013
10/29/13	KTRS 10/13 PREMIUMS	11,594,441.53	101	Premiums	2013
10/09/13	CORRECT PREMIUM CLASSIFICATION	7,997.48	101	Premiums	2013
10/11/13	CORRECT PREMIUM CLASSIFICATION	30,043.22	101	Premiums	2013
10/29/13	CORRECT PREMIUM CLASSIFICATION	11,536.39	101	Premiums	2013
10/09/13	UPPS PAYROLL	344,662.50	102	HRA Waiver Contribution	2013
10/24/13	UPPS PAYROLL	349,125.00	102	HRA Waiver Contribution	2013
10/15/13	UPPS PAYROLL	350.00	102	HRA Waiver Contribution	2013
09/06/13	HRA QUASI DEPOSIT	175.00	102	HRA Waiver Contribution	2013
09/30/13	EPAY-HRA QUASI	525.00	102	HRA Waiver Contribution	2013
09/30/13	EPAY-HRA QUASI	1,225.00	102	HRA Waiver Contribution	2013
10/01/13	HRA QUASI DEPOSIT	1,400.00	102	HRA Waiver Contribution	2013
10/02/13	HRA QUASI DEPOSIT	2,625.00	102	HRA Waiver Contribution	2013

Kentucky Employees' Health Plan – Status Report

Attachment A

4th Quarter, 2013

Page A-5

DATE	DESCRIPTION	AMOUNT	LRC Code	LRC Description	Plan Year
10/03/13	EPAY-HRA QUASI	875.00	102	HRA Waiver Contribution	2013
10/03/13	HRA QUASI DEPOSIT	175.00	102	HRA Waiver Contribution	2013
10/04/13	HRA QUASI DEPOSIT	175.00	102	HRA Waiver Contribution	2013
10/07/13	EPAY-HRA QUASI	525.00	102	HRA Waiver Contribution	2013
10/09/13	HRA QUASI DEPOSIT	175.00	102	HRA Waiver Contribution	2013
10/14/13	EPAY-HRA QUASI	350.00	102	HRA Waiver Contribution	2013
10/14/13	EPAY-HRA QUASI	175.00	102	HRA Waiver Contribution	2013
10/17/13	EPAY-HRA QUASI	175.00	102	HRA Waiver Contribution	2013
10/17/13	HRA QUASI DEPOSIT	1,834.32	102	HRA Waiver Contribution	2013
10/18/13	HRA QUASI DEPOSIT	175.00	102	HRA Waiver Contribution	2013
10/21/13	HRA QUASI DEPOSIT	875.00	102	HRA Waiver Contribution	2013
10/21/13	EPAY-HRA QUASI	350.00	102	HRA Waiver Contribution	2013
10/23/13	EPAY-HRA QUASI	350.00	102	HRA Waiver Contribution	2013
10/23/13	HRA QUASI DEPOSIT	1,400.00	102	HRA Waiver Contribution	2013
10/24/13	HRA QUASI DEPOSIT	175.00	102	HRA Waiver Contribution	2013
10/25/13	HRA QUASI DEPOSIT	175.00	102	HRA Waiver Contribution	2013
10/28/13	HRA QUASI DEPOSIT	700.00	102	HRA Waiver Contribution	2013
10/29/13	EPAY-HRA QUASI	1,050.00	102	HRA Waiver Contribution	2013
10/31/13	HRA QUASI DEPOSIT	350.00	102	HRA Waiver Contribution	2013
10/31/13	KDE HRA WAIVER OCT 2013	3,062,359.98	102	HRA Waiver Contribution	2013
10/31/13	KDE D&V HRA OCT 2013	44,800.00	102	HRA Waiver Contribution	2013
10/09/13	CORRECT PREMIUM CLASSIFICATION	1,225.00	102	HRA Waiver Contribution	2013
11/04/13	INTEREST DISTRIBUTION OCTOBER 2013	8,368.38	110	Interest Income	2013
10/30/13	INTEREST EARNED @ BOA 3RD QTR 2013 HRA WAIVER PLAN YEAR 13	3.60	110	Interest Income	2013
10/30/13	INTEREST EARNED @ BOA 3RD QTR 2013 HRA SELECT PLAN YEAR 13	0.48	110	Interest Income	2013
10/10/13	VOIDED REFUND CHECKS REDEPOSITED BY THE KY STATE TREASURY	62.76	201	Medical Claims	2013
10/03/13	MEDICAL VOIDS AUGUST 2013 PY 2013	33,352.79	201	Medical Claims	2013
10/03/13	CLAIM RECOVERY AUGUST 2013 PY 2013	714,384.15	201	Medical Claims	2013
09/30/13	MEDICAL CLAIMS 2013	(5,266,523.66)	201	Medical Claims	2013
10/01/13	MEDICAL CLAIMS 2013	(8,756,118.65)	201	Medical Claims	2013
10/02/13	MEDICAL CLAIMS 2013	(771,180.55)	201	Medical Claims	2013
10/03/13	MEDICAL CLAIMS 2013	(2,656,914.73)	201	Medical Claims	2013
10/04/13	MEDICAL CLAIMS 2013	(5,357,163.09)	201	Medical Claims	2013
10/07/13	MEDICAL CLAIMS 2013	(5,539,789.59)	201	Medical Claims	2013
10/08/13	MEDICAL CLAIMS 2013	(6,128,708.04)	201	Medical Claims	2013
10/09/13	MEDICAL CLAIMS 2013	(2,754,834.66)	201	Medical Claims	2013
10/10/13	MEDICAL CLAIMS 2013	(3,722,653.31)	201	Medical Claims	2013
10/11/13	MEDICAL CLAIMS 2013	(6,230,200.99)	201	Medical Claims	2013
10/15/13	MEDICAL CLAIMS 2013	(11,255,069.40)	201	Medical Claims	2013
10/16/13	MEDICAL CLAIMS 2013	(1,609,771.43)	201	Medical Claims	2013
10/17/13	MEDICAL CLAIMS 2013	(3,899,888.81)	201	Medical Claims	2013
10/18/13	MEDICAL CLAIMS 2013	(7,343,342.92)	201	Medical Claims	2013
10/21/13	MEDICAL CLAIMS 2013	(8,200,547.70)	201	Medical Claims	2013
10/22/13	MEDICAL CLAIMS 2013	(3,618,788.64)	201	Medical Claims	2013
10/23/13	MEDICAL CLAIMS 2013	(2,369,404.36)	201	Medical Claims	2013
10/24/13	MEDICAL CLAIMS 2013	(3,648,524.70)	201	Medical Claims	2013
10/25/13	MEDICAL CLAIMS 2013	(5,734,205.53)	201	Medical Claims	2013
10/28/13	MEDICAL CLAIMS 2013	(5,774,614.76)	201	Medical Claims	2013
10/29/13	MEDICAL CLAIMS 2013	(6,316,514.48)	201	Medical Claims	2013
10/30/13	MEDICAL CLAIMS 2013	(2,608,562.86)	201	Medical Claims	2013
10/22/13	FIRST ONSITE LLC - 09/13	(56,481.80)	201	Medical Claims	2013
10/01/13	REVENUE INTERCEPTION REDEPOSITED BY THE KY STATE TREASURY (HUMANA ASO FEES)	5,693,175.58	202	Humana Admin	2013
10/30/13	KDE OCT 2013 ASO FEE PMT	21,083.32	202	Humana Admin	2013
10/17/13	HUMANA ASO FEES 10/2013	(5,791,728.06)	202	Humana Admin	2013
10/31/13	HUMANA SHARED SAVINGS 08/2013	(190,771.74)	202	Humana Admin	2013
10/31/13	HUMANA SHARED SAVINGS 09/2013	(125,591.41)	202	Humana Admin	2013
10/31/13	HUMANA SHARED SAVINGS 08/2013 - BIO METRIC	(4,002.00)	202	Humana Admin	2013
10/31/13	HUMANA SHARED SAVINGS 08/2013 - ONCOLOGY	(74,760.00)	202	Humana Admin	2013
10/31/13	HUMANA SHARED SAVINGS 09/2013 - ONCOLOGY	(71,540.00)	202	Humana Admin	2013

Kentucky Employees' Health Plan – Status Report

Attachment A

4th Quarter, 2013

Page A-6

DATE	DESCRIPTION	AMOUNT	LRC Code	LRC Description	Plan Year
10/02/13	HRA SELECT VOIDS AUGUST 2013 PY 2013	83.78	203	HRA Select Claims	2013
10/02/13	CLAIM RECOVERY HRA SELECT AUGUST 2013 PY 2013	11,558.64	203	HRA Select Claims	2013
10/30/13	HRA SELECT VOIDS SEPTEMBER 2013 PY 2013	1,274.10	203	HRA Select Claims	2013
10/30/13	CLAIM RECOVERY HRA SELECT SEPTEMBER 2013 PY 2013	3,224.89	203	HRA Select Claims	2013
09/30/13	HRA CLAIM PAYMENTS (HRA SELECT 2013)	(98,319.99)	203	HRA Select Claims	2013
10/01/13	HRA CLAIM PAYMENTS (HRA SELECT 2013)	(15,538.43)	203	HRA Select Claims	2013
10/02/13	HRA CLAIM PAYMENTS (HRA SELECT 2013)	(35,865.97)	203	HRA Select Claims	2013
10/03/13	HRA CLAIM PAYMENTS (HRA SELECT 2013)	(48,996.77)	203	HRA Select Claims	2013
10/04/13	HRA CLAIM PAYMENTS (HRA SELECT 2013)	(50,220.37)	203	HRA Select Claims	2013
10/07/13	HRA CLAIM PAYMENTS (HRA SELECT 2013)	(105,277.81)	203	HRA Select Claims	2013
10/08/13	HRA CLAIM PAYMENTS (HRA SELECT 2013)	(19,719.24)	203	HRA Select Claims	2013
10/09/13	HRA CLAIM PAYMENTS (HRA SELECT 2013)	(37,025.01)	203	HRA Select Claims	2013
10/10/13	HRA CLAIM PAYMENTS (HRA SELECT 2013)	(49,565.71)	203	HRA Select Claims	2013
10/11/13	HRA CLAIM PAYMENTS (HRA SELECT 2013)	(55,416.27)	203	HRA Select Claims	2013
10/15/13	HRA CLAIM PAYMENTS (HRA SELECT 2013)	(113,145.29)	203	HRA Select Claims	2013
10/16/13	HRA CLAIM PAYMENTS (HRA SELECT 2013)	(31,498.98)	203	HRA Select Claims	2013
10/17/13	HRA CLAIM PAYMENTS (HRA SELECT 2013)	(56,494.81)	203	HRA Select Claims	2013
10/18/13	HRA CLAIM PAYMENTS (HRA SELECT 2013)	(47,116.66)	203	HRA Select Claims	2013
10/21/13	HRA CLAIM PAYMENTS (HRA SELECT 2013)	(80,420.64)	203	HRA Select Claims	2013
10/22/13	HRA CLAIM PAYMENTS (HRA SELECT 2013)	(18,836.72)	203	HRA Select Claims	2013
10/23/13	HRA CLAIM PAYMENTS (HRA SELECT 2013)	(32,585.45)	203	HRA Select Claims	2013
10/24/13	HRA CLAIM PAYMENTS (HRA SELECT 2013)	(44,667.55)	203	HRA Select Claims	2013
10/25/13	HRA CLAIM PAYMENTS (HRA SELECT 2013)	(53,251.13)	203	HRA Select Claims	2013
10/28/13	HRA CLAIM PAYMENTS (HRA SELECT 2013)	(90,549.66)	203	HRA Select Claims	2013
10/29/13	HRA CLAIM PAYMENTS (HRA SELECT 2013)	(16,906.90)	203	HRA Select Claims	2013
10/30/13	HRA CLAIM PAYMENTS (HRA SELECT 2013)	(34,358.17)	203	HRA Select Claims	2013
10/02/13	HRA WAIVER VOIDS AUGUST 2013 PY 2013	3,873.76	204	HRA Waiver Claims	2013
10/02/13	HRA WAIVER CLAIM RECOVERY AUGUST 2013 PY 2013	26,493.91	204	HRA Waiver Claims	2013
10/30/13	HRA LIMITED D&V CLAIM RECOVERY SEPTEMBER 2013 PY 2013	35.08	204	HRA Waiver Claims	2013
10/30/13	HRA WAIVER CLAIM RECOVERY SEPTEMBER 2013 PY 2013	24,106.45	204	HRA Waiver Claims	2013
10/30/13	HRA WAIVER VOIDS SEPTEMBER 2013 PY 2013	3,430.52	204	HRA Waiver Claims	2013
09/30/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(248,150.06)	204	HRA Waiver Claims	2013
10/01/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(41,560.29)	204	HRA Waiver Claims	2013
10/02/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(106,336.26)	204	HRA Waiver Claims	2013
10/03/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(120,659.36)	204	HRA Waiver Claims	2013
10/04/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(123,698.83)	204	HRA Waiver Claims	2013
10/07/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(237,044.22)	204	HRA Waiver Claims	2013
10/08/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(44,725.64)	204	HRA Waiver Claims	2013
10/09/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(113,196.93)	204	HRA Waiver Claims	2013
10/10/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(146,996.92)	204	HRA Waiver Claims	2013
10/11/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(123,795.26)	204	HRA Waiver Claims	2013
10/11/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(35,949.37)	204	HRA Waiver Claims	2013
10/15/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(269,753.87)	204	HRA Waiver Claims	2013
10/16/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(96,082.30)	204	HRA Waiver Claims	2013
10/17/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(115,627.02)	204	HRA Waiver Claims	2013
10/18/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(108,906.43)	204	HRA Waiver Claims	2013
10/21/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(191,781.94)	204	HRA Waiver Claims	2013
10/22/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(56,063.36)	204	HRA Waiver Claims	2013
10/23/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(95,735.79)	204	HRA Waiver Claims	2013
10/24/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(106,590.88)	204	HRA Waiver Claims	2013
10/25/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(136,025.73)	204	HRA Waiver Claims	2013
10/28/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(229,570.71)	204	HRA Waiver Claims	2013
10/29/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(68,466.97)	204	HRA Waiver Claims	2013
10/30/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(98,748.98)	204	HRA Waiver Claims	2013
10/01/13	EXPRESS SCRIPTS 10/1/13	(6,899,436.53)	301	Pharmacy Claims	2013
10/08/13	EXPRESS SCRIPTS 10/08/2013	(6,871,377.99)	301	Pharmacy Claims	2013
10/15/13	EXPRESS SCRIPTS 10/15/2013	(6,597,529.63)	301	Pharmacy Claims	2013
10/22/13	EXPRESS SCRIPTS 10/22/2013	(6,776,997.39)	301	Pharmacy Claims	2013
10/29/13	EXPRESS SCRIPTS 10/29/2013	(7,130,354.38)	301	Pharmacy Claims	2013
10/04/13	EXPRESS SCRIPTS 10/1/2013 - VACCINES	(592.50)	302	Express Scripts Admin	2013
10/04/13	EXPRESS SCRIPTS 10/1/2013	(130,353.24)	302	Express Scripts Admin	2013
10/11/13	EXPRESS SCRIPTS 10/8/2013 - COUNTS	(211,905.36)	302	Express Scripts Admin	2013

Kentucky Employees' Health Plan – Status Report

Attachment A

4th Quarter, 2013

Page A-7

DATE	DESCRIPTION	AMOUNT	LRC Code	LRC Description	Plan Year
10/11/13	EXPRESS SCRIPTS 10/8/2013	(129,441.77)	302	Express Scripts Admin	2013
10/22/13	EXPRESS SCRIPTS 10/15/2013	(126,138.66)	302	Express Scripts Admin	2013
10/25/13	EXPRESS SCRIPTS 10/21/2013	(127,562.94)	302	Express Scripts Admin	2013
10/03/13	KY STATE TREASURY CHECK CANCELLATION FEE	(5.00)	501	Operating Transfer/Exp & Adj	2013
10/22/13	TRUVEN ENROLLMENT MAILING CORRECT ACCOUNTING TEMPLATE	(120,000.00)	501	Operating Transfer/Exp & Adj	2013
10/01/13	2ND QTR ADMINISTRATION FEE	(1,866,900.00)	501	Operating Transfer/Exp & Adj	2013
10/11/13	FY14 1ST QTR ATTORNEY COSTS	33,175.50	501	Operating Transfer/Exp & Adj	2013
10/22/13	TRUVEN HEALTH ANALYTICS - ENROLLMENT MAILING	(163,780.29)	501	Operating Transfer/Exp & Adj	2013
October Activity		<u>(\$13,764,968.17)</u>	¹		
Ending Balance		<u>\$185,397,239.01</u>			

¹ A Revenue department intercept placed on Humana payments caused a Humana administrative fee payment to be voided and reissued. The initial payment document in the amount of \$5,693,175.58 was not voided until October 1, 2013. If the initial document had been voided in September the October 2013 Combined Transaction Report balance would have been (\$17,413,586.21) and the Ending Balance would have been \$179,704,063.43.

October Account Summary		
101	Premiums	132,680,349.20
102	HRA Waiver Contribution	3,818,531.80
110	Interest Income	17,363.94
120	Drug Rebates	0.00
130	Transfers From other plan year(s)	0.00
201	Medical Claims	(108,623,995.95)
202	Humana Admin	(554,095.62)
203	HRA Select Claims	(1,119,205.79)
204	HRA Waiver Claims	(2,866,040.02)
301	Pharmacy Claims	(34,274,327.51)
302	Express Scripts Admin	(726,038.43)
500	Transfers To other plan year(s)	0.00
501	Other Payments & Adj	(2,117,509.79)
Account Activity – October 2013		(13,764,968.17)

Kentucky Employees' Health Plan – Status Report

Attachment A

4th Quarter, 2013

Page A-8

DATE	DESCRIPTION	AMOUNT	LRC Code	LRC Description	Plan Year
	Beginning Balance	<u>\$185,397,239.01</u>			
12/03/13	INTEREST DISTRIBUTION NOVEMBER 2013	3,735.79	110	Interest Income	2010
11/07/13	MEDICAL VOIDS SEPTEMBER 2013 PY 2010	5,229.19	201	Medical Claims	2010
11/07/13	CLAIM RECOVERY SEPTEMBER 2013 PY 2010	166,927.36	201	Medical Claims	2010
11/07/13	MEDICAL ESCHEATS SEPTEMBER 2013 PY 2010	(5,229.19)	201	Medical Claims	2010
10/31/13	MEDICAL CLAIMS 2010	(3,711.40)	201	Medical Claims	2010
11/01/13	MEDICAL CLAIMS 2010	(23,079.90)	201	Medical Claims	2010
11/04/13	MEDICAL CLAIMS 2010	(10,416.13)	201	Medical Claims	2010
11/05/13	MEDICAL CLAIMS 2010	(35.75)	201	Medical Claims	2010
11/08/13	MEDICAL CLAIMS 2010	(348.75)	201	Medical Claims	2010
11/12/13	MEDICAL CLAIMS 2010	(501.54)	201	Medical Claims	2010
11/14/13	MEDICAL CLAIMS 2010	293.27	201	Medical Claims	2010
11/15/13	MEDICAL CLAIMS 2010	(108.03)	201	Medical Claims	2010
11/19/13	MEDICAL CLAIMS 2010	(1,346.65)	201	Medical Claims	2010
11/20/13	MEDICAL CLAIMS 2010	(811.80)	201	Medical Claims	2010
11/22/13	MEDICAL CLAIMS 2010	(1,087.17)	201	Medical Claims	2010
11/26/13	MEDICAL CLAIMS 2010	81.43	201	Medical Claims	2010
11/13/13	EXPRESS SCRIPTS REFUND	113.21	301	Pharmacy Claims	2010
12/03/13	INTEREST DISTRIBUTION NOVEMBER 2013	3,297.06	110	Interest Income	2011
11/07/13	MEDICAL ESCHATS SEPTEMBER 2013 PY 2011	(13,146.16)	201	Medical Claims	2011
11/07/13	CLAIM RECOVERY SEPTEMBER 2013 PY 2011	71,209.48	201	Medical Claims	2011
11/07/13	MEDICAL VOIDS SEPTEMBER 2013 PY 2011	15,918.79	201	Medical Claims	2011
10/31/13	MEDICAL CLAIMS 2011	(1,275.00)	201	Medical Claims	2011
11/01/13	MEDICAL CLAIMS 2011	(29,860.13)	201	Medical Claims	2011
11/04/13	MEDICAL CLAIMS 2011	26.41	201	Medical Claims	2011
11/05/13	MEDICAL CLAIMS 2011	(2,306.99)	201	Medical Claims	2011
11/06/13	MEDICAL CLAIMS 2011	(2,028.98)	201	Medical Claims	2011
11/07/13	MEDICAL CLAIMS 2011	(398.96)	201	Medical Claims	2011
11/08/13	MEDICAL CLAIMS 2011	(7,236.73)	201	Medical Claims	2011
11/12/13	MEDICAL CLAIMS 2011	(1,067.75)	201	Medical Claims	2011
11/13/13	MEDICAL CLAIMS 2011	(388.08)	201	Medical Claims	2011
11/14/13	MEDICAL CLAIMS 2011	(5,546.67)	201	Medical Claims	2011
11/15/13	MEDICAL CLAIMS 2011	(398.79)	201	Medical Claims	2011
11/18/13	MEDICAL CLAIMS 2011	(38,554.37)	201	Medical Claims	2011
11/19/13	MEDICAL CLAIMS 2011	(5,583.01)	201	Medical Claims	2011
11/20/13	MEDICAL CLAIMS 2011	(344.89)	201	Medical Claims	2011
11/21/13	MEDICAL CLAIMS 2011	(4,201.22)	201	Medical Claims	2011
11/22/13	MEDICAL CLAIMS 2011	(4,832.08)	201	Medical Claims	2011
11/25/13	MEDICAL CLAIMS 2011	237.56	201	Medical Claims	2011
11/26/13	MEDICAL CLAIMS 2011	(2,248.89)	201	Medical Claims	2011
11/01/13	EXPRESS SCRIPTS 10/29/2013	(2.50)	302	Express Scripts Admin	2011
11/05/13	HEALTH PREMIUMS	3,083.00	101	Premiums	2012
11/25/13	HEALTH PREMIUMS	17.08	101	Premiums	2012
11/22/13	HLTH INS REFUND	(149.82)	101	Premiums	2012
11/18/13	HLTH INS REFUND	(239.84)	101	Premiums	2012
11/18/13	HLTH INS REFUND	(512.04)	101	Premiums	2012
12/03/13	INTEREST DISTRIBUTION NOVEMBER 2013	(359.34)	110	Interest Income	2012
11/07/13	3RD QRT INTEREST EARNED, SEPTEMBER 2013, PY 2012	1.64	110	Interest Income	2012
11/07/13	CLAIM RECOVERY SEPTEMBER 2013 PY 2012	620,667.90	201	Medical Claims	2012
11/07/13	MEDICAL VOIDS SEPTEMBER 2013 PY 2012	89,061.25	201	Medical Claims	2012
11/07/13	MEDICAL ESCHATS SEPTEMBER 2013 PY 2012	1,411.25	201	Medical Claims	2012
11/21/13	RECLASS HRA SELECT REFUND CODED TO HRA WITHOUT DEPARTMENT OBJECT	(2,430.66)	201	Medical Claims	2012
10/31/13	MEDICAL CLAIMS 2012	(17,881.66)	201	Medical Claims	2012
11/01/13	MEDICAL CLAIMS 2012	(58,232.17)	201	Medical Claims	2012
11/04/13	MEDICAL CLAIMS 2012	(899.23)	201	Medical Claims	2012
11/05/13	MEDICAL CLAIMS 2012	(23,000.13)	201	Medical Claims	2012
11/06/13	MEDICAL CLAIMS 2012	(4,576.70)	201	Medical Claims	2012
11/07/13	MEDICAL CLAIMS 2012	(12,898.94)	201	Medical Claims	2012
11/08/13	MEDICAL CLAIMS 2012	(32,993.84)	201	Medical Claims	2012
11/12/13	MEDICAL CLAIMS 2012	(38,453.63)	201	Medical Claims	2012
11/13/13	MEDICAL CLAIMS 2012	(15,508.39)	201	Medical Claims	2012
11/14/13	MEDICAL CLAIMS 2012	(3,934.46)	201	Medical Claims	2012
11/15/13	MEDICAL CLAIMS 2012	(28,637.52)	201	Medical Claims	2012
11/18/13	MEDICAL CLAIMS 2012	(19,666.68)	201	Medical Claims	2012

Kentucky Employees' Health Plan – Status Report

Attachment A

4th Quarter, 2013

Page A-9

11/19/13	MEDICAL CLAIMS 2012	(32,485.68)	201	Medical Claims	2012
11/20/13	MEDICAL CLAIMS 2012	(19,809.08)	201	Medical Claims	2012
11/21/13	MEDICAL CLAIMS 2012	(20,237.83)	201	Medical Claims	2012
11/22/13	MEDICAL CLAIMS 2012	(54,014.88)	201	Medical Claims	2012
11/25/13	MEDICAL CLAIMS 2012	(22,507.19)	201	Medical Claims	2012
11/26/13	MEDICAL CLAIMS 2012	(6,220.56)	201	Medical Claims	2012
11/27/13	MEDICAL CLAIMS 2012	(5,858.78)	201	Medical Claims	2012
11/21/13	RECLASS HRA SELECT REFUND CODED TO HRA WITHOUT DEPARTMENT OBJECT	2,430.66	203	HRA Select Claims	2012
11/01/13	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(465.39)	203	HRA Select Claims	2012
11/04/13	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(687.83)	203	HRA Select Claims	2012
11/05/13	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(405.30)	203	HRA Select Claims	2012
11/06/13	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(221.31)	203	HRA Select Claims	2012
11/07/13	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(85.85)	203	HRA Select Claims	2012
11/12/13	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(87.64)	203	HRA Select Claims	2012
11/15/13	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(419.61)	203	HRA Select Claims	2012
11/18/13	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(381.38)	203	HRA Select Claims	2012
11/20/13	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(163.60)	203	HRA Select Claims	2012
11/21/13	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(202.75)	203	HRA Select Claims	2012
11/22/13	HRA CLAIM PAYMENTS (HRA SELECT 2012)	48.00	203	HRA Select Claims	2012
11/25/13	HRA CLAIM PAYMENTS (HRA SELECT 2012)	103.66	203	HRA Select Claims	2012
11/27/13	HRA CLAIM PAYMENTS (HRA SELECT 2012)	131.04	203	HRA Select Claims	2012
10/31/13	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(1,321.14)	204	HRA Waiver Claims	2012
11/04/13	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(73.06)	204	HRA Waiver Claims	2012
11/06/13	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(207.33)	204	HRA Waiver Claims	2012
11/08/13	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(100.00)	204	HRA Waiver Claims	2012
11/12/13	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(264.82)	204	HRA Waiver Claims	2012
11/13/13	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(747.68)	204	HRA Waiver Claims	2012
11/20/13	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(378.91)	204	HRA Waiver Claims	2012
11/22/13	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(1.18)	204	HRA Waiver Claims	2012
11/25/13	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	129.00	204	HRA Waiver Claims	2012
11/27/13	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	75.01	204	HRA Waiver Claims	2012
11/01/13	EXPRESS SCRIPTS 10/29/2013	(12.50)	302	Express Scripts Admin	2012
11/12/13	UPPS PAYROLL	12,858,695.74	101	Premiums	2013
11/25/13	UPPS PAYROLL	12,938,337.96	101	Premiums	2013
11/01/13	UPPS PAYROLL	(599.56)	101	Premiums	2013
11/15/13	UPPS PAYROLL	(1,111.42)	101	Premiums	2013
11/27/13	UPPS PAYROLL	(1,974.24)	101	Premiums	2013
11/19/13	UPPS PAYROLL	(319.03)	101	Premiums	2013
11/05/13	RETURNED CHECK - FAYETTE CO BOE #00283496	(148,759.40)	101	Premiums	2013
10/21/13	KRS #31100 10/13 HEALTH PREMIUM	175,761.46	101	Premiums	2013
11/01/13	EPAY-HEALTH PREMIUMS 2013	23,797.86	101	Premiums	2013
11/01/13	EPAY-HEALTH PREMIUMS 2013	10,521.54	101	Premiums	2013
11/01/13	EPAY-HEALTH PREMIUMS 2013	97,675.76	101	Premiums	2013
11/01/13	EPAY-HEALTH PREMIUMS 2013	296,806.06	101	Premiums	2013
11/01/13	EPAY-HEALTH PREMIUMS 2013	93,038.44	101	Premiums	2013
11/01/13	HEALTH PREMIUMS	976,928.70	101	Premiums	2013
11/04/13	HEALTH PREMIUMS	1,041,841.70	101	Premiums	2013
11/04/13	EPAY-HEALTH PREMIUMS 2013	139,531.96	101	Premiums	2013
11/04/13	EPAY-HEALTH PREMIUMS 2013	270,384.01	101	Premiums	2013
11/05/13	HEALTH PREMIUMS	667,711.93	101	Premiums	2013
11/06/13	HEALTH PREMIUMS	1,123,080.38	101	Premiums	2013
11/07/13	HEALTH PREMIUMS	510,979.89	101	Premiums	2013
11/07/13	EPAY-HEALTH PREMIUMS 2013	73,215.90	101	Premiums	2013
11/07/13	EPAY-HEALTH PREMIUMS 2013	75,445.40	101	Premiums	2013
11/12/13	HEALTH PREMIUMS	585,153.35	101	Premiums	2013
11/12/13	KRS #31100 11/13 HEALTH PREMIUM	16,122.76	101	Premiums	2013
11/13/13	JEFFERSON CO BOE OCT 2013 HEALTH	1,551,179.35	101	Premiums	2013
11/13/13	HEALTH PREMIUMS	314,446.58	101	Premiums	2013
11/13/13	EPAY-HEALTH PREMIUMS 2013	294,747.52	101	Premiums	2013
11/13/13	EPAY-HEALTH PREMIUMS 2013	84,264.34	101	Premiums	2013
11/13/13	EPAY-HEALTH PREMIUMS 2013	8,684.54	101	Premiums	2013
11/13/13	EPAY-HEALTH PREMIUMS 2013	162,339.98	101	Premiums	2013
11/13/13	JUDICIAL RETIREMENT 11/13	110,009.00	101	Premiums	2013
11/14/13	HEALTH PREMIUMS	434,995.67	101	Premiums	2013
11/15/13	HEALTH PREMIUMS	270,255.42	101	Premiums	2013
11/15/13	EPAY-HEALTH PREMIUMS 2013	486,317.50	101	Premiums	2013
11/15/13	EPAY-HEALTH PREMIUMS 2013	31,629.14	101	Premiums	2013
11/18/13	HEALTH PREMIUMS	938,043.28	101	Premiums	2013

Kentucky Employees' Health Plan – Status Report

Attachment A

4th Quarter, 2013

Page A-10

11/18/13	COBRA PREMIUMS OCT 2013	259,855.17	101	Premiums	2013
11/18/13	EPAY-HEALTH PREMIUMS 2013	60,478.43	101	Premiums	2013
11/18/13	EPAY-HEALTH PREMIUMS 2013	38,974.88	101	Premiums	2013
11/19/13	HEALTH PREMIUMS	535,386.01	101	Premiums	2013
11/20/13	HEALTH PREMIUMS	122,173.58	101	Premiums	2013
11/20/13	EPAY-HEALTH PREMIUMS 2013	67,461.53	101	Premiums	2013
11/21/13	HEALTH PREMIUMS	233,657.55	101	Premiums	2013
11/21/13	EPAY-HEALTH PREMIUMS 2013	96,525.45	101	Premiums	2013
11/22/13	EPAY-HEALTH PREMIUMS 2013	76,508.79	101	Premiums	2013
11/22/13	HEALTH PREMIUMS	569,566.21	101	Premiums	2013
11/25/13	HEALTH PREMIUMS	536,451.47	101	Premiums	2013
11/26/13	HEALTH PREMIUMS	650,212.46	101	Premiums	2013
11/26/13	EPAY-HEALTH PREMIUMS 2013	136,703.36	101	Premiums	2013
11/27/13	KCTCS #45470 PREMIUM PAYMENT NOV 2013	3,320,215.26	101	Premiums	2013
11/27/13	KCTCS #81000 PREMIUM PAYMENT NOV 2013	38,877.86	101	Premiums	2013
11/21/13	COBRA PREMIUM REFUND	(2,066.54)	101	Premiums	2013
11/04/13	HLTH INS REFUND	(144.22)	101	Premiums	2013
11/07/13	HLTH INS REFUND	(228.35)	101	Premiums	2013
11/14/13	HLTH INS REFUND	(31.38)	101	Premiums	2013
11/18/13	HLTH INS REFUND	(47.52)	101	Premiums	2013
11/04/13	HLTH INS REFUND	(78.04)	101	Premiums	2013
11/13/13	HLTH INS REFUND	(88.36)	101	Premiums	2013
11/18/13	HLTH INS REFUND	(37.28)	101	Premiums	2013
11/22/13	HLTH INS REFUND	(409.64)	101	Premiums	2013
11/22/13	HLTH INS REFUND	(31.38)	101	Premiums	2013
11/18/13	HLTH INS REFUND	(241.19)	101	Premiums	2013
11/22/13	HLTH INS REFUND	(328.29)	101	Premiums	2013
11/01/13	KDE PREMIUMS OCT 2013	53,761,049.26	101	Premiums	2013
11/12/13	KRS 11/13 HEALTH PREMIUMS	21,804,327.65	101	Premiums	2013
11/26/13	KTRS 11/13 PREMIUMS	11,458,829.80	101	Premiums	2013
11/05/13	CORRECT NSF FUND ALLOCATION	92.65	101	Premiums	2013
11/12/13	UPPS PAYROLL	341,425.00	102	HRA Waiver Contribution	2013
11/25/13	UPPS PAYROLL	352,450.00	102	HRA Waiver Contribution	2013
11/15/13	UPPS PAYROLL	87.50	102	HRA Waiver Contribution	2013
11/01/13	EPAY-HRA QUASI	700.00	102	HRA Waiver Contribution	2013
11/01/13	EPAY-HRA QUASI	1,225.00	102	HRA Waiver Contribution	2013
11/01/13	HRA QUASI DEPOSIT	1,925.00	102	HRA Waiver Contribution	2013
11/04/13	HRA QUASI DEPOSIT	1,750.00	102	HRA Waiver Contribution	2013
11/04/13	EPAY-HRA QUASI	1,400.00	102	HRA Waiver Contribution	2013
11/12/13	HRA QUASI DEPOSIT	350.00	102	HRA Waiver Contribution	2013
11/13/13	EPAY-HRA QUASI	350.00	102	HRA Waiver Contribution	2013
11/15/13	HRA QUASI DEPOSIT	700.00	102	HRA Waiver Contribution	2013
11/15/13	EPAY-HRA QUASI	175.00	102	HRA Waiver Contribution	2013
11/18/13	HRA QUASI DEPOSIT	175.00	102	HRA Waiver Contribution	2013
11/18/13	EPAY-HRA QUASI	175.00	102	HRA Waiver Contribution	2013
11/20/13	HRA QUASI DEPOSIT	1,400.00	102	HRA Waiver Contribution	2013
11/21/13	EPAY-HRA QUASI	350.00	102	HRA Waiver Contribution	2013
11/22/13	HRA QUASI DEPOSIT	175.00	102	HRA Waiver Contribution	2013
11/25/13	HRA QUASI DEPOSIT	1,925.00	102	HRA Waiver Contribution	2013
11/27/13	KDE HRA D&V NOV 2013	45,269.30	102	HRA Waiver Contribution	2013
11/27/13	KDE HRA WAIVER NOV 2013	3,061,596.02	102	HRA Waiver Contribution	2013
12/03/13	INTEREST DISTRIBUTION NOVEMBER 2013	5,293.05	110	Interest Income	2013
11/07/13	3RD QRT INTEREST EARNED, SEPTEMBER 2013, PY 2013	224.23	110	Interest Income	2013
11/26/13	2ND QUARTER 2013 EXPRESS SCRIPTS REBATES	8,496,374.45	120	Drug Rebates	2013
11/07/13	MEDICAL VOIDS SEPTEMBER 2013 PY 2013	52,379.13	201	Medical Claims	2013
11/07/13	CLAIM RECOVERY SEPTEMBER 2013 PY 2013	724,455.43	201	Medical Claims	2013
11/21/13	RECLASS HRA SELECT REFUND CODED TO HRA WITHOUT DEPARTMENT OBJECT	(1,274.10)	201	Medical Claims	2013
10/31/13	MEDICAL CLAIMS 2013	(2,688,471.86)	201	Medical Claims	2013
11/01/13	MEDICAL CLAIMS 2013	(11,240,427.24)	201	Medical Claims	2013
11/04/13	MEDICAL CLAIMS 2013	(4,755,846.81)	201	Medical Claims	2013
11/05/13	MEDICAL CLAIMS 2013	(3,651,935.65)	201	Medical Claims	2013
11/06/13	MEDICAL CLAIMS 2013	(1,948,372.58)	201	Medical Claims	2013
11/07/13	MEDICAL CLAIMS 2013	(2,703,289.64)	201	Medical Claims	2013
11/08/13	MEDICAL CLAIMS 2013	(6,836,803.91)	201	Medical Claims	2013
11/12/13	MEDICAL CLAIMS 2013	(9,361,860.52)	201	Medical Claims	2013
11/13/13	MEDICAL CLAIMS 2013	(2,419,071.95)	201	Medical Claims	2013
11/14/13	MEDICAL CLAIMS 2013	(5,261,227.80)	201	Medical Claims	2013

Kentucky Employees' Health Plan – Status Report

Attachment A

4th Quarter, 2013

Page A-11

11/15/13	MEDICAL CLAIMS 2013	(8,049,605.17)	201	Medical Claims	2013
11/18/13	MEDICAL CLAIMS 2013	(8,100,368.98)	201	Medical Claims	2013
11/19/13	MEDICAL CLAIMS 2013	(5,398,513.18)	201	Medical Claims	2013
11/20/13	MEDICAL CLAIMS 2013	(2,772,237.53)	201	Medical Claims	2013
11/21/13	MEDICAL CLAIMS 2013	(2,897,778.82)	201	Medical Claims	2013
11/22/13	MEDICAL CLAIMS 2013	(8,227,806.55)	201	Medical Claims	2013
11/25/13	MEDICAL CLAIMS 2013	(7,377,981.46)	201	Medical Claims	2013
11/26/13	MEDICAL CLAIMS 2013	(4,864,227.19)	201	Medical Claims	2013
11/27/13	MEDICAL CLAIMS 2013	(1,565,535.81)	201	Medical Claims	2013
11/27/13	MEDICAL CLAIMS 2013	(3,000,000.00)	201	Medical Claims	2013
11/26/13	KDE NOV 2013 ASO FEE PMT	20,890.21	202	Humana Admin	2013
11/25/13	HUMANA ASO FEES 11/2013	(5,802,609.73)	202	Humana Admin	2013
11/21/13	RECLASS HRA SELECT REFUND CODED TO HRA WITHOUT DEPARTMENT OBJECT	1,274.10	203	HRA Select Claims	2013
10/31/13	HRA CLAIM PAYMENTS (HRA SELECT 2013)	(45,650.53)	203	HRA Select Claims	2013
11/01/13	HRA CLAIM PAYMENTS (HRA SELECT 2013)	(46,252.86)	203	HRA Select Claims	2013
11/04/13	HRA CLAIM PAYMENTS (HRA SELECT 2013)	(87,908.16)	203	HRA Select Claims	2013
11/05/13	HRA CLAIM PAYMENTS (HRA SELECT 2013)	(19,368.15)	203	HRA Select Claims	2013
11/06/13	HRA CLAIM PAYMENTS (HRA SELECT 2013)	(32,253.72)	203	HRA Select Claims	2013
11/07/13	HRA CLAIM PAYMENTS (HRA SELECT 2013)	(57,021.45)	203	HRA Select Claims	2013
11/08/13	HRA CLAIM PAYMENTS (HRA SELECT 2013)	(45,234.77)	203	HRA Select Claims	2013
11/12/13	HRA CLAIM PAYMENTS (HRA SELECT 2013)	(107,792.70)	203	HRA Select Claims	2013
11/13/13	HRA CLAIM PAYMENTS (HRA SELECT 2013)	(29,880.04)	203	HRA Select Claims	2013
11/14/13	HRA CLAIM PAYMENTS (HRA SELECT 2013)	(50,706.96)	203	HRA Select Claims	2013
11/15/13	HRA CLAIM PAYMENTS (HRA SELECT 2013)	(39,076.62)	203	HRA Select Claims	2013
11/18/13	HRA CLAIM PAYMENTS (HRA SELECT 2013)	(93,803.80)	203	HRA Select Claims	2013
11/19/13	HRA CLAIM PAYMENTS (HRA SELECT 2013)	(15,749.99)	203	HRA Select Claims	2013
11/20/13	HRA CLAIM PAYMENTS (HRA SELECT 2013)	(38,606.27)	203	HRA Select Claims	2013
11/21/13	HRA CLAIM PAYMENTS (HRA SELECT 2013)	(34,980.91)	203	HRA Select Claims	2013
11/22/13	HRA CLAIM PAYMENTS (HRA SELECT 2013)	(36,641.32)	203	HRA Select Claims	2013
11/25/13	HRA CLAIM PAYMENTS (HRA SELECT 2013)	(90,758.28)	203	HRA Select Claims	2013
11/26/13	HRA CLAIM PAYMENTS (HRA SELECT 2013)	(17,576.68)	203	HRA Select Claims	2013
11/27/13	HRA CLAIM PAYMENTS (HRA SELECT 2013)	(30,098.69)	203	HRA Select Claims	2013
11/21/13	RECLASS FSA CLAIMS CODED TO HRA WAIVER	35,949.37	204	HRA Waiver Claims	2013
10/31/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(122,211.85)	204	HRA Waiver Claims	2013
11/01/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(131,733.11)	204	HRA Waiver Claims	2013
11/04/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(202,521.56)	204	HRA Waiver Claims	2013
11/05/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(85,082.59)	204	HRA Waiver Claims	2013
11/06/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(106,374.17)	204	HRA Waiver Claims	2013
11/07/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(148,216.27)	204	HRA Waiver Claims	2013
11/08/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(137,480.40)	204	HRA Waiver Claims	2013
11/12/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(275,112.66)	204	HRA Waiver Claims	2013
11/13/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(98,167.85)	204	HRA Waiver Claims	2013
11/14/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(108,664.08)	204	HRA Waiver Claims	2013
11/15/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(103,855.88)	204	HRA Waiver Claims	2013
11/18/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(204,510.12)	204	HRA Waiver Claims	2013
11/19/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(28,632.47)	204	HRA Waiver Claims	2013
11/20/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(113,029.75)	204	HRA Waiver Claims	2013
11/21/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(118,205.94)	204	HRA Waiver Claims	2013
11/22/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(129,708.59)	204	HRA Waiver Claims	2013
11/25/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(235,446.52)	204	HRA Waiver Claims	2013
11/26/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(57,006.55)	204	HRA Waiver Claims	2013
11/27/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(119,474.01)	204	HRA Waiver Claims	2013
11/27/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(300,000.00)	204	HRA Waiver Claims	2013
11/05/13	EXPRESS SCRIPTS 11/05/2013	(7,040,821.10)	301	Pharmacy Claims	2013
11/12/13	EXPRESS SCRIPTS 11/12/2013	(6,428,931.52)	301	Pharmacy Claims	2013
11/19/13	EXPRESS SCRIPTS 11/19/2013	(6,958,026.01)	301	Pharmacy Claims	2013
11/26/13	EXPRESS SCRIPTS 11/26/2013	(6,800,491.76)	301	Pharmacy Claims	2013
11/01/13	EXPRESS SCRIPTS 10/29/2013	(127,161.29)	302	Express Scripts Admin	2013
11/01/13	EXPRESS SCRIPTS 10/29/2013 - MI HICA TAX	(98.94)	302	Express Scripts Admin	2013
November Activity		\$3,788,059.27			
Ending Balance		\$189,185,298.28			

Kentucky Employees' Health Plan – Status Report

Attachment A

4th Quarter, 2013

Page A-12

November Account Summary			
101	Premiums	130,274,993.03	
102	HRA Waiver Contribution	3,813,602.82	
110	Interest Income	12,192.43	
120	Drug Rebates	8,496,374.45	
130	Transfers From other plan year(s)	0.00	
201	Medical Claims	(101,961,081.32)	
202	Humana Admin	(5,781,719.52)	
203	HRA Select Claims	(918,495.10)	
204	HRA Waiver Claims	(2,792,375.11)	
301	Pharmacy Claims	(27,228,157.18)	
302	Express Scripts Admin	(127,275.23)	
500	Transfers To other plan year(s)	0.00	
501	Other Payments & Adj	0.00	
Account Activity – November 2013			3,788,059.27

DATE	DESCRIPTION	AMOUNT	LRC Code	LRC Description	Plan Year
	Beginning Balance	<u>\$189,185,298.28</u>			
01/03/14	INTEREST DISTRIBUTION DECEMBER 2013	4,743.07	110	Interest Income	2010
12/04/13	MEDICAL VOIDS OCTOBER 2013 PY 2010	1,013.21	201	Medical Claims	2010
12/04/13	CLAIM RECOVERY OCTOBER 2013 PY 2010	74,089.97	201	Medical Claims	2010
12/25/13	CLAIM RECOVERY NOVEMBER 2013 PY 2010	268,366.44	201	Medical Claims	2010
12/02/13	MEDICAL CLAIMS 2010	1,079.39	201	Medical Claims	2010
12/03/13	MEDICAL CLAIMS 2010	2,606.50	201	Medical Claims	2010
12/04/13	MEDICAL CLAIMS 2010	1,404.93	201	Medical Claims	2010
12/06/13	MEDICAL CLAIMS 2010	(226.46)	201	Medical Claims	2010
12/09/13	MEDICAL CLAIMS 2010	(4.25)	201	Medical Claims	2010
12/10/13	MEDICAL CLAIMS 2010	(1,744.51)	201	Medical Claims	2010
12/11/13	MEDICAL CLAIMS 2010	(86.14)	201	Medical Claims	2010
12/12/13	MEDICAL CLAIMS 2010	(59.51)	201	Medical Claims	2010
12/13/13	MEDICAL CLAIMS 2010	(782.78)	201	Medical Claims	2010
12/19/13	MEDICAL CLAIMS 2010	19,213.00	201	Medical Claims	2010
12/20/13	MEDICAL CLAIMS 2010	(38.73)	201	Medical Claims	2010
12/26/13	MEDICAL CLAIMS 2010	(1,139.49)	201	Medical Claims	2010
12/27/13	MEDICAL CLAIMS 2010	(297.23)	201	Medical Claims	2010
12/09/13	HUMANA SHARED SAVINGS 10/2013	(9.86)	202	Humana Admin	2010
12/04/13	HRA WAIVER CLAIM RECOVERY OCTOBER 2013 PY 2010	46.22	204	HRA Waiver Claims	2010
12/30/13	HRA WAIVER CLAIM RECOVERY NOVEMBER 2013 PY 2010	30.00	204	HRA Waiver Claims	2010
12/17/13	HRA CLAIM PAYMENTS (HRA WAIVER 2010)	14.30	204	HRA Waiver Claims	2010
01/03/14	INTEREST DISTRIBUTION DECEMBER 2013	4,174.33	110	Interest Income	2011
12/04/13	MEDICAL ESCHEATS OCTOBER 2013 PY 2011	1,821.34	201	Medical Claims	2011
12/04/13	CLAIM RECOVERY OCTOBER 2013 PY 2011	55,381.02	201	Medical Claims	2011
12/04/13	MEDICAL VOIDS OCTOBER 2013 PY 2011	1,081.11	201	Medical Claims	2011
12/25/13	CLAIM RECOVERY NOVEMBER 2013 PY 2011	274,120.26	201	Medical Claims	2011
12/02/13	MEDICAL CLAIMS 2011	(2,793.19)	201	Medical Claims	2011
12/03/13	MEDICAL CLAIMS 2011	(2,349.59)	201	Medical Claims	2011
12/05/13	MEDICAL CLAIMS 2011	(9,129.59)	201	Medical Claims	2011
12/06/13	MEDICAL CLAIMS 2011	751.90	201	Medical Claims	2011
12/09/13	MEDICAL CLAIMS 2011	(3,255.46)	201	Medical Claims	2011
12/10/13	MEDICAL CLAIMS 2011	1,070.16	201	Medical Claims	2011
12/11/13	MEDICAL CLAIMS 2011	(307.05)	201	Medical Claims	2011
12/12/13	MEDICAL CLAIMS 2011	(901.31)	201	Medical Claims	2011
12/13/13	MEDICAL CLAIMS 2011	(12,749.37)	201	Medical Claims	2011
12/16/13	MEDICAL CLAIMS 2011	(2,046.82)	201	Medical Claims	2011
12/17/13	MEDICAL CLAIMS 2011	(16.67)	201	Medical Claims	2011
12/18/13	MEDICAL CLAIMS 2011	(34.27)	201	Medical Claims	2011
12/19/13	MEDICAL CLAIMS 2011	54.01	201	Medical Claims	2011
12/20/13	MEDICAL CLAIMS 2011	16.79	201	Medical Claims	2011

Kentucky Employees' Health Plan – Status Report

Attachment A

4th Quarter, 2013

Page A-13

DATE	DESCRIPTION	AMOUNT	LRC Code	LRC Description	Plan Year
12/26/13	MEDICAL CLAIMS 2011	(7.82)	201	Medical Claims	2011
12/27/13	MEDICAL CLAIMS 2011	(2,231.23)	201	Medical Claims	2011
12/09/13	HUMANA SHARED SAVINGS 10/2013	(5,669.47)	202	Humana Admin	2011
12/04/13	HRA WAIVER CLAIM RECOVERY OCTOBER 2013 PY 2011	25.00	204	HRA Waiver Claims	2011
12/30/13	HRA WAIVER CLAIM RECOVERY NOVEMBER 2013 PY 2011	50.00	204	HRA Waiver Claims	2011
12/26/13	HEALTH PREMIUMS	71.04	101	Premiums	2012
01/03/14	INTEREST DISTRIBUTION DECEMBER 2013	(431.54)	110	Interest Income	2012
12/04/13	MEDICAL ESCHEATS OCTOBER 2013 PY 2012	4,519.94	201	Medical Claims	2012
12/04/13	CLAIM RECOVERY OCTOBER 2013 PY 2012	569,481.28	201	Medical Claims	2012
12/04/13	MEDICAL VOIDS OCTOBER 2013 PY 2012	12,203.94	201	Medical Claims	2012
12/25/13	CLAIM RECOVERY NOVEMBER 2013 PY 2012	1,039,568.16	201	Medical Claims	2012
12/02/13	MEDICAL CLAIMS 2012	(40,292.01)	201	Medical Claims	2012
12/03/13	MEDICAL CLAIMS 2012	(15,648.64)	201	Medical Claims	2012
12/04/13	MEDICAL CLAIMS 2012	(26,263.45)	201	Medical Claims	2012
12/05/13	MEDICAL CLAIMS 2012	(5,043.68)	201	Medical Claims	2012
12/06/13	MEDICAL CLAIMS 2012	(11,269.42)	201	Medical Claims	2012
12/09/13	MEDICAL CLAIMS 2012	(8,750.44)	201	Medical Claims	2012
12/10/13	MEDICAL CLAIMS 2012	(35,052.04)	201	Medical Claims	2012
12/11/13	MEDICAL CLAIMS 2012	(7,938.57)	201	Medical Claims	2012
12/12/13	MEDICAL CLAIMS 2012	(17,101.15)	201	Medical Claims	2012
12/13/13	MEDICAL CLAIMS 2012	(29,380.22)	201	Medical Claims	2012
12/16/13	MEDICAL CLAIMS 2012	(13,235.74)	201	Medical Claims	2012
12/17/13	MEDICAL CLAIMS 2012	(29,682.15)	201	Medical Claims	2012
12/18/13	MEDICAL CLAIMS 2012	(2,947.45)	201	Medical Claims	2012
12/19/13	MEDICAL CLAIMS 2012	(9,065.03)	201	Medical Claims	2012
12/20/13	MEDICAL CLAIMS 2012	(10,890.52)	201	Medical Claims	2012
12/23/13	MEDICAL CLAIMS 2012	(7,595.58)	201	Medical Claims	2012
12/26/13	MEDICAL CLAIMS 2012	(47,573.99)	201	Medical Claims	2012
12/26/13	MEDICAL CLAIMS 2012	5,903.24	201	Medical Claims	2012
12/27/13	MEDICAL CLAIMS 2012	(5,077.53)	201	Medical Claims	2012
12/30/13	MEDICAL CLAIMS 2012	(53,153.73)	201	Medical Claims	2012
12/09/13	HUMANA SHARED SAVINGS 10/2013	(2,319.76)	202	Humana Admin	2012
12/04/13	HRA SELECT VOIDS OCTOBER 2013 PY 2012	4,861.32	203	HRA Select Claims	2012
12/04/13	CLAIM RECOVERY HRA SELECT OCTOBER 2013 PY 2012	392.84	203	HRA Select Claims	2012
12/04/13	HRA SELECT ESCHEATED CHECKS OCTOBER 2013 PY 2012	494.59	203	HRA Select Claims	2012
12/30/13	CLAIM RECOVERY HRA SELECT NOVEMBER 2013 PY 2012	754.61	203	HRA Select Claims	2012
12/05/13	HRA CLAIM PAYMENTS (HRA SELECT 2012)	170.53	203	HRA Select Claims	2012
12/06/13	HRA CLAIM PAYMENTS (HRA SELECT 2012)	37.40	203	HRA Select Claims	2012
12/09/13	HRA CLAIM PAYMENTS (HRA SELECT 2012)	815.79	203	HRA Select Claims	2012
12/10/13	HRA CLAIM PAYMENTS (HRA SELECT 2012)	70.00	203	HRA Select Claims	2012
12/13/13	HRA CLAIM PAYMENTS (HRA SELECT 2012)	254.04	203	HRA Select Claims	2012
12/16/13	HRA CLAIM PAYMENTS (HRA SELECT 2012)	220.57	203	HRA Select Claims	2012
12/30/13	HRA CLAIM PAYMENTS (HRA SELECT 2012)	154.67	203	HRA Select Claims	2012
12/04/13	HRA WAIVER ESCHEATED CHECKS OCTOBER 2013 PY 2012	1,268.12	204	HRA Waiver Claims	2012
12/04/13	HRA WAIVER VOIDS OCTOBER 2013 PY 2012	1,090.07	204	HRA Waiver Claims	2012
12/04/13	HRA WAIVER CLAIM RECOVERY OCTOBER 2013 PY 2012	1,911.67	204	HRA Waiver Claims	2012
12/30/13	HRA WAIVER CLAIM RECOVERY NOVEMBER 2013 PY 2012	1,292.82	204	HRA Waiver Claims	2012
12/02/13	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(80.83)	204	HRA Waiver Claims	2012
12/03/13	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	108.93	204	HRA Waiver Claims	2012
12/04/13	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(34.49)	204	HRA Waiver Claims	2012
12/09/13	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	242.98	204	HRA Waiver Claims	2012
12/10/13	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	451.66	204	HRA Waiver Claims	2012
12/11/13	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	15.00	204	HRA Waiver Claims	2012
12/18/13	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	5.56	204	HRA Waiver Claims	2012
12/20/13	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	39.99	204	HRA Waiver Claims	2012
12/30/13	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(33.05)	204	HRA Waiver Claims	2012
12/09/13	UPPS PAYROLL	12,859,086.42	101	Premiums	2013
12/19/13	UPPS PAYROLL	12,946,939.34	101	Premiums	2013
12/13/13	UPPS PAYROLL	2,383.72	101	Premiums	2013

Kentucky Employees' Health Plan – Status Report

Attachment A

4th Quarter, 2013

Page A-14

DATE	DESCRIPTION	AMOUNT	LRC Code	LRC Description	Plan Year
12/05/13	UPPS PAYROLL	(1,786.91)	101	Premiums	2013
12/17/13	UPPS PAYROLL	657.06	101	Premiums	2013
12/23/13	UPPS PAYROLL	483.99	101	Premiums	2013
12/27/13	UPPS PAYROLL	137.00	101	Premiums	2013
11/15/13	LEGISLATIVE RETIREMENT 11/13	23,199.14	101	Premiums	2013
11/21/13	KRS #31100 11/13 HEALTH PREMIUM	175,157.06	101	Premiums	2013
11/27/13	HEALTH PREMIUMS	270,317.48	101	Premiums	2013
11/27/13	EPAY-HEALTH PREMIUMS 2013	181,015.28	101	Premiums	2013
12/02/13	EPAY-HEALTH PREMIUMS 2013	218,811.64	101	Premiums	2013
12/02/13	EPAY-HEALTH PREMIUMS 2013	193,653.65	101	Premiums	2013
12/02/13	EPAY-HEALTH PREMIUMS 2013	169,709.43	101	Premiums	2013
12/02/13	HEALTH PREMIUMS	1,822,891.92	101	Premiums	2013
12/03/13	HEALTH PREMIUMS	969,534.89	101	Premiums	2013
12/04/13	HEALTH PREMIUMS	417,928.67	101	Premiums	2013
12/04/13	EPAY-HEALTH PREMIUMS 2013	44,816.98	101	Premiums	2013
12/04/13	EPAY-HEALTH PREMIUMS 2013	812.52	101	Premiums	2013
12/04/13	EPAY-HEALTH PREMIUMS 2013	286,455.26	101	Premiums	2013
12/05/13	HEALTH PREMIUMS	706,858.41	101	Premiums	2013
12/06/13	EPAY-HEALTH PREMIUMS 2013	459,983.56	101	Premiums	2013
12/06/13	EPAY-HEALTH PREMIUMS 2013	17,515.30	101	Premiums	2013
12/06/13	HEALTH PREMIUMS	273,716.01	101	Premiums	2013
12/09/13	HEALTH PREMIUMS	934,863.19	101	Premiums	2013
12/10/13	HEALTH PREMIUMS	653,871.20	101	Premiums	2013
12/10/13	EPAY-HEALTH PREMIUMS 2013	237,956.08	101	Premiums	2013
12/10/13	EPAY-HEALTH PREMIUMS 2013	44,146.54	101	Premiums	2013
12/11/13	HEALTH PREMIUMS	610,058.98	101	Premiums	2013
12/12/13	KRS #31100 12/13 HEALTH PREMIUM	16,075.38	101	Premiums	2013
12/12/13	HEALTH PREMIUMS	363,251.45	101	Premiums	2013
12/12/13	EPAY-HEALTH PREMIUMS 2013	176,970.22	101	Premiums	2013
12/12/13	EPAY-HEALTH PREMIUMS 2013	160,340.22	101	Premiums	2013
12/13/13	HEALTH PREMIUMS	240,455.31	101	Premiums	2013
12/16/13	EPAY-HEALTH PREMIUMS 2013	3,821.08	101	Premiums	2013
12/16/13	EPAY-HEALTH PREMIUMS 2013	7,068.48	101	Premiums	2013
12/16/13	EPAY-HEALTH PREMIUMS 2013	33,417.14	101	Premiums	2013
12/16/13	HEALTH PREMIUMS	766,051.64	101	Premiums	2013
12/17/13	HEALTH PREMIUMS	449,825.77	101	Premiums	2013
12/17/13	COBRA PREMIUMS NOV 2013	241,068.82	101	Premiums	2013
12/17/13	LEGISLATIVE RETIREMENT 12/13	18,083.56	101	Premiums	2013
12/18/13	HEALTH PREMIUMS	295,439.36	101	Premiums	2013
12/18/13	EPAY-HEALTH PREMIUMS 2013	137,433.85	101	Premiums	2013
12/19/13	HEALTH PREMIUMS	236,403.58	101	Premiums	2013
12/19/13	LEGISLATIVE RETIREMENT 12/13	4,440.24	101	Premiums	2013
12/19/13	JUDICIAL RETIREMENT 12/13	101,488.07	101	Premiums	2013
12/19/13	JUDICIAL RETIREMENT 12/13	6,369.59	101	Premiums	2013
12/20/13	JEFFERSON CO BOE NOV 2013 HEALTH	1,548,463.29	101	Premiums	2013
12/20/13	KCTCS #81000 PREMIUM PAYMENT DEC 2013	41,478.74	101	Premiums	2013
12/20/13	KCTCS #45470 PREMIUM PAYMENT DEC 2013	3,323,373.19	101	Premiums	2013
12/20/13	HEALTH PREMIUMS	74,303.24	101	Premiums	2013
12/20/13	EPAY-HEALTH PREMIUMS 2013	32,526.66	101	Premiums	2013
12/20/13	EPAY-HEALTH PREMIUMS 2013	157,649.66	101	Premiums	2013
12/23/13	HEALTH PREMIUMS	1,033,019.99	101	Premiums	2013
12/23/13	EPAY-HEALTH PREMIUMS 2013	112,944.40	101	Premiums	2013
12/23/13	EPAY-HEALTH PREMIUMS 2013	476,608.40	101	Premiums	2013
12/23/13	EPAY-HEALTH PREMIUMS 2013	3,319.48	101	Premiums	2013
12/26/13	HEALTH PREMIUMS	1,395,007.89	101	Premiums	2013
12/27/13	HEALTH PREMIUMS	139,171.93	101	Premiums	2013
12/27/13	EPAY-HEALTH PREMIUMS 2013	193,301.78	101	Premiums	2013
12/30/13	HEALTH PREMIUMS	1,772,059.98	101	Premiums	2013
12/09/13	HLTH INS REFUND	(31.38)	101	Premiums	2013
12/27/13	HLTH INS REFUND	(62.76)	101	Premiums	2013
12/09/13	HLTH INS REFUND	(62.76)	101	Premiums	2013
12/27/13	HLTH INS REFUND	(220.00)	101	Premiums	2013
12/09/13	HLTH INS REFUND	(1,444.54)	101	Premiums	2013
12/27/13	HLTH INS REFUND	(18.64)	101	Premiums	2013
12/27/13	HLTH INS REFUND	(221.00)	101	Premiums	2013
12/02/13	KDE PREMIUMS NOV 2013	53,959,414.26	101	Premiums	2013
12/06/13	KRS 12/13 HEALTH PREMIUMS	21,742,913.56	101	Premiums	2013

Kentucky Employees' Health Plan – Status Report

Attachment A

4th Quarter, 2013

Page A-15

DATE	DESCRIPTION	AMOUNT	LRC Code	LRC Description	Plan Year
12/19/13	CPE HEALTH PREMIUM ARREARS	15.33	101	Premiums	2013
12/20/13	KTRS 12/13 PREMIUMS	11,324,753.18	101	Premiums	2013
12/03/13	CORRECT PREMIUM CLASSIFICATION	118,609.64	101	Premiums	2013
12/05/13	CORRECT PREMIUM CLASSIFICATION	(183.44)	101	Premiums	2013
12/18/13	CORRECT PREMIUM CLASSIFICATION	(88.87)	101	Premiums	2013
12/30/13	CORRECT PREMIUM CLASSIFICATION	70,798.79	101	Premiums	2013
12/09/13	UPPS PAYROLL	350,175.00	102	HRA Waiver Contribution	2013
12/19/13	UPPS PAYROLL	354,550.00	102	HRA Waiver Contribution	2013
12/13/13	UPPS PAYROLL	(262.50)	102	HRA Waiver Contribution	2013
12/23/13	UPPS PAYROLL	87.50	102	HRA Waiver Contribution	2013
11/27/13	HRA QUASI DEPOSIT	350.00	102	HRA Waiver Contribution	2013
12/02/13	EPAY-HRA QUASI	353.18	102	HRA Waiver Contribution	2013
12/02/13	EPAY-HRA QUASI	1,225.00	102	HRA Waiver Contribution	2013
12/02/13	EPAY-HRA QUASI	525.00	102	HRA Waiver Contribution	2013
12/02/13	HRA QUASI DEPOSIT	1,753.18	102	HRA Waiver Contribution	2013
12/03/13	HRA QUASI DEPOSIT	1,575.00	102	HRA Waiver Contribution	2013
12/04/13	EPAY-HRA QUASI	700.00	102	HRA Waiver Contribution	2013
12/05/13	HRA QUASI DEPOSIT	175.00	102	HRA Waiver Contribution	2013
12/06/13	EPAY-HRA QUASI	700.00	102	HRA Waiver Contribution	2013
12/09/13	HRA QUASI DEPOSIT	525.00	102	HRA Waiver Contribution	2013
12/10/13	EPAY-HRA QUASI	175.00	102	HRA Waiver Contribution	2013
12/12/13	HRA QUASI DEPOSIT	787.50	102	HRA Waiver Contribution	2013
12/12/13	EPAY-HRA QUASI	875.00	102	HRA Waiver Contribution	2013
12/12/13	EPAY-HRA QUASI	350.00	102	HRA Waiver Contribution	2013
12/16/13	EPAY-HRA QUASI	700.00	102	HRA Waiver Contribution	2013
12/16/13	HRA QUASI DEPOSIT	875.00	102	HRA Waiver Contribution	2013
12/17/13	HRA QUASI DEPOSIT	175.00	102	HRA Waiver Contribution	2013
12/18/13	HRA QUASI DEPOSIT	175.00	102	HRA Waiver Contribution	2013
12/20/13	EPAY-HRA QUASI	350.00	102	HRA Waiver Contribution	2013
12/23/13	HRA QUASI DEPOSIT	2,450.00	102	HRA Waiver Contribution	2013
12/23/13	EPAY-HRA QUASI	175.00	102	HRA Waiver Contribution	2013
12/26/13	HRA QUASI DEPOSIT	1,750.00	102	HRA Waiver Contribution	2013
12/30/13	HRA QUASI DEPOSIT	1,575.00	102	HRA Waiver Contribution	2013
12/30/13	KDE HRA WAIVER DEC 2013	3,081,877.52	102	HRA Waiver Contribution	2013
12/30/13	KDE HRA D&V DEC 2013	45,662.28	102	HRA Waiver Contribution	2013
01/03/14	INTEREST DISTRIBUTION DECEMBER 2013	6,983.00	110	Interest Income	2013
12/04/13	MEDICAL VOIDS OCTOBER 2013 PY 2013	29,681.75	201	Medical Claims	2013
12/04/13	CLAIM RECOVERY OCTOBER 2013 PY 2013	1,027,248.78	201	Medical Claims	2013
12/25/13	CLAIM RECOVERY NOVEMBER 2013 PY 2013	1,140,690.98	201	Medical Claims	2013
12/02/13	MEDICAL CLAIMS 2013	(15,756,349.54)	201	Medical Claims	2013
12/02/13	MEDICAL CLAIMS 2013	3,000,000.00	201	Medical Claims	2013
12/03/13	MEDICAL CLAIMS 2013	(1,403,519.01)	201	Medical Claims	2013
12/04/13	MEDICAL CLAIMS 2013	(1,932,772.45)	201	Medical Claims	2013
12/05/13	MEDICAL CLAIMS 2013	(3,367,867.82)	201	Medical Claims	2013
12/06/13	MEDICAL CLAIMS 2013	(7,489,250.94)	201	Medical Claims	2013
12/09/13	MEDICAL CLAIMS 2013	(7,902,110.25)	201	Medical Claims	2013
12/10/13	MEDICAL CLAIMS 2013	(4,588,417.49)	201	Medical Claims	2013
12/11/13	MEDICAL CLAIMS 2013	(1,794,629.87)	201	Medical Claims	2013
12/12/13	MEDICAL CLAIMS 2013	(3,056,255.88)	201	Medical Claims	2013
12/13/13	MEDICAL CLAIMS 2013	(8,254,560.44)	201	Medical Claims	2013
12/16/13	MEDICAL CLAIMS 2013	(5,816,273.05)	201	Medical Claims	2013
12/17/13	MEDICAL CLAIMS 2013	(4,478,515.70)	201	Medical Claims	2013
12/18/13	MEDICAL CLAIMS 2013	(3,519,194.55)	201	Medical Claims	2013
12/19/13	MEDICAL CLAIMS 2013	(7,832,387.64)	201	Medical Claims	2013
12/20/13	MEDICAL CLAIMS 2013	(5,262,049.59)	201	Medical Claims	2013
12/23/13	MEDICAL CLAIMS 2013	(7,318,178.11)	201	Medical Claims	2013
12/23/13	MEDICAL CLAIMS 2013	(3,500,000.00)	201	Medical Claims	2013
12/26/13	MEDICAL CLAIMS 2013	3,500,000.00	201	Medical Claims	2013
12/26/13	MEDICAL CLAIMS 2013	(5,095,031.49)	201	Medical Claims	2013
12/26/13	MEDICAL CLAIMS 2013	(2,961,026.53)	201	Medical Claims	2013
12/27/13	MEDICAL CLAIMS 2013	(6,758,949.35)	201	Medical Claims	2013
12/30/13	MEDICAL CLAIMS 2013	(5,947,625.18)	201	Medical Claims	2013
12/05/13	FIRST ONSITE LLC - 10/13	(57,526.93)	201	Medical Claims	2013
12/30/13	FIRST ONSITE LLC - 11/13	(39,159.22)	201	Medical Claims	2013
12/23/13	KDE DEC 2013 ASO FEE PMT	21,501.72	202	Humana Admin	2013
12/09/13	HUMANA SHARED SAVINGS 10/2013 - ONCOLOGY	(74,480.00)	202	Humana Admin	2013

Kentucky Employees' Health Plan – Status Report

Attachment A

4th Quarter, 2013

Page A-16

DATE	DESCRIPTION	AMOUNT	LRC Code	LRC Description	Plan Year
12/09/13	HUMANA SHARED SAVINGS 10/2013 - BIO METRIC	(31,958.00)	202	Humana Admin	2013
12/09/13	HUMANA SHARED SAVINGS 10/2013 - MICHIGAN SURCHARGE	(1,532.17)	202	Humana Admin	2013
12/09/13	HUMANA SHARED SAVINGS 10/2013	(159,653.09)	202	Humana Admin	2013
12/17/13	HUMANA ASO FEES 12/2013	(5,780,218.88)	202	Humana Admin	2013
12/04/13	CLAIM RECOVERY HRA SELECT OCTOBER 2013 PY 2013	6,059.88	203	HRA Select Claims	2013
12/04/13	HRA SELECT VOIDS OCTOBER 2013 PY 2013	945.61	203	HRA Select Claims	2013
12/30/13	CLAIM RECOVERY HRA SELECT NOVEMBER 2013 PY 2013	2,858.63	203	HRA Select Claims	2013
12/02/13	HRA CLAIM PAYMENTS (HRA SELECT 2013)	(118,313.92)	203	HRA Select Claims	2013
12/03/13	HRA CLAIM PAYMENTS (HRA SELECT 2013)	(10,703.89)	203	HRA Select Claims	2013
12/04/13	HRA CLAIM PAYMENTS (HRA SELECT 2013)	(34,094.68)	203	HRA Select Claims	2013
12/05/13	HRA CLAIM PAYMENTS (HRA SELECT 2013)	(44,150.14)	203	HRA Select Claims	2013
12/06/13	HRA CLAIM PAYMENTS (HRA SELECT 2013)	(48,770.91)	203	HRA Select Claims	2013
12/09/13	HRA CLAIM PAYMENTS (HRA SELECT 2013)	(92,142.73)	203	HRA Select Claims	2013
12/10/13	HRA CLAIM PAYMENTS (HRA SELECT 2013)	(14,544.76)	203	HRA Select Claims	2013
12/11/13	HRA CLAIM PAYMENTS (HRA SELECT 2013)	(27,899.75)	203	HRA Select Claims	2013
12/12/13	HRA CLAIM PAYMENTS (HRA SELECT 2013)	(49,405.17)	203	HRA Select Claims	2013
12/13/13	HRA CLAIM PAYMENTS (HRA SELECT 2013)	(58,233.71)	203	HRA Select Claims	2013
12/16/13	HRA CLAIM PAYMENTS (HRA SELECT 2013)	(105,376.87)	203	HRA Select Claims	2013
12/17/13	HRA CLAIM PAYMENTS (HRA SELECT 2013)	(16,688.65)	203	HRA Select Claims	2013
12/18/13	HRA CLAIM PAYMENTS (HRA SELECT 2013)	(35,226.97)	203	HRA Select Claims	2013
12/19/13	HRA CLAIM PAYMENTS (HRA SELECT 2013)	(49,627.52)	203	HRA Select Claims	2013
12/20/13	HRA CLAIM PAYMENTS (HRA SELECT 2013)	(58,570.74)	203	HRA Select Claims	2013
12/23/13	HRA CLAIM PAYMENTS (HRA SELECT 2013)	(113,851.34)	203	HRA Select Claims	2013
12/30/13	HRA CLAIM PAYMENTS (HRA SELECT 2013)	(100,543.03)	203	HRA Select Claims	2013
12/30/13	HRA CLAIM PAYMENTS (HRA SELECT 2013)	(113,592.30)	203	HRA Select Claims	2013
12/04/13	HRA WAIVER CLAIM RECOVERY OCTOBER 2013 PY 2013	27,202.08	204	HRA Waiver Claims	2013
12/04/13	HRA WAIVER VOIDS OCTOBER 2013 PY 2013	2,757.81	204	HRA Waiver Claims	2013
12/30/13	HRA WAIVER CLAIM RECOVERY NOVEMBER 2013 PY 2013	25,379.00	204	HRA Waiver Claims	2013
12/30/13	HRA LIMITED D&V CLAIM RECOVERY NOVEMBER 2013 PY 2013	153.90	204	HRA Waiver Claims	2013
12/02/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(327,664.72)	204	HRA Waiver Claims	2013
12/02/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	300,000.00	204	HRA Waiver Claims	2013
12/03/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(43,316.05)	204	HRA Waiver Claims	2013
12/04/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(113,238.79)	204	HRA Waiver Claims	2013
12/05/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(127,210.50)	204	HRA Waiver Claims	2013
12/06/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(149,667.84)	204	HRA Waiver Claims	2013
12/09/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(212,416.64)	204	HRA Waiver Claims	2013
12/10/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(71,552.46)	204	HRA Waiver Claims	2013
12/11/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(110,997.13)	204	HRA Waiver Claims	2013
12/12/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(123,297.68)	204	HRA Waiver Claims	2013
12/13/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(119,090.80)	204	HRA Waiver Claims	2013
12/16/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(229,693.12)	204	HRA Waiver Claims	2013
12/17/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(75,509.12)	204	HRA Waiver Claims	2013
12/18/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(109,993.09)	204	HRA Waiver Claims	2013
12/19/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(125,029.57)	204	HRA Waiver Claims	2013
12/20/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(154,314.06)	204	HRA Waiver Claims	2013
12/23/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(300,000.00)	204	HRA Waiver Claims	2013
12/23/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(264,720.49)	204	HRA Waiver Claims	2013
12/27/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(300,000.00)	204	HRA Waiver Claims	2013
12/30/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(229,570.84)	204	HRA Waiver Claims	2013
12/30/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	600,000.00	204	HRA Waiver Claims	2013
12/30/13	HRA CLAIM PAYMENTS (HRA WAIVER 2013)	(309,273.74)	204	HRA Waiver Claims	2013
12/03/13	EXPRESS SCRIPTS 12/3/2013	(5,924,681.46)	301	Pharmacy Claims	2013
12/10/13	EXPRESS SCRIPTS 12/10/2013	(7,066,081.54)	301	Pharmacy Claims	2013
12/17/13	EXPRESS SCRIPTS 12/17/2013	(7,435,353.62)	301	Pharmacy Claims	2013
12/26/13	EXPRESS SCRIPTS 12/26/2013	(7,800,958.75)	301	Pharmacy Claims	2013
12/30/13	EXPRESS SCRIPTS 11/05/2013	(64,850.56)	302	Express Scripts Admin	2013
12/30/13	EXPRESS SCRIPTS 11/05/2013	(70,338.30)	302	Express Scripts Admin	2013
12/30/13	EXPRESS SCRIPTS 11/12/2013	(130,494.05)	302	Express Scripts Admin	2013
12/30/13	EXPRESS SCRIPTS 11/26/2013	(133,664.62)	302	Express Scripts Admin	2013
12/30/13	EXPRESS SCRIPTS 11/19/2013	(135,574.53)	302	Express Scripts Admin	2013

Kentucky Employees' Health Plan – Status Report

Attachment A

4th Quarter, 2013

Page A-17

DATE	DESCRIPTION	AMOUNT	LRC Code	LRC Description	Plan Year
12/02/13	KY STATE TREASURY CHECK CANCELLATION FEE	(5.00)	501	Operating Transfer/Exp & Adj	2013
12/30/13	ERRP FUNDS TRANSFER	(30,015,849.88)	501	Operating Transfer/Exp & Adj	2013
	December Activity	<u>(\$32,774,402.93)</u>			
	Ending Balance	<u>\$156,410,895.35</u>			

December Account Summary		
101	Premiums	135,296,648.61
102	HRA Waiver Contribution	3,850,383.66
110	Interest Income	15,468.86
120	Drug Rebates	0.00
130	Transfers From other plan year(s)	0.00
201	Medical Claims	(103,516,445.74)
202	Humana Admin	(6,034,339.51)
203	HRA Select Claims	(1,073,646.60)
204	HRA Waiver Claims	(2,534,619.90)
301	Pharmacy Claims	(28,227,075.37)
302	Express Scripts Admin	(534,922.06)
500	Transfers To other plan year(s)	0.00
501	Operating Transfer/ Exp & Adj	(30,015,854.88)
Account Activity – December 2013		<u>(32,774,402.93)</u>

Summary of Transfers between Plan Years

	2006	2007	2008
KRS SUBSIDY			
KRS SUBSIDY REPAYMENT	5,750,469.94		(5,750,469.94)
KRS FAMILY SUBSIDY	(1,148,095.15)		1,148,095.15
KRS INITIALS FAMILY SUBSIDY	(5,551.49)		5,551.49
KRS FAMILY SUBSIDY	(1,148,140.45)		1,148,140.45
KRS INITIALS FAMILY SUBSIDY	(3,194.56)		3,194.56
KRS FAMILY SUBSIDY	(1,145,845.38)		1,145,845.38
KRS PREMIUM SUBSIDY	(2,822.95)		2,822.95
KRS PREMIUM SUBSIDY	(1,146,453.32)		1,146,453.32
KRS PREMIUM SUBSIDY	(4,336.94)		4,336.94
KRS PREMIUM SUBSIDY	(1,146,029.69)		1,146,029.69
KRS FINAL TOTAL AFTER REPAYMENT	0.01	0.00	(0.01)
TRANSFERS FROM PLAN YEARS			
BUDGETERY TRANSFER PY206	(52,000,000.00)		52,000,000.00
PLAN YEAR TRANSFER 2006	(26,171,597.12)		26,171,597.12
PLAN YEAR TRANSFER 2007		(15,698,731.74)	15,698,731.74
TOTAL NON SUBSIDY PY TRANSFERS	(78,171,597.12)	(15,698,731.74)	93,870,328.86
KTRS SUBSIDY			
KTRS JAN 08 FAMILY SUBSIDY	(658,356.10)		658,356.10
KTRS FEB 08 FAMILY SUBSIDY	(657,763.84)		657,763.84
KTRS MAR 08 FAMILY SUBSIDY	(651,640.10)		651,640.10
KTRS MAY 08 FAMILY SUBSIDY	(644,890.64)		644,890.64
KTRS APR 08 FAMILY SUBSIDY	(704,209.24)		704,209.24
KTRS JUNE 08 FAMILY SUBSIDY	(632,039.18)		632,039.18
TOTAL KTRS SUBSIDY	(3,948,899.10)	0.00	3,948,899.10
TOTAL TRANSFERS BETWEEN PLAN YEARS	<u>(\$82,120,496.21)</u>	<u>(\$15,698,731.74)</u>	<u>\$97,819,227.95</u>

Kentucky Employees' Health Plan – Status Report

Attachment B

**4th Quarter, 2013
Page B-1**

Kentucky Employees' Health Plan – Status Report
Attachment B

4th Quarter, 2013
Page B-2

PLAN YEAR 2006															
2012	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL	
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS <i>TO</i> OTHER PLAN YEAR(S)	OPERATING TRANSFER/ EXP & ADJ	CASH TRANSACTIONS	
2011 Balance Forward	1,102,199,258.24	0.00	13,252,316.59	22,564,128.65	0.00	(762,623,374.56)	(47,449,907.92)	0.00	0.00	(231,474,267.61)	(7,783,597.84)	(82,120,496.21)	(4,000,000.00)	\$2,564,059.34	
January-12	0.00	0.00	59.70	0.00	0.00	48,977.55	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$49,037.25	
February-12	0.00	0.00	49.64	0.00	0.00	(88.62)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	(\$38.98)	
March-12	0.00	0.00	53.54	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$53.54	
April-12	0.00	0.00	241.06	0.00	0.00	47,367.73	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$47,608.79	
May-12	0.00	0.00	2,370.95	0.00	0.00	34,573.36	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$36,944.31	
June-12	0.00	0.00	566.63	0.00	0.00	(665.17)	0.00	0.00	0.00	0.00	0.00	(2,697,565.71)	0.00	(\$2,697,664.25)	
July-12	0.00	0.00	0.00	0.00	0.00	1,342.05	0.00	0.00	0.00	0.00	0.00	(1,342.05)	0.00	\$0.00	
August-12	0.00	0.00	0.00	0.00	0.00	30,930.97	0.00	0.00	0.00	0.00	0.00	(30,930.97)	0.00	\$0.00	
September-12	0.00	0.00	0.00	0.00	0.00	30,930.97	0.00	0.00	0.00	0.00	0.00	(30,930.97)	0.00	\$0.00	
October-12	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$0.00	
November-12	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$0.00	
December-12	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$0.00	
Plan Year Transactions to Date	1,102,199,258.24	0.00	13,255,658.11	22,564,128.65	0.00	(762,430,005.72)	(47,449,907.92)	0.00	0.00	(231,474,267.61)	(7,783,597.84)	(84,881,265.91)	(4,000,000.00)	(\$0.00)	

500 | Per HB 265 plan year balances for 2006, 2007, 2008, and 2009 as of December 31, 2011, and all subsequent balances from those plan years were transferred to Plan Year 2010.

Kentucky Employees' Health Plan – Status Report
Attachment B

4th Quarter, 2013
Page B-3

PLAN YEAR 2006																
2011	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL		
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS <i>TO</i> OTHER PLAN YEAR(S)	OPERATING TRANSFER/ EXP & ADJ	CASH TRANSACTIONS		
2010 Balance Forward	1,102,199,258.24	0.00	13,246,003.03	22,564,128.65	0.00	(762,907,721.82)	(47,449,886.32)	0.00	0.00	(231,474,267.61)	(7,783,597.84)	(82,120,496.21)	(4,000,000.00)		\$2,273,420.12	
January-11	0.00	0.00	703.06	0.00	0.00	92,832.37	0.00	0.00	0.00	0.00	0.00	0.00	0.00		\$93,535.43	
February-11	0.00	0.00	879.01	0.00	0.00	70,242.39	(21.60)	0.00	0.00	0.00	0.00	0.00	0.00		\$71,099.80	
March-11	0.00	0.00	389.19	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00		\$389.19	
April-11	0.00	0.00	396.42	0.00	0.00	75,913.75	0.00	0.00	0.00	0.00	0.00	0.00	0.00		\$76,310.17	
May-11	0.00	0.00	648.91	0.00	0.00	(102.03)	0.00	0.00	0.00	0.00	0.00	0.00	0.00		\$546.88	
June-11	0.00	0.00	2,087.88	0.00	0.00	42,337.98	0.00	0.00	0.00	0.00	0.00	0.00	0.00		\$44,425.86	
July-11	0.00	0.00	0.00	0.00	0.00	4,716.92	0.00	0.00	0.00	0.00	0.00	0.00	0.00		\$4,716.92	
August-11	0.00	0.00	0.00	0.00	0.00	(3,111.30)	0.00	0.00	0.00	0.00	0.00	0.00	0.00		(\$3,111.30)	
September-11	0.00	0.00	149.67	0.00	0.00	605.58	0.00	0.00	0.00	0.00	0.00	0.00	0.00		\$755.25	
October-11	0.00	0.00	356.65	0.00	0.00	695.46	0.00	0.00	0.00	0.00	0.00	0.00	0.00		\$1,052.11	
November-11	0.00	0.00	312.19	0.00	0.00	216.14	0.00	0.00	0.00	0.00	0.00	0.00	0.00		\$528.33	
December-11	0.00	0.00	390.58	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00		\$390.58	
Plan Year Transactions to Date	1,102,199,258.24	0.00	13,252,316.59	22,564,128.65	0.00	(762,623,374.56)	(47,449,907.92)	0.00	0.00	(231,474,267.61)	(7,783,597.84)	(82,120,496.21)	(4,000,000.00)		\$2,564,059.34	

Kentucky Employees' Health Plan – Status Report
Attachment B

4th Quarter, 2013
Page B-4

PLAN YEAR 2006																
2010	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL		
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS FROM OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS TO OTHER PLAN YEAR(S)	OPERATING TRANSFER/ EXP & ADJ	CASH TRANSACTIONS		
2009 Balance Forward	1,102,199,258.24	0.00	13,240,658.47	22,564,128.65	0.00	(763,459,613.93)	(47,449,798.19)	0.00	0.00	(231,462,058.76)	(7,782,832.84)	(82,120,496.21)	(4,000,000.00)		\$1,729,245.43	
January-10	0.00	0.00	1,336.36	0.00	0.00	(34,298.48)	(88.13)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	(\$33,050.25)	
February-10	0.00	0.00	0.00	0.00	0.00	66,267.60	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$66,267.60	
March-10	0.00	0.00	31.77	0.00	0.00	160,773.72	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$160,805.49	
April-10	0.00	0.00	19.79	0.00	0.00	(4,924.48)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	(\$4,904.69)	
May-10	0.00	0.00	10.12	0.00	0.00	29,581.39	0.00	0.00	0.00	(12,208.85)	(765.00)	0.00	0.00		\$16,617.66	
June-10	0.00	0.00	55.85	0.00	0.00	(5,386.80)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	(\$5,330.95)	
July-10	0.00	0.00	0.00	0.00	0.00	(494.20)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	(\$494.20)	
August-10	0.00	0.00	409.71	0.00	0.00	53,247.53	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$53,657.24	
September-10	0.00	0.00	845.08	0.00	0.00	(1,571.87)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	(\$726.79)	
October-10	0.00	0.00	1,117.34	0.00	0.00	298,242.39	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$299,359.73	
November-10	0.00	0.00	522.42	0.00	0.00	(10,873.22)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	(\$10,350.80)	
December-10	0.00	0.00	996.12	0.00	0.00	1,328.53	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$2,324.65	
Plan Year Transactions to Date	1,102,199,258.24	0.00	13,246,003.03	22,564,128.65	0.00	(762,907,721.82)	(47,449,886.32)	0.00	0.00	(231,474,267.61)	(7,783,597.84)	(82,120,496.21)	(4,000,000.00)		\$2,273,420.12	

Kentucky Employees' Health Plan – Status Report
Attachment B

4th Quarter, 2013
Page B-5

PLAN YEAR 2006																
2009	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL		
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS <i>TO</i> OTHER PLAN YEAR(S)	OTHER PAYMENTS/ADJUSTMENTS	CASH TRANSACTIONS		
2008 Balance Forward	1,102,199,258.24	0.00	13,213,543.20	22,564,128.65	0.00	(763,250,044.64)	(47,449,461.43)	0.00	0.00	(231,460,977.27)	(7,782,861.05)	(82,120,496.21)	(4,000,000.00)		\$1,913,089.49	
January-09	0.00	0.00	1,229.50	0.00	0.00	(33,318.06)	(193.80)	0.00	0.00	0.00	53.21	0.00	0.00		(\$32,229.15)	
February-09	0.00	0.00	2,031.35	0.00	0.00	282,701.73	0.00	0.00	0.00	0.00	0.00	0.00	0.00		\$284,733.08	
March-09	0.00	0.00	1,095.53	0.00	0.00	60,104.48	1.84	0.00	0.00	374.69	0.00	0.00	0.00		\$61,576.54	
April-09	0.00	0.00	868.59	0.00	0.00	(497,077.80)	0.00	0.00	0.00	0.00	0.00	0.00	0.00		(\$496,209.21)	
May-09	0.00	0.00	621.62	0.00	0.00	39,736.78	0.00	0.00	0.00	0.00	0.00	0.00	0.00		\$40,358.40	
June-09	0.00	0.00	7,147.71	0.00	0.00	6,552.55	0.00	0.00	0.00	0.00	0.00	0.00	0.00		\$13,700.26	
July-09	0.00	0.00	0.00	0.00	0.00	(45,112.68)	0.00	0.00	0.00	0.00	0.00	0.00	0.00		(\$45,112.68)	
August-09	0.00	0.00	3,168.63	0.00	0.00	(13,364.36)	0.00	0.00	0.00	(184.94)	(12.50)	0.00	0.00		(\$10,393.17)	
September-09	0.00	0.00	3,930.02	0.00	0.00	47,604.47	0.00	0.00	0.00	0.00	0.00	0.00	0.00		\$51,534.49	
October-09	0.00	0.00	404.84	0.00	0.00	(163,960.72)	0.00	0.00	0.00	0.00	0.00	0.00	0.00		(\$163,555.88)	
November-09	0.00	0.00	0.00	0.00	0.00	(5,190.99)	0.00	0.00	0.00	0.00	0.00	0.00	0.00		(\$5,190.99)	
December-09	0.00	0.00	6,617.48	0.00	0.00	111,755.31	(144.80)	0.00	0.00	(1,271.24)	(12.50)	0.00	0.00		\$116,944.25	
Plan Year Transactions to Date	1,102,199,258.24	0.00	13,240,658.47	22,564,128.65	0.00	(763,459,613.93)	(47,449,798.19)	0.00	0.00	(231,462,058.76)	(7,782,832.84)	(82,120,496.21)	(4,000,000.00)		\$1,729,245.43	

Kentucky Employees' Health Plan – Status Report
Attachment B

4th Quarter, 2013
Page B-6

PLAN YEAR 2006															
2008	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL	
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS <i>TO</i> OTHER PLAN YEAR(S)	OTHER PAYMENTS/ADJUSTMENTS	CASH TRANSACTIONS	
2007 Balance Forward	1,102,198,560.68	0.00	11,988,284.19	22,564,128.65	0.00	(781,109,649.73)	(47,320,908.95)	0.00	0.00	(224,014,151.59)	(7,782,779.02)	0.00	(4,000,000.00)	\$72,523,484.23	
January-08	0.00	0.00	332,620.33	0.00	0.00	(430,361.51)	0.00	0.00	0.00	144.64	0.00	(658,356.10)	0.00	(\$755,952.64)	
February-08	0.00	0.00	396,862.96	0.00	0.00	(332,077.22)	0.00	0.00	0.00	509.07	0.00	(657,763.84)	0.00	(\$592,469.03)	
March-08	250.00	0.00	248,839.16	0.00	0.00	17,387,941.45	0.00	0.00	0.00	(7,454,423.55)	0.00	(56,102,467.13)	0.00	(\$45,919,860.07)	
April-08	(142.12)	0.00	93,838.04	0.00	0.00	638,090.74	(460.00)	0.00	0.00	44.25	(1.39)	(1,850,662.56)	0.00	(\$1,119,293.04)	
May-08	0.00	0.00	59,770.67	0.00	0.00	59,299.89	18,124.96	0.00	0.00	4,615.51	(55.62)	3,952,389.72	0.00	\$4,094,145.13	
June-08	0.00	0.00	70,788.90	0.00	0.00	573,581.72	6,781.93	0.00	0.00	589.71	(1.39)	(26,803,636.30)	0.00	(\$26,151,895.43)	
July-08	609.20	0.00	493.17	0.00	0.00	(136,874.42)	0.00	0.00	0.00	23.02	0.00	0.00	0.00	(\$135,749.03)	
August-08	(19.52)	0.00	4,698.23	0.00	0.00	144,188.51	0.00	0.00	0.00	122.66	0.00	0.00	0.00	\$148,989.88	
September-08	0.00	0.00	5,207.99	0.00	0.00	23,017.40	(111.67)	0.00	0.00	41.72	(1.39)	0.00	0.00	\$28,154.05	
October-08	0.00	0.00	3,010.40	0.00	0.00	49,296.56	(14.88)	0.00	0.00	782.00	(19.46)	0.00	0.00	\$53,054.62	
November-08	0.00	0.00	5,721.95	0.00	0.00	(68,199.33)	(152,872.82)	0.00	0.00	725.29	(2.78)	0.00	0.00	(\$214,627.69)	
December-08	0.00	0.00	3,407.21	0.00	0.00	(48,298.70)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	(\$44,891.49)	
Plan Year Transactions to Date	1,102,199,258.24	0.00	13,213,543.20	22,564,128.65	0.00	(763,250,044.64)	(47,449,461.43)	0.00	0.00	(231,460,977.27)	(7,782,861.05)	(82,120,496.21)	(4,000,000.00)	\$1,913,089.49	

201 March 08 Plan year corrections of \$9,933,517.90 were made after Humana supplied plan year information on the claim recoveries.

301 March 08 Weekly pharmacy claims were improperly recorded as medical claims. The correction appears on the March 2008 summary and totals \$7,454,423.55.

500 March 08 transfers between plan years consist of \$52,000,000.00 used to reduce premium rates and \$4,102,467.13 in family subsidies.

500 May 08 included the KRS subsidy repayment of \$5,750,469.94.

500 June 08 transfers between plan years consist of \$26,171,597.12 and \$632,039.18 in family subsidies.

Kentucky Employees' Health Plan – Status Report
Attachment B

4th Quarter, 2013
Page B-7

PLAN YEAR 2006															
2007	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL	
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS FROM OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS TO OTHER PLAN YEAR(S)	OTHER PAYMENTS/ADJUSTMENTS	CASH TRANSACTIONS	
2006 Balance Forward	1,101,364,426.55	0.00	6,705,247.06	11,813,426.09	0.00	(696,060,326.39)	(51,029,179.63)	0.00	0.00	(218,657,413.99)	(7,555,888.27)	0.00	0.00	\$146,580,291.42	
January-07	832,027.80	0.00	613,850.54	0.00	0.00	(31,844,847.77)	(262,090.41)	0.00	0.00	(4,582,841.35)	(238,921.38)	0.00	(4,000,000.00)	(\$39,482,822.57)	
February-07	(2,966.85)	0.00	444,335.78	0.00	0.00	(22,159,667.31)	(71,857.17)	0.00	0.00	(38,556.48)	(412.11)	0.00	0.00	(\$21,829,124.14)	
March-07	(11,583.76)	0.00	720,231.24	5,614,413.50	0.00	(15,627,638.69)	(53,058.39)	0.00	0.00	(3,699.56)	(305.61)	0.00	0.00	(\$9,361,641.27)	
April-07	(1,353.82)	0.00	370,886.65	0.00	0.00	(4,699,274.79)	(39,438.15)	0.00	0.00	(691,896.38)	14,295.86	0.00	0.00	(\$5,046,780.63)	
May-07	8,726.90	0.00	328,961.65	0.00	0.00	(2,847,412.23)	4,150,089.64	0.00	0.00	(33,784.24)	(796.09)	0.00	0.00	\$1,605,785.63	
June-07	4,584.74	0.00	750,625.98	5,136,289.06	0.00	(2,250,182.11)	(9,060.50)	0.00	0.00	(1,695.23)	(205.53)	0.00	0.00	\$3,630,356.41	
July-07	36.40	0.00	0.00	0.00	0.00	(1,284,653.93)	(6,314.34)	0.00	0.00	(1,452.45)	(135.84)	0.00	0.00	(\$1,292,520.16)	
August-07	3,143.22	0.00	422,572.11	0.00	0.00	(1,126,858.57)	0.00	0.00	0.00	(1,082.55)	(267.55)	0.00	0.00	(\$702,493.34)	
September-07	0.00	0.00	357,876.42	0.00	0.00	(1,453,366.04)	0.00	0.00	0.00	(898.44)	(62.50)	0.00	0.00	(\$1,096,450.56)	
October-07	0.00	0.00	398,634.57	0.00	0.00	(779,179.98)	0.00	0.00	0.00	(549.97)	(45.00)	0.00	0.00	(\$381,140.38)	
November-07	0.00	0.00	0.00	0.00	0.00	(691,012.71)	0.00	0.00	0.00	(270.95)	(32.50)	0.00	0.00	(\$691,316.16)	
December-07	1,519.50	0.00	875,062.18	0.00	0.00	(285,229.21)	0.00	0.00	0.00	(10.00)	(2.50)	0.00	0.00	\$591,339.97	
Plan Year Transactions to Date	1,102,198,560.68	0.00	11,988,284.19	22,564,128.65	0.00	(781,109,649.73)	(47,320,908.95)	0.00	0.00	(224,014,151.59)	(7,782,779.02)	0.00	(4,000,000.00)	\$72,523,484.23	

101 Premiums received in 2007 include December lockbox, November / December Cobra payments. Negative amounts reflect refunds.

110 Interest is reported as the information is received from Finance Accounts and as it is received from Humana per the contract

120 Pharmacy rebates are not due under the terms of the contract until 150 days after the end of each quarter.

201 These are claims incurred in 2006, but paid in 2007.

202 Admin fees are on claims incurred in 2006.

301 These are claims incurred on 2006, but paid in 2007.

302 Admin fees are on claims incurred in 2006.

501 For fiscal year 2006, HB380 provided \$4 million from the Trust Fund to help offset the self-insurance administrative cost.

** Premiums forwarded include the escrow account to fund the outstanding claim checks through December 31, 2006. The Claims have been adjusted for the outstanding checks as of December 31, 2006.

Kentucky Employees' Health Plan – Status Report
Attachment B

4th Quarter, 2013
Page B-8

PLAN YEAR 2006														
2006	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS <i>TO</i> OTHER PLAN YEAR(S)	OTHER PAYMENTS/ADJUSTMENTS	CASH TRANSACTIONS
December-05	12,109,520.87	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$12,109,520.87
January-06	58,717,052.66	0.00	0.00	0.00	0.00	(5,858,910.97)	0.00	0.00	0.00	(4,355,323.50)	0.00	0.00	0.00	\$48,502,818.19
February-06	95,279,715.21	0.00	0.00	0.00	0.00	(33,608,116.12)	0.00	0.00	0.00	(27,222,136.63)	0.00	0.00	0.00	\$34,449,462.46
March-06	96,203,652.90	0.00	479,416.24	0.00	0.00	(55,143,313.46)	(8,450,597.05)	0.00	0.00	(22,689,138.39)	0.00	0.00	0.00	\$10,400,020.24
April-06	95,713,661.72	0.00	363,022.39	0.00	0.00	(49,234,826.19)	(4,245,852.36)	0.00	0.00	(15,725,632.15)	(2,123,741.31)	0.00	0.00	\$24,746,632.10
May-06	96,477,472.44	0.00	433,688.68	0.00	0.00	(59,192,900.58)	(4,237,553.40)	0.00	0.00	(21,614,764.42)	(505,455.83)	0.00	0.00	\$11,360,486.89
June-06	75,715,144.70	0.00	1,309,327.87	0.00	0.00	(66,179,114.23)	(8,434,079.16)	0.00	0.00	(17,722,866.45)	(859,244.32)	0.00	0.00	(\$16,170,831.59)
July-06	106,607,010.35	0.00	0.00	0.00	0.00	(62,801,570.87)	(4,262,691.48)	0.00	0.00	(16,921,364.87)	(641,012.65)	0.00	0.00	\$21,980,370.48
August-06	87,156,882.23	0.00	377,971.98	0.00	0.00	(73,872,918.18)	(4,112,992.68)	0.00	0.00	(17,456,752.65)	(746,088.15)	0.00	0.00	(\$8,653,897.45)
September-06	84,428,262.67	0.00	1,577,522.63	5,799,613.36	0.00	(68,916,143.27)	(4,229,309.40)	0.00	0.00	(14,830,311.45)	(653,915.94)	0.00	0.00	\$3,175,718.60
October-06	90,247,993.08	0.00	646,707.29	0.00	0.00	(65,259,252.33)	(4,243,928.76)	0.00	0.00	(22,693,132.66)	(657,804.47)	0.00	0.00	(\$1,959,417.85)
November-06	88,348,883.51	0.00	720,536.99	0.00	0.00	(62,337,283.05)	(4,504,712.82)	0.00	0.00	(18,218,171.50)	(799,688.56)	0.00	0.00	\$3,209,564.57
December-06	85,532,174.21	0.00	797,052.99	6,013,812.73	0.00	(64,828,977.14)	(4,307,462.52)	0.00	0.00	(19,207,819.32)	(568,937.04)	0.00	0.00	\$3,429,843.91
Plan Year Transactions to Date	1,072,537,426.55	0.00	6,705,247.06	11,813,426.09	0.00	(667,233,326.39)	(51,029,179.63)	0.00	0.00	(218,657,413.99)	(7,555,888.27)	0.00	0.00	\$146,580,291.42
Plus: Claims Escrow	28,827,000.00					(28,827,000.00)								\$0.00
Total Adjusted Transactions to Date	\$1,101,364,426.55	\$0.00	\$6,705,247.06	\$11,813,426.09	\$0.00	(\$696,060,326.39)	(\$51,029,179.63)	\$0.00	\$0.00	(\$218,657,413.99)	(\$7,555,888.27)	\$0.00	\$0.00	\$146,580,291.42

** In 2006, the Commonwealth funded the payment of medical claims on an "as cleared" basis. Due to the "as cleared" banking arrangement Humana required the funding of an escrow account of \$28,827,000 to cover an estimated 8 days of medical claims. In 2007, the banking arrangements changed from an "as cleared" to an "as issued" basis for claim payments. This change is due to a recommendation made by our External Auditor. Because of the banking change, Humana will no longer require an escrow account in 2007. The escrow account funds were added into the 2006 premium balance. These funds were then used for 2006 outstanding claim checks.

Kentucky Employees' Health Plan – Status Report
Attachment B

4th Quarter, 2013
Page B-9

PLAN YEAR 2007																
2013	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL		
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	SCRIPTS ADMIN FEES	TRANSFERS <i>TO</i> OTHER PLAN YEAR(S)	OPERATING TRANSFER/ EXP & ADJ	CASH TRANSACTIONS		
2012 Balance Forward	1,140,907,524.60	59,281,406.95	7,947,800.34	24,262,373.59	0.00	(836,800,979.65)	(56,911,781.09)	(2,931,705.94)	(47,117,430.12)	(256,402,961.76)	(8,874,050.30)	(30,082,833.67)	6,722,637.05		\$0.00	
January-13	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00		\$0.00	
February-13	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00		\$0.00	
March-13	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00		\$0.00	
April-13	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00		\$0.00	
May-13	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00		\$0.00	
June-13	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00		\$0.00	
July-13	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00		\$0.00	
August-13	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00		\$0.00	
September-13	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00		\$0.00	
October-13	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00		\$0.00	
November-13	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00		\$0.00	
December-13	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00		\$0.00	
Plan Year Transactions to Date	1,140,907,524.60	59,281,406.95	7,947,800.34	24,262,373.59	0.00	(836,800,979.65)	(56,911,781.09)	(2,931,705.94)	(47,117,430.12)	(256,402,961.76)	(8,874,050.30)	(30,082,833.67)	6,722,637.05		\$0.00	

500 | Per HB 265 plan year balances for 2006, 2007, 2008, and 2009 as of December 31, 2011, and all subsequent balances from those plan years were transferred to Plan Year 2010.

Kentucky Employees' Health Plan – Status Report
Attachment B

4th Quarter, 2013
Page B-10

PLAN YEAR 2007																
2012	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL		
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	SCRIPTS ADMIN FEES	TRANSFERS <i>TO</i> OTHER PLAN YEAR(S)	OPERATING TRANSFER/EXP & ADJ	CASH TRANSACTIONS		
2011 Balance Forward	1,140,703,154.38	59,281,406.95	7,930,288.94	24,262,373.59	0.00	(837,098,994.80)	(56,911,781.09)	(2,931,705.94)	(47,117,431.12)	(256,402,961.76)	(8,874,050.30)	(15,698,731.74)	6,722,637.05	\$13,864,204.16		
January-12	0.00	0.00	322.81	0.00	0.00	25,998.16	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$26,320.97		
February-12	(0.00)	0.00	263.87	0.00	0.00	1,729.39	0.00	0.00	1.00	0.00	0.00	0.00	0.00	\$1,994.26		
March-12	(60.00)	0.00	284.65	0.00	0.00	2,480.25	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$2,704.90		
April-12	60.00	0.00	1,281.85	0.00	0.00	17,852.17	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$19,194.02		
May-12	36,245.61	0.00	12,399.02	0.00	0.00	125,363.90	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$174,008.53		
June-12	(36,245.61)	0.00	2,959.20	0.00	0.00	(2,695.86)	0.00	0.00	0.00	0.00	0.00	(14,052,444.57)	0.00	(\$14,088,426.84)		
July-12	204,249.39	0.00	0.00	0.00	0.00	162,542.66	0.00	0.00	0.00	0.00	0.00	(366,792.05)	0.00	\$0.00		
August-12	54.16	0.00	0.00	0.00	0.00	(35,255.52)	0.00	0.00	0.00	0.00	0.00	35,201.36	0.00	\$0.00		
September-12	66.67	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	(66.67)	0.00	\$0.00		
October-12	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$0.00		
November-12	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$0.00		
December-12	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$0.00		
Plan Year Transactions to Date	1,140,907,524.60	59,281,406.95	7,947,800.34	24,262,373.59	0.00	(836,800,979.65)	(56,911,781.09)	(2,931,705.94)	(47,117,430.12)	(256,402,961.76)	(8,874,050.30)	(30,082,833.67)	6,722,637.05	\$0.00		

500 Per HB 265 plan year balances for 2006, 2007, 2008, and 2009 as of December 31, 2011, and all subsequent balances from those plan years were transferred to Plan Year 2010.

Kentucky Employees' Health Plan – Status Report
Attachment B

4th Quarter, 2013
Page B-11

PLAN YEAR 2007																
2011	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL		
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	SCRIPTS ADMIN FEES	TRANSFERS <i>TO</i> OTHER PLAN YEAR(S)	OPERATING TRANSFER/ EXP & ADJ	CASH TRANSACTIONS		
2010 Balance	1,140,701,315.37	59,281,406.95	7,895,238.44	24,262,373.59	0.00	(837,654,861.11)	(56,911,525.70)	(2,931,705.94)	(47,115,542.33)	(256,390,270.07)	(8,873,890.30)	(15,698,731.74)	6,722,637.05		\$13,286,444.21	
January-11	0.00	0.00	4,108.84	0.00	0.00	175,012.44	0.00	0.00	0.00	0.00	0.00	0.00	0.00		\$179,121.28	
February-11	0.00	0.00	5,000.71	0.00	0.00	105,360.27	(12.00)	0.00	0.00	0.00	0.00	0.00	0.00		\$110,348.98	
March-11	0.00	0.00	2,167.14	0.00	0.00	(24,749.94)	0.00	0.00	0.00	0.00	0.00	0.00	0.00		(\$22,582.80)	
April-11	57,594.62 *	0.00	2,203.40	0.00	0.00	113,682.98	0.00	0.00	26.00	0.00	0.00	0.00	0.00		\$173,507.00	
May-11	103,823.09 *	0.00	3,542.12	0.00	0.00	(6,010.84)	0.00	0.00	0.00	0.00	0.00	0.00	0.00		\$101,354.37	
June-11	(161,417.71) *	0.00	11,478.36	0.00	0.00	58,587.62	(176.13)	0.00	0.00	0.00	0.00	0.00	0.00		(\$91,527.86)	
July-11	1,265.70 *	0.00	0.00	0.00	0.00	44,331.35	0.00	0.00	0.00	0.00	0.00	0.00	0.00		\$45,597.05	
August-11	573.31	0.00	0.00	0.00	0.00	124,545.65	0.00	0.00	(792.44)	(12,024.92)	(92.50)	0.00	0.00		\$112,209.10	
September-11	0.00	0.00	811.89	0.00	0.00	447.63	(67.26)	0.00	0.00	(666.77)	(67.50)	0.00	0.00		\$457.99	
October-11	944.14	0.00	1,934.20	0.00	0.00	(5,734.94)	0.00	0.00	1.00	0.00	0.00	0.00	0.00		(\$2,855.60)	
November-11	(837.03)	0.00	1,692.06	0.00	0.00	(30,524.90)	0.00	0.00	(1,123.35)	0.00	0.00	0.00	0.00		(\$30,793.22)	
December-11	(107.11)	0.00	2,111.78	0.00	0.00	918.99	0.00	0.00	0.00	0.00	0.00	0.00	0.00		\$2,923.66	
Plan Year Transactions to Date	1,140,703,154.38	59,281,406.95	7,930,288.94	24,262,373.59	0.00	(837,098,994.80)	(56,911,781.09)	(2,931,705.94)	(47,117,431.12)	(256,402,961.76)	(8,874,050.30)	(15,698,731.74)	6,722,637.05		\$13,864,204.16	

Kentucky Employees' Health Plan – Status Report
Attachment B

4th Quarter, 2013
Page B-12

PLAN YEAR 2007																
2010	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL		
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS <i>TO</i> OTHER PLAN YEAR(S)	OPERATING TRANSFER/ EXP & ADJ	CASH TRANSACTIONS		
2009 Balance	1,140,715,605.42	59,281,231.95	7,862,561.27	24,262,373.59	0.00	(839,818,253.91)	(56,910,725.19)	(2,931,730.94)	(47,109,221.06)	(256,251,118.15)	(8,866,235.30)	(15,698,731.74)	6,722,637.05	\$11,258,392.99		
January-10	301,150.69	175.00	8,700.51	0.00	0.00	(19,122.64)	(604.51)	0.00	75.00	0.00	0.00	0.00	0.00	\$290,374.05		
February-10	0.00	0.00	0.00	0.00	0.00	510,304.62	0.00	0.00	50.00	0.00	0.00	0.00	0.00	\$510,354.62		
March-10	(315,440.74)	0.00	217.39	0.00	0.00	94,744.57	(190.03)	0.00	140.00	5.40	0.00	0.00	0.00	(\$220,523.41)		
April-10	0.00	0.00	121.82	0.00	0.00	(21,757.91)	0.00	0.00	275.20	0.00	0.00	0.00	0.00	(\$21,360.89)		
May-10	0.00	0.00	62.38	0.00	0.00	322,196.68	0.00	25.00	20.00	(27,864.94)	(1,340.00)	0.00	0.00	\$293,099.12		
June-10	0.00	0.00	349.56	0.00	0.00	(91,560.94)	0.00	0.00	0.00	(92,263.60)	(5,490.00)	0.00	0.00	(\$188,964.98)		
July-10	0.00	0.00	0.00	0.00	0.00	(21,688.70)	0.00	0.00	20.00	0.00	0.00	0.00	0.00	(\$21,668.70)		
August-10	0.00	0.00	2,527.23	0.00	0.00	109,182.31	0.00	0.00	15.00	(22.50)	(22.50)	0.00	0.00	\$111,679.54		
September-10	0.00	0.00	5,119.30	0.00	0.00	(38,725.20)	0.00	0.00	0.00	(16,655.09)	(722.50)	0.00	0.00	(\$50,983.49)		
October-10	0.00	0.00	6,742.31	0.00	0.00	1,299,117.17	(5.97)	0.00	0.00	(401.95)	0.00	0.00	0.00	\$1,305,451.56		
November-10	0.00	0.00	3,037.71	0.00	0.00	(39,250.85)	0.00	0.00	(6,319.72)	(1,949.24)	(80.00)	0.00	0.00	(\$44,562.10)		
December-10	0.00	0.00	5,798.96	0.00	0.00	59,953.69	0.00	0.00	(596.75)	0.00	0.00	0.00	0.00	\$65,155.90		
Plan Year Transactions to Date	1,140,701,315.37	59,281,406.95	7,895,238.44	24,262,373.59	0.00	(837,654,861.11)	(56,911,525.70)	(2,931,705.94)	(47,115,542.33)	(256,390,270.07)	(8,873,890.30)	(15,698,731.74)	6,722,637.05	\$13,286,444.21		

Kentucky Employees' Health Plan – Status Report
Attachment B

4th Quarter, 2013
Page B-13

PLAN YEAR 2007																
2009	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL		
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS <i>TO</i> OTHER PLAN YEAR(S)	OTHER PAYMENTS & ADJUSTMENTS	CASH TRANSACTIONS		
2008 Balance	1,140,715,605.42	59,281,231.95	7,623,443.50	24,262,373.59	0.00	(842,933,324.89)	(56,892,071.80)	(2,933,620.26)	(47,399,523.14)	(256,254,598.42)	(8,866,331.64)	(15,698,731.74)	6,722,637.05		\$7,627,089.62	
January-09	0.00	0.00	87,128.98	0.00	0.00	(250,923.45)	(714.15)	0.00	200.00	0.00	121.35	0.00	0.00		(\$164,187.27)	
February-09	0.00	0.00	8,060.04	0.00	0.00	1,611,598.38	(1,041.09)	0.00	152,070.85	0.00	0.00	0.00	0.00		\$1,770,688.18	
March-09	0.00	0.00	4,671.08	0.00	0.00	870,294.88	(98.28)	0.00	211.24	2,171.52	(7.50)	0.00	0.00		\$877,242.94	
April-09	0.00	0.00	3,953.04	0.00	0.00	(253,110.42)	0.00	254.83	10,269.82	346.39	(4.17)	0.00	0.00		(\$238,290.51)	
May-09	0.00	0.00	3,554.11	0.00	0.00	742,151.23	(442.52)	1,288.95	106,564.83	141.94	0.00	0.00	0.00		\$853,258.54	
June-09	0.00	0.00	43,281.30	0.00	0.00	(578.91)	(1,285.97)	0.00	240.00	0.00	0.00	0.00	0.00		\$41,656.42	
July-09	0.00	0.00	1.64	0.00	0.00	(164,629.57)	(1,285.97)	0.00	1,135.34	0.00	0.00	0.00	0.00		(\$164,778.56)	
August-09	0.00	0.00	19,309.09	0.00	0.00	(412,502.97)	0.00	0.00	5,537.39	351.31	(2.78)	0.00	0.00		(\$387,307.96)	
September-09	0.00	0.00	23,212.64	0.00	0.00	180,410.64	0.00	0.00	4,901.51	65.83	0.00	0.00	0.00		\$208,590.62	
October-09	0.00	0.00	2,369.47	0.00	0.00	7,399.10	0.00	345.54	8,727.15	301.27	(8.06)	0.00	0.00		\$19,134.47	
November-09	0.00	0.00	0.00	0.00	0.00	173,822.45	0.00	0.00	45.00	102.01	(2.50)	0.00	0.00		\$173,966.96	
December-09	0.00	0.00	43,576.38	0.00	0.00	611,139.62	(13,785.41)	0.00	398.95	0.00	0.00	0.00	0.00		\$641,329.54	
Plan Year Transactions to Date	1,140,715,605.42	59,281,231.95	7,862,561.27	24,262,373.59	0.00	(839,818,253.91)	(56,910,725.19)	(2,931,730.94)	(47,109,221.06)	(256,251,118.15)	(8,866,235.30)	(15,698,731.74)	6,722,637.05		\$11,258,392.99	

Kentucky Employees' Health Plan – Status Report
Attachment B

4th Quarter, 2013
Page B-14

PLAN YEAR 2007																
2008	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL		
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS <i>TO</i> OTHER PLAN YEAR(S)	OTHER PAYMENTS & ADJUSTMENTS	CASH TRANSACTIONS		
2007 Balance Forward	1,140,145,513.81	58,856,749.55	6,193,830.77	12,067,730.07	0.00	(758,170,132.00)	(56,477,167.97)	(2,806,678.62)	(42,679,505.27)	(250,479,048.07)	(8,573,031.46)	0.00	9,130,694.38	\$107,208,955.19		
January-08	7,282.49	419,732.00	485,700.30	0.00	0.00	(50,098,583.38)	0.00	(11,648.69)	(1,358,015.54)	(5,868,397.56)	(133,265.01)	0.00	(8,057.33)	(\$56,565,252.72)		
February-08	78,188.93	(700.00)	280,051.57	0.00	0.00	(12,652,813.51)	(149,473.94)	(30,982.99)	(976,055.79)	(24,291.81)	(2,486.56)	0.00	0.00	(\$13,478,564.10)		
March-08	(117,007.81)	3,650.00	297,242.43	5,958,195.90	0.00	(15,149,047.56)	(119,466.76)	(40,157.18)	(1,386,827.08)	(27,676.44)	(643.64)	0.00	0.00	(\$10,581,738.14)		
April-08	327,063.33	400.40	155,664.53	0.00	0.00	(1,842,874.93)	(53,292.46)	(11,024.74)	(488,075.96)	(62.25)	(210.01)	0.00	(2,000,000.00)	(\$3,912,412.09)		
May-08	(11,465.74)	0.00	56,142.90	0.00	0.00	(1,255,673.17)	(62,923.22)	(8,708.87)	(47,475.40)	16,421.38	(276.27)	0.00	(400,000.00)	(\$1,713,958.39)		
June-08	284,415.97	1,312.50	52,551.25	6,236,447.62	0.00	(456,010.93)	(11,436.86)	(908.40)	(43,112.03)	(959.83)	(117.52)	(15,698,731.74)	0.00	(\$9,636,549.97)		
July-08	1,973.19	87.50	1,325.69	0.00	0.00	(1,308,287.03)	0.00	0.00	1,859.23	(266.50)	(56.67)	0.00	0.00	(\$1,303,364.59)		
August-08	(379.68)	87.50	24,229.02	0.00	0.00	(560,191.45)	0.00	(108.08)	3,689.36	(1,098.62)	10,863.60	0.00	0.00	(\$522,908.35)		
September-08	20.93	(87.50)	23,642.40	0.00	0.00	(219,001.89)	(1,995.14)	(1,057.27)	(7,680.87)	170,899.41	(166,859.89)	0.00	0.00	(\$202,119.82)		
October-08	0.00	0.00	13,294.42	0.00	0.00	189,810.10	(13,439.71)	(3,791.04)	(78,022.31)	20,638.29	(219.04)	0.00	0.00	\$128,270.71		
November-08	0.00	0.00	24,810.18	0.00	0.00	(845,852.66)	(2,875.74)	0.00	(529.64)	(128.90)	(17.50)	0.00	0.00	(\$824,594.26)		
December-08	0.00	0.00	14,958.04	0.00	0.00	(564,666.48)	0.00	(18,554.38)	(339,771.84)	(60,627.52)	(11.67)	0.00	0.00	(\$968,673.85)		
Plan Year Transactions to Date	1,140,715,605.42	59,281,231.95	7,623,443.50	24,262,373.59	0.00	(842,933,324.89)	(56,892,071.80)	(2,933,620.26)	(47,399,523.14)	(256,254,598.42)	(8,866,331.64)	(15,698,731.74)	6,722,637.05	\$7,627,089.62		

500 June 08 transfers between plan years consist of \$15,698,731.74 transferred to 2008.

Kentucky Employees' Health Plan – Status Report
Attachment B

4th Quarter, 2013
Page B-15

PLAN YEAR 2007																
2007	101 PREMIUMS	102 HRA WAIVER CONTRIBUTION	110 INTEREST INCOME	120 DRUG REBATES	130 FROM OTHER PLAN YEAR(S)	201 MEDICAL CLAIMS	202 HUMANA ADMIN FEES	203 HRA SELECT CLAIMS	204 HRA WAIVER CLAIMS	301 PHARMACY CLAIMS	302 EXPRESS SCRIPTS ADMIN	500 TRANSFERS TO/FROM	501 OTHER PAYMENTS &	TOTAL CASH TRANSACTIONS		
December-06	145,538.53	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$145,538.53	
January-07	95,628,504.31	890,337.50	0.00	0.00	0.00	(12,872,647.41)	(4,344,187.52)	(70,023.79)	(1,638,005.91)	(19,220,941.75)	(578,897.33)	0.00	10,806,011.40		\$68,600,149.50	
February-07	94,760,208.66	7,028,187.50	285,218.40	0.00	0.00	(54,138,312.89)	(4,437,008.00)	(182,325.14)	(4,371,073.41)	(19,185,904.92)	(843,518.78)	0.00	100,010.73		\$19,015,482.15	
March-07	92,635,535.95	4,994,500.00	374,953.09	0.00	0.00	(57,423,623.25)	(4,761,706.10)	(539,111.13)	(4,290,599.88)	(19,791,543.39)	(716,832.28)	0.00	59,956.22		\$10,541,529.23	
April-07	95,132,009.43	2,953,650.00	489,438.28	0.00	0.00	(60,354,324.28)	(4,385,383.08)	(207,874.13)	(4,768,571.76)	(18,531,239.51)	(691,816.38)	0.00	0.00		\$9,635,888.57	
May-07	95,697,253.52	9,051,033.88	673,715.75	0.00	0.00	(67,010,154.22)	(4,693,562.22)	(547,762.85)	(4,157,130.61)	(23,510,856.73)	(800,079.05)	0.00	0.00		\$4,702,457.47	
June-07	95,998,911.56	4,913,354.62	1,118,787.04	0.00	0.00	(76,111,445.98)	(4,604,295.32)	(184,726.50)	(4,470,479.22)	(19,666,161.02)	(694,905.56)	0.00	157,230.00		(\$3,543,730.38)	
July-07	94,065,136.94	4,820,107.23	0.00	0.00	0.00	(74,876,669.69)	(93,452.51)	(354,933.71)	(3,998,535.11)	(19,293,908.35)	(501,554.74)	0.00	0.00		(\$233,809.94)	
August-07	92,804,598.20	892,664.70	535,832.71	6,127,725.00	0.00	(76,559,986.56)	(9,397,503.94)	(163,086.56)	(3,859,291.89)	(24,480,548.74)	(801,796.43)	0.00	0.00		(\$14,901,393.51)	
September-07	94,858,022.07	8,625,244.17	613,971.58	0.00	0.00	(58,342,519.13)	(4,640,244.18)	(137,420.21)	(2,730,049.63)	(19,968,399.17)	(690,468.28)	0.00	0.00		\$17,588,137.22	
October-07	136,543,983.44	4,920,047.56	956,738.96	0.00	0.00	(78,704,657.49)	(4,771,304.14)	(152,300.13)	(3,237,230.54)	(25,418,474.07)	(694,655.18)	0.00	(25,157.96)		\$29,416,990.45	
November-07	57,524,524.06	875,086.46	0.00	0.00	0.00	(76,072,441.48)	(5,374,281.49)	(169,977.44)	(2,540,207.78)	(20,369,255.05)	(712,129.49)	0.00	7,486.03		(\$46,831,196.18)	
December-07	94,351,287.14	8,892,535.93	1,145,174.97	5,940,005.07	0.00	(65,703,349.62)	(4,974,239.47)	(97,137.03)	(2,618,329.53)	(21,041,815.37)	(846,377.96)	0.00	(1,974,842.04)		\$13,072,912.09	
Plan Year Transactions to Date	1,140,145,513.81	58,856,749.55	6,193,830.77	12,067,730.07	0.00	(758,170,132.00)	(56,477,167.97)	(2,806,678.62)	(42,679,505.27)	(250,479,048.07)	(8,573,031.46)	0.00	9,130,694.38		\$107,208,955.20	

101 Received part of January 2007 premiums in December 2006.

102 HRA waiver contributions are due monthly. Untimely payments by some agencies account for monthly fluctuations.

110 Interest is reported as the information is received from Finance Accounts and as it is received from Humana per the contract.

120 Pharmacy rebates are not due under the terms of the contract until 150 days after the end of each quarter.

201 Medical claims are generally lower in the 1st quarter due to deductibles.

202 ASO fees fluctuate based on enrollment changes (new hires, terminations, disease management program enrollments, etc).

203 HRA funds used under the Select Plan.

204 HRA funds used under the Waiver program. Note \$175 each month is contributed by the agencies for employees that waive coverage. However, employees may use the yearly total (\$2,100.00) starting January 1.

301 Pharmacy Claims

302 Express Scripts administration fees are paid weekly. Fees vary depending on the number of weeks per month.

501 Other payments include 2005 Anthem reimbursements.

102 During April 07 a portion of KDE's HRA (\$2,049,512.50) was misclassified as a premium.

Kentucky Employees' Health Plan – Status Report
Attachment B

4th Quarter, 2013
Page B-16

PLAN YEAR 2008															
2013	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL	
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS FROM OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	SCRIPTS ADMIN FEES	TRANSFERS TO OTHER PLAN YEAR(S)	OPERATING TRANSFER/ EXP & ADJ	CASH TRANSACTIONS	
2012 Balance Forward	1,230,691,400.22	52,090,899.58	3,981,846.10	28,089,928.93	97,819,227.95	(949,213,609.34)	(61,670,290.07)	(4,335,289.87)	(48,364,646.21)	(291,065,510.28)	(9,113,443.00)	6,587,304.83	(55,497,818.84)		(\$0.00)
January-13	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00		\$0.00
February-13	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00		\$0.00
March-13	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00		\$0.00
April-13	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00		\$0.00
May-13	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00		\$0.00
June-13	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00		\$0.00
July-13	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00		\$0.00
August-13	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00		\$0.00
September-13	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00		\$0.00
October-13	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00		\$0.00
November-13	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00		\$0.00
December-13	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00		\$0.00
Plan Year Transactions to Date	1,230,691,400.22	52,090,899.58	3,981,846.10	28,089,928.93	97,819,227.95	(949,213,609.34)	(61,670,290.07)	(4,335,289.87)	(48,364,646.21)	(291,065,510.28)	(9,113,443.00)	6,587,304.83	(55,497,818.84)		(\$0.00)

500 | Per HB 265 plan year balances for 2006, 2007, 2008, and 2009 as of December 31, 2011, and all subsequent balances from those plan years were transferred to Plan Year 2010.

Kentucky Employees' Health Plan – Status Report
Attachment B

4th Quarter, 2013
Page B-17

PLAN YEAR 2008																
2012	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL		
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS <u>FROM</u> OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS <u>TO</u> OTHER PLAN YEAR(S)	OPERATING TRANSFER/ EXP & ADJ	CASH TRANSACTIONS		
2011 Balance Forward	1,230,690,906.30	52,090,899.58	3,990,195.52	28,089,928.93	97,819,227.95	(949,783,032.95)	(61,670,256.23)	(4,335,289.87)	(48,348,525.88)	(291,065,510.28)	(9,113,443.00)	0.00	(55,497,818.84)			(\$7,132,718.77)
January-12	493.92	0.00	(166.07)	0.00	0.00	450,908.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00		\$451,235.85	
February-12	0.00	0.00	(126.93)	0.00	0.00	(86,537.21)	(4.05)	0.00	0.00	0.00	0.00	0.00	0.00		(\$86,668.19)	
March-12	0.00	0.00	(138.67)	0.00	0.00	941.76	(29.79)	(2.84)	0.00	0.00	0.00	0.00	0.00		\$770.46	
April-12	0.00	0.00	(624.30)	0.00	0.00	176,213.24	0.00	0.00	0.00	0.00	0.00	0.00	0.00		\$175,588.94	
May-12	0.00	0.00	(5,873.89)	0.00	0.00	(153,332.22)	0.00	2.84	(7,369.33)	0.00	0.00	0.00	0.00		(\$166,572.60)	
June-12	0.00	0.00	(1,419.56)	0.00	0.00	(4,024.41)	0.00	0.00	(943.77)	0.00	0.00	6,764,752.05	0.00		\$6,758,364.31	
July-12	0.00	0.00	0.00	0.00	0.00	28,449.99	0.00	0.00	(7,807.23)	0.00	0.00	(20,642.76)	0.00		\$0.00	
August-12	0.00	0.00	0.00	0.00	0.00	156,804.46	0.00	0.00	0.00	0.00	0.00	(156,804.46)	0.00		(\$0.00)	
September-12	0.00	0.00	0.00	0.00	0.00	156,804.46	0.00	0.00	0.00	0.00	0.00	(156,804.46)	0.00		(\$0.00)	
October-12	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00		\$0.00	
November-12	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00		\$0.00	
December-12	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00		\$0.00	
Plan Year Transactions to Date	1,230,691,400.22	52,090,899.58	3,981,846.10	28,089,928.93	97,819,227.95	(949,056,804.88)	(61,670,290.07)	(4,335,289.87)	(48,364,646.21)	(291,065,510.28)	(9,113,443.00)	6,430,500.37	(55,497,818.84)			\$0.00

500 | Per HB 265 plan year balances for 2006, 2007, 2008, and 2009 as of December 31, 2011, and all subsequent balances from those plan years were transferred to Plan Year 2010.

Kentucky Employees' Health Plan – Status Report
Attachment B

4th Quarter, 2013
Page B-18

PLAN YEAR 2008																
2011	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL		
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS <u>FROM</u> OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS <u>TO</u> OTHER PLAN YEAR(S)	OPERATING TRANSFER/EXP & ADJ	CASH TRANSACTIONS		
2010 Balance Forward	1,230,690,906.30	52,090,899.58	4,009,303.20	28,089,928.93	97,819,227.95	(951,541,570.09)	(61,666,560.15)	(4,335,289.87)	(48,350,137.39)	(291,054,302.36)	(9,113,148.00)	0.00	(54,997,818.84)			(\$8,358,560.74)
January-11	0.00	0.00	(2,584.89)	0.00	0.00	767,997.86	0.00	0.00	189.78	0.00	0.00	0.00	0.00		\$765,602.75	
February-11	0.00	0.00	(2,819.80)	0.00	0.00	307,166.69	(1,284.01)	0.00	11.65	0.00	0.00	0.00	0.00		\$303,074.53	
March-11	0.00	0.00	(1,163.69)	0.00	0.00	(47,928.22)	0.00	0.00	1,283.81	0.00	0.00	0.00	0.00		(\$47,808.10)	
April-11	0.00	0.00	(1,192.91)	0.00	0.00	432,356.20	(1,579.87)	0.00	27.62	0.00	0.00	0.00	0.00		\$429,611.04	
May-11	0.00	0.00	(1,782.58)	0.00	0.00	(65,120.30)	(99.90)	0.00	0.00	(1,639.88)	(10.00)	0.00	(500,000.00)		(\$568,652.66)	
June-11	0.00	0.00	(6,206.21)	0.00	0.00	169,697.70	(208.67)	0.00	0.00	(1,278.58)	(42.50)	0.00	0.00		\$161,961.74	
July-11	0.00	0.00	0.00	0.00	0.00	128,567.15	0.00	0.00	14.80	(389.47)	(52.50)	0.00	0.00		\$128,139.98	
August-11	0.00	0.00	0.00	0.00	0.00	(13,863.95)	0.00	0.00	0.00	(8,394.18)	(22.50)	0.00	0.00		(\$22,280.63)	
September-11	0.00	0.00	(421.24)	0.00	0.00	152,324.19	(411.17)	0.00	83.85	(572.34)	(167.50)	0.00	0.00		\$150,835.79	
October-11	0.00	0.00	(982.50)	0.00	0.00	(70,450.59)	0.00	0.00	0.00	0.00	0.00	0.00	0.00		(\$71,433.09)	
November-11	0.00	0.00	(868.37)	0.00	0.00	5,537.67	(112.46)	0.00	0.00	0.00	0.00	0.00	0.00		\$4,556.84	
December-11	0.00	0.00	(1,085.49)	0.00	0.00	(7,747.26)	0.00	0.00	0.00	1,066.53	0.00	0.00	0.00		(\$7,766.22)	
Plan Year Transactions to Date	1,230,690,906.30	52,090,899.58	3,990,195.52	28,089,928.93	97,819,227.95	(949,783,032.95)	(61,670,256.23)	(4,335,289.87)	(48,348,525.88)	(291,065,510.28)	(9,113,443.00)	0.00	(55,497,818.84)			(\$7,132,718.77)

Kentucky Employees' Health Plan – Status Report
Attachment B

4th Quarter, 2013
Page B-19

PLAN YEAR 2008																
2010	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL		
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	SCRIPTS ADMIN FEES	EXPRESS FEES	TRANSFERS <i>TO</i> OTHER PLAN YEAR(S)	OPERATING TRANSFER/EXP & ADJ	CASH TRANSACTIONS	
2009 Balance Forward	1,230,690,906.30	55,623,196.96	4,007,504.32	28,089,928.93	97,819,227.95	(953,887,686.24)	(61,566,897.78)	(4,318,630.47)	(48,262,625.30)	(290,801,469.35)	(9,100,846.07)	0.00	(54,997,818.84)		(\$6,705,209.59)	
January-10	0.00	(805,842.07)	18,774.16	0.00	0.00	(312,273.79)	(93,393.28)	(9,668.92)	(51,733.92)	(1,544.81)	(99.73)	0.00	0.00		(\$1,255,782.36)	
February-10	0.00	0.00	5.67	0.00	0.00	238,286.41	0.00	(7,384.09)	(48,226.39)	0.00	0.00	0.00	0.00		\$182,681.60	
March-10	0.00	(401,362.91)	418.59	0.00	0.00	507,776.84	(5,319.22)	0.00	294.66	9,086.58	0.00	0.00	0.00		\$110,894.54	
April-10	0.00	0.00	242.97	0.00	0.00	(160,893.46)	0.00	0.00	4,819.85	178.86	(10.56)	0.00	0.00		(\$155,662.34)	
May-10	0.00	0.00	122.33	0.00	0.00	675,134.79	(626.89)	66.00	16.01	(82,202.59)	(3,187.78)	0.00	0.00		\$589,321.87	
June-10	0.00	(2,325,792.40)	(208.80)	0.00	0.00	(235,297.77)	(315.45)	22.27	3,227.32	(148,012.20)	(7,605.00)	0.00	0.00		(\$2,713,982.03)	
July-10	0.00	0.00	0.00	0.00	0.00	(137,046.04)	0.00	153.76	1,598.56	(2,585.29)	(172.45)	0.00	0.00		(\$138,051.46)	
August-10	0.00	0.00	(2,142.00)	0.00	0.00	382,782.57	(4.53)	151.58	2,090.94	(7,526.67)	(165.00)	0.00	0.00		\$375,186.89	
September-10	0.00	0.00	(4,138.70)	0.00	0.00	(197,270.29)	0.00	0.00	150.00	(1,254.45)	(295.00)	0.00	0.00		(\$202,808.44)	
October-10	0.00	0.00	(5,588.38)	0.00	0.00	1,472,974.03	(3.00)	0.00	250.88	(257.60)	(20.00)	0.00	0.00		\$1,467,355.93	
November-10	0.00	700.00	(1,934.04)	0.00	0.00	(89,902.64)	0.00	0.00	0.00	(18,557.28)	(688.91)	0.00	0.00		(\$110,382.87)	
December-10	0.00	0.00	(3,752.92)	0.00	0.00	201,845.50	0.00	0.00	0.00	(157.56)	(57.50)	0.00	0.00		\$197,877.52	
Plan Year Transactions to Date	1,230,690,906.30	52,090,899.58	4,009,303.20	28,089,928.93	97,819,227.95	(951,541,570.09)	(61,666,560.15)	(4,335,289.87)	(48,350,137.39)	(291,054,302.36)	(9,113,148.00)	0.00	(54,997,818.84)		(\$8,358,560.74)	

Kentucky Employees' Health Plan – Status Report
Attachment B

4th Quarter, 2013
Page B-20

PLAN YEAR 2008																
2009	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL		
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	SCRIPTS ADMIN FEES	EXPRESS FEES	TRANSFERS <i>TO</i> OTHER PLAN YEAR(S)	OTHER PAYMENTS & ADJUSTMENTS	CASH TRANSACTIONS	
2008 Balance Forward	1,229,877,980.55	55,853,875.83	3,303,990.27	13,737,665.63	97,819,227.95	(864,501,136.77)	(60,985,385.97)	(3,977,886.58)	(44,438,452.79)	(287,588,732.91)	(8,918,940.15)	0.00	(2,447,818.84)	\$127,734,386.22		
January-09	31,731,638.32	(5,956.00)	52,421.76	0.00	0.00	(61,732,064.09)	(111,858.77)	(87,199.44)	(1,723,846.38)	(2,877,286.35)	(173,324.90)	0.00	0.00	(\$34,927,475.85)		
February-09	83,289.80	(216,986.49)	100,232.73	0.00	0.00	(15,046,500.84)	(328,281.88)	(36,032.33)	(1,046,472.64)	(136,795.79)	(4,803.24)	0.00	(1,275,000.00)	(\$17,907,350.68)		
March-09	(25,952.98)	0.00	37,890.10	7,055,831.33	0.00	(5,156,748.15)	(46,949.13)	(44,382.95)	(797,807.07)	5,978.11	(494.77)	0.00	0.00	\$1,027,364.49		
April-09	(54,338.09)	801,866.41	29,916.65	0.00	0.00	(5,825,627.66)	0.00	(78,401.52)	(433,651.96)	(10,724.12)	(422.24)	0.00	(1,275,000.00)	(\$6,846,382.53)		
May-09	(1,285.64)	(14,179.64)	24,869.17	0.00	0.00	(1,660,992.90)	(26,408.80)	(4,167.40)	129,916.70	(59,779.83)	(269.54)	0.00	0.00	(\$1,612,297.88)		
June-09	(2,436.98)	(393,225.00)	272,251.30	7,295,841.15	0.00	(636,045.32)	(38,236.87)	10,837.06	(9,928.86)	(49,995.06)	3,417.71	0.00	(50,000,000.00)	(\$43,547,520.87)		
July-09	5,527.22	0.00	50.38	590.82	0.00	(1,566,569.71)	(27,218.53)	(257.85)	(1,276.79)	(1,100.48)	(1,581.39)	0.00	0.00	(\$1,591,836.33)		
August-09	40.80	0.00	40,664.29	0.00	0.00	(1,209,900.95)	0.00	(18,579.15)	18,455.37	(34,345.39)	(1,804.45)	0.00	0.00	(\$1,205,469.48)		
September-09	75,278.69	0.00	47,999.28	0.00	0.00	232,009.00	0.00	(40,929.78)	17,664.56	(3,103.78)	(168.09)	0.00	0.00	\$328,749.88		
October-09	0.00	0.00	4,895.19	0.00	0.00	(396,388.89)	0.00	(19,995.26)	17,802.08	(39,340.49)	(2,095.01)	0.00	0.00	(\$435,122.38)		
November-09	0.00	0.00	0.00	0.00	0.00	1,498,110.29	0.00	(21,778.40)	5,982.89	(5,206.54)	(285.00)	0.00	0.00	\$1,476,823.24		
December-09	0.00	(402,198.15)	92,323.20	0.00	0.00	2,114,169.75	(2,557.83)	143.13	(1,010.41)	(1,036.72)	(75.00)	0.00	0.00	\$1,799,757.97		
Plan Year Transactions to Date	1,261,689,741.69	55,623,196.96	4,007,504.32	28,089,928.93	97,819,227.95	(953,887,686.24)	(61,566,897.78)	(4,318,630.47)	(48,262,625.30)	(290,801,469.35)	(9,100,846.07)	0.00	(54,997,818.84)	\$24,293,625.80		

Kentucky Employees' Health Plan – Status Report
Attachment B

4th Quarter, 2013
Page B-21

PLAN YEAR 2008															
2008	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL	
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS FROM OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS TO OTHER PLAN YEAR(S)	OTHER PAYMENTS & ADJUSTMENTS	CASH TRANSACTIONS	
December-07	1,938,158.97	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$1,938,158.97	
January-08	102,981,143.35	433,603.50	8,824.43	0.00	658,356.10	(25,805,110.62)	(4,842,532.38)	(213,106.55)	(3,074,686.99)	(20,404,177.68)	(569,416.92)	0.00	100,820.75	\$49,273,716.99	
February-08	100,464,108.76	8,726,638.57	283,193.48	0.00	657,763.84	(65,547,690.39)	(4,892,978.86)	(301,274.73)	(3,819,381.00)	(21,910,466.69)	(885,439.23)	0.00	0.00	\$12,774,473.75	
March-08	100,994,511.09	4,751,051.92	222,574.92	0.00	56,102,467.13	(63,303,985.21)	(4,885,611.55)	(712,551.36)	(3,791,359.08)	(21,863,200.61)	(732,679.53)	0.00	0.00	\$66,781,217.72	
April-08	101,332,716.16	4,781,715.67	463,073.97	0.00	1,850,662.56	(73,971,630.76)	(5,201,553.06)	(361,519.58)	(4,919,746.70)	(26,637,509.83)	(710,480.72)	0.00	0.00	(\$3,374,272.29)	
May-08	105,367,420.66	862,281.74	315,479.41	0.00	(3,952,389.72)	(75,836,235.05)	(5,213,601.85)	(439,077.46)	(4,053,707.15)	(20,803,317.81)	(817,622.83)	0.00	0.00	(\$4,570,770.06)	
June-08	104,306,873.36	8,624,814.76	307,986.30	0.00	42,502,368.04	(73,042,375.89)	(4,892,557.54)	(529,936.19)	(4,293,308.91)	(21,928,865.19)	(710,367.63)	0.00	0.00	\$50,344,631.11	
July-08	103,056,822.87	4,587,341.50	72,717.14	0.00	0.00	(78,866,018.11)	(5,286,315.21)	(338,888.30)	(4,368,878.33)	(26,346,647.76)	(551,076.67)	0.00	1,360.41	(\$8,039,582.46)	
August-08	139,548,823.37	4,499,039.82	399,404.36	0.00	0.00	(90,733,996.65)	(4,987,877.31)	(239,199.08)	(3,626,671.10)	(21,863,426.56)	(940,514.01)	0.00	(1,275,000.00)	\$20,780,582.84	
September-08	64,929,512.11	813,018.68	462,947.16	6,912,622.35	0.00	(80,328,448.72)	(5,160,604.69)	(215,549.67)	(3,319,622.76)	(28,153,329.94)	(696,594.97)	0.00	0.00	(\$44,756,050.45)	
October-08	60,335,714.07	8,348,674.55	274,454.73	0.00	0.00	(80,020,099.61)	(5,290,409.74)	(192,110.10)	(3,007,610.81)	(23,270,114.85)	(706,914.01)	0.00	0.00	(\$43,528,415.77)	
November-08	144,083,073.47	822,852.19	257,109.25	0.00	0.00	(71,721,346.46)	(5,240,246.62)	(219,870.06)	(3,141,778.38)	(24,573,391.85)	(866,337.83)	0.00	(1,275,000.00)	\$38,125,063.71	
December-08	69,540,266.92	8,602,842.93	236,225.12	6,825,043.28	0.00	(85,324,199.30)	(5,091,097.16)	(214,803.50)	(3,021,701.58)	(29,834,284.14)	(731,495.80)	0.00	0.00	(\$39,013,203.23)	
Plan Year Transactions to Date	1,198,879,145.16	55,853,875.83	3,303,990.27	13,737,665.63	97,819,227.95	(864,501,136.77)	(60,985,385.97)	(3,977,886.58)	(44,438,452.79)	(287,588,732.91)	(8,918,940.15)	0.00	(2,447,818.84)	\$96,735,550.83	

101 Move \$30,998,835.39 in premium receipts from December, 2008 to January, 2009 to reflect proper allocation of receipts.

Kentucky Employees' Health Plan – Status Report
Attachment B

4th Quarter, 2013
Page B-22

PLAN YEAR 2009															
2013	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL	
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS FROM OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS TO OTHER PLAN YEAR(S)	OPERATING TRANSFER/EXP & ADJ	CASH TRANSACTIONS	
2012 Balance Forward	1,393,951,770.58	55,664,485.80	(143,564.39)	30,206,603.39	0.00	(1,018,973,395.29)	(64,704,353.44)	(12,286,056.34)	(48,448,767.83)	(308,526,140.46)	(9,956,325.10)	(12,772,960.57)	(4,011,296.35)	\$0.00	
January-13	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$0.00	
February-13	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$0.00	
March-13	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$0.00	
April-13	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$0.00	
May-13	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$0.00	
June-13	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$0.00	
July-13	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$0.00	
August-13	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$0.00	
September-13	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$0.00	
October-13	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$0.00	
November-13	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$0.00	
December-13	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$0.00	
Plan Year Transactions to Date	1,393,951,770.58	55,664,485.80	(143,564.39)	30,206,603.39	0.00	(1,018,973,395.29)	(64,704,353.44)	(12,286,056.34)	(48,448,767.83)	(308,526,140.46)	(9,956,325.10)	(12,772,960.57)	(4,011,296.35)	\$0.00	

500 Per HB 265 plan year balances for 2006, 2007, 2008, and 2009 as of December 31, 2011, and all subsequent balances from those plan years were transferred to Plan Year 2010.

Kentucky Employees' Health Plan – Status Report
Attachment B

4th Quarter, 2013
Page B-23

PLAN YEAR 2009															
2012	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL	
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS FROM OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS TO OTHER PLAN YEAR(S)	OPERATING TRANSFER/EXP & ADJ	CASH TRANSACTIONS	
2011 Balance Forward	1,393,953,469.18	55,664,485.80	(158,751.20)	30,206,603.39	0.00	(1,021,402,308.94)	(64,702,781.60)	(12,287,072.28)	(48,451,869.92)	(308,531,453.64)	(9,956,208.43)	0.00	(4,011,296.35)	\$10,322,816.01	
January-12	0.00	0.00	240.35	0.00	0.00	1,541,165.59	0.00	65.20	1,686.02	701.15	(11.67)	0.00	0.00	\$1,543,846.64	
February-12	0.00	0.00	225.43	0.00	0.00	(102,974.48)	(975.74)	245.00	305.45	0.00	0.00	0.00	0.00	(\$103,174.34)	
March-12	(1,698.60)	0.00	241.02	0.00	0.00	(187,520.81)	(12.60)	0.00	70.00	(1,495.92)	(80.00)	0.00	0.00	(\$190,496.91)	
April-12	0.00	0.00	1,067.62	0.00	0.00	467,281.25	(44.73)	200.11	20.00	(542.25)	(25.00)	0.00	0.00	\$467,957.00	
May-12	0.00	0.00	10,729.59	0.00	0.00	713,350.21	(5.31)	371.99	445.57	6,673.70	0.00	0.00	0.00	\$731,565.75	
June-12	0.00	0.00	2,682.80	0.00	0.00	(38,181.11)	(533.46)	83.64	(25.00)	(23.50)	0.00	(12,736,517.52)	0.00	(\$12,772,514.15)	
July-12	0.00	0.00	0.00	0.00	0.00	(24,204.68)	0.00	0.00	265.63	0.00	0.00	23,939.05	0.00	(\$0.00)	
August-12	0.00	0.00	0.00	0.00	0.00	59,654.77	0.00	50.00	334.42	0.00	0.00	(60,039.19)	0.00	(\$0.00)	
September-12	0.00	0.00	0.00	0.00	0.00	342.91	0.00	0.00	0.00	0.00	0.00	(342.91)	0.00	(\$0.00)	
October-12	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$0.00	
November-12	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$0.00	
December-12	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$0.00	
Plan Year Transactions to Date	1,393,951,770.58	55,664,485.80	(143,564.39)	30,206,603.39	0.00	(1,018,973,395.29)	(64,704,353.44)	(12,286,056.34)	(48,448,767.83)	(308,526,140.46)	(9,956,325.10)	(12,772,960.57)	(4,011,296.35)	\$0.00	

500 Per HB 265 plan year balances for 2006, 2007, 2008, and 2009 as of December 31, 2011, and all subsequent balances from those plan years were transferred to Plan Year 2010.

Kentucky Employees' Health Plan – Status Report
Attachment B

4th Quarter, 2013
Page B-24

PLAN YEAR 2009															
2011	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL	
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS FROM OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS TO OTHER PLAN YEAR(S)	OPERATING TRANSFER/EXP & ADJ	CASH TRANSACTIONS	
2010 Balance Forward	1,393,953,469.18	55,664,485.80	(182,808.97)	30,206,603.39	0.00	(1,024,954,193.76)	(64,678,191.21)	(12,297,674.67)	(48,479,712.61)	(308,430,574.58)	(9,950,444.78)	0.00	(4,011,296.35)	\$6,839,661.44	
January-11	0.00	0.00	2,115.44	0.00	0.00	1,571,119.64	0.00	(45.26)	6,279.87	780.82	(583.07)	0.00	0.00	\$1,579,667.44	
February-11	0.00	0.00	3,140.16	0.00	0.00	1,079,316.92	(5,754.41)	613.89	8,117.23	(6.15)	(27.78)	0.00	0.00	\$1,085,399.86	
March-11	0.00	0.00	1,517.36	0.00	0.00	(409,331.72)	0.00	877.00	1,847.71	(30,933.18)	(2,643.07)	0.00	0.00	(\$438,665.90)	
April-11	0.00	0.00	1,473.90	0.00	0.00	1,195,692.97	(1,115.62)	6,244.97	3,832.47	(617.28)	(42.50)	0.00	0.00	\$1,205,468.91	
May-11	0.00	0.00	2,657.41	0.00	0.00	(360,902.93)	(4,436.71)	300.00	374.79	(5,769.89)	(247.78)	0.00	0.00	(\$368,025.11)	
June-11	0.00	0.00	8,220.60	0.00	0.00	405,339.18	(8,880.78)	501.64	2,376.98	(16,494.64)	0.00	0.00	0.00	\$391,062.98	
July-11	0.00	0.00	0.00	0.00	0.00	494,682.90	0.00	0.00	340.00	(15,112.84)	(1,167.50)	0.00	0.00	\$478,742.56	
August-11	0.00	0.00	0.00	0.00	0.00	(128,779.29)	(190.53)	100.00	270.00	(27,218.17)	(355.00)	0.00	0.00	(\$156,172.99)	
September-11	0.00	0.00	620.39	0.00	0.00	(93,198.96)	(1,007.39)	1,659.87	3,392.83	(7,033.25)	(652.50)	0.00	0.00	(\$96,219.01)	
October-11	0.00	0.00	1,464.53	0.00	0.00	(84,758.38)	(6.30)	21.90	769.00	83.40	(8.06)	0.00	0.00	(\$82,433.91)	
November-11	0.00	0.00	1,271.41	0.00	0.00	(88,375.55)	(3,198.65)	278.38	191.81	(352.41)	(22.50)	0.00	0.00	(\$90,207.51)	
December-11	0.00	0.00	1,576.57	0.00	0.00	(28,919.96)	0.00	50.00	50.00	1,794.53	(13.89)	0.00	0.00	(\$25,462.75)	
Plan Year Transactions to Date	1,393,953,469.18	55,664,485.80	(158,751.20)	30,206,603.39	0.00	(1,021,402,308.94)	(64,702,781.60)	(12,287,072.28)	(48,451,869.92)	(308,531,453.64)	(9,956,208.43)	0.00	(4,011,296.35)	\$10,322,816.01	

Kentucky Employees' Health Plan – Status Report
Attachment B

4th Quarter, 2013
Page B-25

PLAN YEAR 2009																
2010	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL		
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS FROM OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS TO OTHER PLAN YEAR(S)	OPERATING TRANSFER/EXP & ADJ	CASH TRANSACTIONS		
2009 Balance Forward	1,335,567,591.81	53,760,719.06	(197,347.69)	13,736,883.35	0.00	(938,874,352.07)	(63,981,291.05)	(11,520,857.46)	(44,487,091.79)	(303,575,659.36)	(9,643,151.80)	0.00	(2,736,296.35)		\$28,049,146.65	
January-10	54,835,551.60	1,552,729.38	(2,279.52)	0.00	0.00	(59,508,858.50)	(141,041.02)	(299,514.62)	(1,620,486.12)	(4,371,296.64)	(318,936.11)	0.00	(1,275,000.00)		(\$11,149,131.55)	
February-10	2,808,530.43	(45,862.64)	5,635.11	8,532,678.01	0.00	(14,612,344.80)	0.00	(110,963.66)	(901,689.31)	0.00	0.00	0.00	0.00		(\$4,324,016.86)	
March-10	253,023.19	394,625.00	(332.10)	0.00	0.00	(7,374,330.06)	(499,457.33)	(145,088.62)	(847,559.40)	91,312.01	0.00	0.00	0.00		(\$8,127,807.31)	
April-10	121,570.29	0.00	193.75	0.00	0.00	(2,877,345.58)	0.00	(89,780.21)	(591,566.11)	3,077.95	37,160.34	0.00	0.00		(\$3,396,689.57)	
May-10	713.39	0.00	(158.07)	7,937,042.03	0.00	(1,297,270.46)	(36,843.59)	(6,392.34)	(27,978.57)	(112,804.43)	(4,586.76)	0.00	0.00		\$6,451,721.20	
June-10	365,273.49	175.00	216.58	0.00	0.00	(1,517,407.28)	(5,979.42)	(121,761.77)	(4,236.17)	(279,939.85)	(13,297.51)	0.00	0.00		(\$1,576,956.93)	
July-10	1,466.98	0.00	0.00	0.00	0.00	(1,120,848.39)	0.00	(920.35)	3,687.93	(72,415.94)	(3,487.02)	0.00	0.00		(\$1,192,516.79)	
August-10	0.00	0.00	1,022.48	0.00	0.00	1,395,030.91	(3,853.54)	1,282.88	7,509.67	(14,242.47)	(780.00)	0.00	0.00		\$1,385,969.93	
September-10	0.00	0.00	2,608.25	0.00	0.00	(697,531.21)	0.00	885.47	(3,147.96)	(5,083.32)	(425.00)	0.00	0.00		(\$702,693.77)	
October-10	0.00	0.00	3,071.10	0.00	0.00	1,848,458.94	(1,137.95)	(1,506.72)	296.57	(5,161.86)	(75.00)	0.00	0.00		\$1,843,945.08	
November-10	(252.00)	2,100.00	1,662.67	0.00	0.00	(554,204.31)	(8,587.31)	(397.14)	(2,325.32)	(88,219.34)	(2,399.80)	0.00	0.00		(\$652,622.55)	
December-10	0.00	0.00	2,898.47	0.00	0.00	236,809.05	0.00	(2,660.13)	(5,126.03)	(141.33)	(466.12)	0.00	0.00		\$231,313.91	
Plan Year Transactions to Date	1,393,953,469.18	55,664,485.80	(182,808.97)	30,206,603.39	0.00	(1,024,954,193.76)	(64,678,191.21)	(12,297,674.67)	(48,479,712.61)	(308,430,574.58)	(9,950,444.78)	0.00	(4,011,296.35)		\$6,839,661.44	

Kentucky Employees' Health Plan – Status Report
Attachment B

4th Quarter, 2013
Page B-26

PLAN YEAR 2009															
2009	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL	
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS FROM OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS TO OTHER PLAN YEAR(S)	OTHER PAYMENTS & ADJUSTMENTS	CASH TRANSACTIONS	
2008 Balance Forward	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$0.00
January-09	36,523,672.87	804,529.84	0.00	0.00	0.00	(23,435,843.21)	(4,930,939.18)	(739,916.87)	(3,247,618.49)	(19,410,459.48)	(660,148.43)	0.00	0.00		(\$15,096,722.95)
February-09	116,054,907.31	4,557,333.81	(49,783.83)	0.00	0.00	(62,639,521.72)	(5,408,353.43)	(916,673.62)	(3,511,161.00)	(22,139,001.69)	(773,218.49)	0.00	0.00		\$25,174,527.34
March-09	118,034,176.38	8,311,362.50	(10,583.51)	0.00	0.00	(79,699,086.09)	(5,248,643.35)	(1,306,111.60)	(4,479,711.29)	(29,642,145.83)	(818,677.84)	0.00	0.00		\$5,140,579.37
April-09	113,488,406.75	12,424.58	(6,011.31)	0.00	0.00	(72,349,320.85)	(5,169,296.47)	(1,087,327.00)	(4,101,384.86)	(22,844,015.08)	(788,213.60)	0.00	0.00		\$7,155,262.16
May-09	115,735,916.14	8,282,061.77	2,316.03	0.00	0.00	(85,880,553.65)	(5,303,473.86)	(1,052,889.47)	(3,741,214.09)	(22,661,572.67)	(653,544.66)	0.00	0.00		\$4,727,045.54
June-09	125,207,437.95	4,905,574.70	(15,729.98)	0.00	0.00	(84,589,642.73)	(5,397,893.22)	(1,190,859.72)	(4,659,628.18)	(29,139,580.50)	(1,041,378.61)	0.00	0.00		\$4,078,299.71
July-09	109,584,086.70	4,454,417.06	4,789.35	0.00	0.00	(93,786,698.95)	(5,274,363.23)	(934,666.41)	(4,403,612.75)	(22,789,047.74)	(761,661.19)	0.00	(1,273,348.65)		(\$15,180,105.81)
August-09	111,338,667.35	4,404,735.89	(27,317.18)	0.00	0.00	(84,419,676.60)	(5,143,301.79)	(856,381.60)	(3,713,992.84)	(23,346,474.91)	(760,792.84)	0.00	0.00		(\$2,524,534.52)
September-09	116,503,546.20	4,346,377.77	(39,821.33)	7,023,397.82	0.00	(85,318,827.94)	(5,505,684.21)	(899,214.76)	(3,312,977.27)	(30,057,559.47)	(927,016.37)	0.00	0.00		\$1,812,220.44
October-09	114,644,530.40	4,470,702.84	1,352.22	0.00	0.00	(89,791,379.90)	(5,554,309.19)	(890,145.92)	(3,085,098.37)	(25,050,976.42)	(956,600.13)	0.00	(1,275,000.00)		(\$7,486,924.47)
November-09	116,247,533.00	4,403,589.15	0.00	0.00	0.00	(75,940,040.02)	(5,216,766.28)	(808,452.95)	(2,807,527.44)	(25,778,024.78)	(681,099.06)	0.00	0.00		\$9,419,211.62
December-09	142,204,710.76	4,807,609.15	(56,558.15)	6,713,485.53	0.00	(101,023,760.41)	(5,828,266.84)	(838,217.54)	(3,423,165.21)	(30,716,800.79)	(820,800.58)	0.00	(187,947.70)		\$10,830,288.22
Plan Year Transactions to Date	1,335,567,591.81	53,760,719.06	(197,347.69)	13,736,883.35	0.00	(938,874,352.07)	(63,981,291.05)	(11,520,857.46)	(44,487,091.79)	(303,575,659.36)	(9,643,151.80)	0.00	(2,736,296.35)		\$28,049,146.65

101 Move \$30,998,835.39 in premium receipts from December, 2008 to January, 2009 to reflect proper allocation of receipts.

Kentucky Employees' Health Plan – Status Report
Attachment B

4th Quarter, 2013
Page B-27

PLAN YEAR 2010																
2013	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL		
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS FROM OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS TO OTHER PLAN YEAR(S)	OPERATING TRANSFER/EXP & ADJ	CASH TRANSACTIONS		
2012 Balance Forward	1,536,940,834.57	52,205,724.17	279,489.49	28,214,433.38	23,299,596.40	(1,100,649,781.97)	(68,046,367.82)	(14,938,333.39)	(46,870,914.44)	(337,749,803.81)	(10,997,772.82)	0.00	(4,894,978.57)		\$56,792,125.19	
January-13	0.00	0.00	7,535.70	0.00	0.00	318,081.87	0.00	206.19	110.00	2,198.88	0.00	0.00	0.00		\$328,132.64	
February-13	0.00	0.00	8,089.71	0.00	0.00	(47,119.95)	0.00	0.00	112.32	(21.04)	(5.00)	0.00	0.00		(\$38,943.96)	
March-13	0.00	0.00	8,286.01	0.00	0.00	131,148.82	(8.24)	(74.00)	(157.06)	0.00	0.00	0.00	0.00		\$139,195.53	
April-13	0.00	0.00	10,269.24	0.00	0.00	66,285.45	(141.25)	338.00	(298.12)	54.17	0.00	0.00	0.00		\$76,507.49	
May-13	0.00	0.00	7,411.12	0.00	0.00	53,782.80	(3,627.57)	(173.25)	(902.79)	(178.34)	(1.39)	0.00	0.00		\$56,310.58	
June-13	0.00	0.00	5,441.72	0.00	0.00	413,133.83	0.00	1,186.89	5,433.74	0.00	(10.00)	0.00	0.00		\$425,186.18	
July-13	0.00	0.00	5,213.89	0.00	0.00	87,059.27	(106.75)	1,988.14	3,017.66	0.00	0.00	0.00	0.00		\$97,172.21	
August-13	0.00	0.00	5,702.31	0.00	0.00	61,674.07	(79.93)	22.36	162.65	747.64	(52.50)	0.00	0.00		\$68,176.60	
September-13	0.00	0.00	6,652.50	0.00	0.00	(16,909.76)	(41.61)	(6,436.26)	(53,143.11)	0.00	0.00	0.00	0.00		(\$69,878.24)	
October-13	0.00	0.00	5,044.36	0.00	0.00	7,501.78	(0.33)	0.00	(8,132.17)	0.00	0.00	0.00	0.00		\$4,413.64	
November-13	0.00	0.00	3,735.79	0.00	0.00	125,854.94	0.00	0.00	0.00	113.21	0.00	0.00	0.00		\$129,703.94	
December-13	0.00	0.00	4,743.07	0.00	0.00	363,394.34	(9.86)	0.00	90.52	0.00	0.00	0.00	0.00		\$368,218.07	
Plan Year Transactions to Date	1,536,940,834.57	52,205,724.17	357,614.91	28,214,433.38	23,299,596.40	(1,099,085,894.51)	(68,050,383.36)	(14,941,275.32)	(46,924,620.80)	(337,746,889.29)	(10,997,841.71)	0.00	(4,894,978.57)		\$58,376,319.87	

130 Per HB 265 plan year balances for 2006, 2007, 2008, and 2009 as of December 31, 2011, and all subsequent balances from those plan years were transferred to Plan Year 2010.

203 The September 2013 balance includes a net expense increase of \$6,284.68, the result of additional claims in the amount of \$4,143.23, duplicated claims refunds in the amount of \$3,028.11 and claims overcharges of \$886.66..

204 The September 2013 balance includes a net expense increase of \$46,967.77, the result of additional claims in the amount of \$47,246.16, duplicated claims refunds in the amount of \$3,190.95 and claims overcharges of \$3,469.34.

Kentucky Employees' Health Plan – Status Report
Attachment B

4th Quarter, 2013
Page B-28

PLAN YEAR 2010																
2012	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL		
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS FROM OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS TO OTHER PLAN YEAR(S)	OPERATING TRANSFER/EXP & ADJ	CASH TRANSACTIONS		
2011 Balance Forward	1,537,190,123.97	52,205,724.17	174,234.49	28,176,749.75	0.00	(1,108,890,445.85)	(68,040,742.98)	(14,937,266.05)	(46,874,123.60)	(337,787,186.27)	(11,202,705.12)	0.00	(4,894,978.57)		\$25,119,383.94	
January-12	(889.54)	0.00	584.86	0.00	0.00	5,597,639.66	0.00	(706.81)	2,689.26	5,056.48	(79.48)	0.00	0.00		\$5,604,294.43	
February-12	(27.50)	0.00	593.11	0.00	0.00	(515,609.34)	(3,398.61)	73.08	6,565.53	(110.15)	(15.28)	0.00	0.00		(\$511,929.16)	
March-12	(20,577.80)	0.00	619.01	0.00	0.00	(253,073.91)	291.20	(776.89)	(3,500.67)	451.66	(70.57)	0.00	0.00		(\$276,637.97)	
April-12	(5,683.88)	0.00	2,761.55	0.00	0.00	1,148,959.52	29.92	(10.52)	(263.09)	736.16	(4.17)	0.00	0.00		\$1,146,525.49	
May-12	(8,022.48)	0.00	27,697.62	0.00	0.00	771,465.20	(209.81)	(2,125.64)	(2,119.67)	13,904.50	(17.50)	0.00	0.00		\$800,572.22	
June-12	0.00	0.00	6,696.69	0.00	22,721,775.75	(126,687.55)	(464.60)	928.38	587.92	8,782.47	205,556.82	0.00	0.00		\$22,817,175.88	
July-12	0.00	0.00	11,262.02	0.00	364,837.81	122,095.12	0.00	0.00	(569.30)	8,685.08	(105.01)	0.00	0.00		\$506,205.72	
August-12	(9,717.98)	0.00	10,799.09	0.00	212,573.26	265,636.66	(464.50)	369.83	4,361.30	(3,150.12)	(112.50)	0.00	0.00		\$480,295.04	
September-12	(66.67)	0.00	9,537.45	37,683.63	409.58	431,306.72	(1,365.62)	1,057.13	12,227.87	5,899.08	(62.78)	0.00	0.00		\$496,626.39	
October-12	(204,303.55)	0.00	14,051.87	0.00	0.00	(124,992.99)	0.00	118.72	(5,646.12)	632.06	(55.28)	0.00	0.00		(\$320,195.29)	
November-12	0.00	0.00	12,038.35	0.00	0.00	(59,009.65)	0.00	0.00	(12,108.41)	(3,345.52)	0.00	0.00	0.00		(\$62,425.23)	
December-12	0.00	0.00	8,613.38	0.00	0.00	982,934.44	(42.82)	5.38	984.54	(159.24)	(101.95)	0.00	0.00		\$992,233.73	
Plan Year Transactions to Date	1,536,940,834.57	52,205,724.17	279,489.49	28,214,433.38	23,299,596.40	(1,100,649,781.97)	(68,046,367.82)	(14,938,333.39)	(46,870,914.44)	(337,749,803.81)	(10,997,772.82)	0.00	(4,894,978.57)		\$56,792,125.19	

130 Per HB 265 plan year balances for 2006, 2007, 2008, and 2009 as of December 31, 2011, and all subsequent balances from those plan years were transferred to Plan Year 2010.

Kentucky Employees' Health Plan – Status Report
Attachment B

4th Quarter, 2013
Page B-29

PLAN YEAR 2010																
2011	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL		
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS <i>TO</i> OTHER PLAN YEAR(S)	OPERATING TRANSFER/EXP & ADJ	CASH TRANSACTIONS		
2010 Balance Forward	1,474,268,363.94	51,843,968.02	82,065.07	14,254,805.62	0.00	(1,016,298,767.20)	(67,316,753.15)	(14,012,930.86)	(42,708,522.97)	(330,494,624.99)	(10,380,815.11)	0.00	(4,894,978.57)		\$54,341,809.80	
January-11	62,925,838.08	(3,438.85)	16,835.54	0.00	0.00	(57,380,932.65)	(561,804.78)	(442,666.70)	(1,867,394.13)	(6,643,709.15)	(640,486.98)	0.00	0.00		(\$4,597,759.62)	
February-11	(330,375.99)	364,145.00	26,914.35	7,318,750.55	0.00	(16,318,159.37)	18,291.62	(111,859.63)	(652,579.42)	(128,418.99)	(15,654.19)	0.00	0.00		(\$9,828,946.07)	
March-11	300,177.24	(1,225.00)	6,375.77	0.00	0.00	(9,774,394.74)	0.00	(193,790.37)	(868,878.01)	(318,902.74)	(158,514.39)	0.00	0.00		(\$11,009,152.24)	
April-11	21,549.17	175.00	4,699.57	0.00	0.00	(3,113,216.58)	(63,003.20)	(99,288.52)	(571,230.36)	(28,117.62)	(1,741.67)	0.00	0.00		(\$3,850,174.21)	
May-11	1,963.35	2,100.00	6,529.45	0.00	0.00	(2,709,942.26)	(35,184.19)	(36,515.71)	(111,496.25)	(30,654.84)	(1,456.46)	0.00	0.00		(\$2,914,656.91)	
June-11	(6,664.78)	0.00	18,378.67	6,603,193.58	0.00	(399,218.17)	(34,592.55)	(27,116.38)	(76,296.74)	(16,252.13)	(150.84)	0.00	0.00		\$6,061,280.66	
July-11	312.37	0.00	0.00	0.00	0.00	467,624.18	0.00	(5,534.04)	(3,422.32)	(64,183.91)	(1,684.50)	0.00	0.00		\$393,111.78	
August-11	1,991.17	0.00	35.97	0.00	0.00	(970,996.07)	(8,550.76)	(4,955.02)	(16,292.12)	(50,178.43)	(748.14)	0.00	0.00		(\$1,049,693.40)	
September-11	681.82	0.00	1,609.58	0.00	0.00	(804,166.50)	(6,177.18)	1,014.63	14,031.78	(10,270.74)	(1,160.29)	0.00	0.00		(\$804,436.90)	
October-11	14,697.46	0.00	3,722.44	0.00	0.00	(832,266.41)	(5,518.69)	(517.67)	(1,889.74)	486.60	(71.40)	0.00	0.00		(\$821,357.41)	
November-11	268.26	0.00	3,172.37	0.00	0.00	(317,914.07)	(27,450.10)	(2,127.74)	(5,462.17)	125.44	(13.89)	0.00	0.00		(\$349,401.90)	
December-11	(8,678.12)	0.00	3,895.71	0.00	0.00	(438,096.01)	0.00	(978.04)	(4,691.15)	(2,484.77)	(207.26)	0.00	0.00		(\$451,239.64)	
Plan Year Transactions to Date	1,537,190,123.97	52,205,724.17	174,234.49	28,176,749.75	0.00	(1,108,890,445.85)	(68,040,742.98)	(14,937,266.05)	(46,874,123.60)	(337,787,186.27)	(11,202,705.12)	0.00	(4,894,978.57)		\$25,119,383.94	

Kentucky Employees' Health Plan – Status Report
Attachment B

4th Quarter, 2013
Page B-30

PLAN YEAR 2010																
2010	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL		
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS FROM OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	SCRIPTS ADMIN FEES	EXPRESS FEES	TRANSFERS TO OTHER PLAN YEAR(S)	OPERATING TRANSFER/EXP & ADJ	CASH TRANSACTIONS	
2009 Balance Forward	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$0.00	
January-10	40,610,656.28	3,497,770.00	0.00	0.00	0.00	(17,067,287.41)	(5,501,035.69)	(947,694.67)	(2,871,252.13)	(20,266,995.30)	(753,917.90)	0.00	0.00	(\$3,299,756.82)		
February-10	124,360,733.46	4,258,628.45	173.48	0.00	0.00	(63,021,348.72)	(5,523,329.15)	(1,215,750.03)	(3,528,765.61)	(25,059,247.87)	(816,450.76)	0.00	0.00	\$29,454,643.25		
March-10	130,638,108.44	4,270,080.88	471.48	0.00	0.00	(82,355,804.96)	(5,626,702.71)	(1,579,943.65)	(4,472,122.40)	(32,387,700.45)	(838,104.26)	0.00	(54,178.15)	\$7,594,104.22		
April-10	129,152,022.42	4,258,676.92	5,221.59	0.00	0.00	(85,832,747.21)	(5,481,061.88)	(1,532,187.94)	(4,005,228.92)	(25,749,478.11)	(955,617.17)	0.00	(1,413,911.17)	\$8,445,688.53		
May-10	129,181,111.53	4,260,752.26	222.72	0.00	0.00	(94,359,180.48)	(5,718,253.54)	(1,214,449.73)	(3,649,206.77)	(25,754,266.21)	(825,257.97)	0.00	(83,745.11)	\$1,837,726.70		
June-10	158,346,459.91	6,509,599.51	1,271.00	0.00	0.00	(100,386,967.28)	(5,623,185.88)	(1,229,825.24)	(4,196,068.31)	(31,986,447.83)	(820,436.33)	0.00	(55,288.36)	\$20,559,111.19		
July-10	123,372,458.09	4,161,587.50	0.00	0.00	0.00	(92,121,632.56)	(5,422,525.77)	(1,258,976.01)	(4,333,208.27)	(25,378,503.68)	(1,067,117.38)	0.00	(1,548,680.08)	(\$3,596,598.16)		
August-10	103,046,413.80	4,120,287.50	18,688.35	0.00	0.00	(100,082,875.04)	(5,650,827.45)	(1,138,328.89)	(3,729,649.69)	(31,947,844.18)	(806,207.47)	0.00	(52,416.36)	(\$36,222,759.43)		
September-10	102,582,008.02	4,036,812.50	10,558.00	7,000,703.32	0.00	(81,171,141.25)	(5,417,936.87)	(830,507.38)	(2,895,273.64)	(25,738,842.10)	(955,956.96)	0.00	(111,660.00)	(\$3,491,236.36)		
October-10	149,611,237.78	4,157,562.50	19,689.25	0.00	0.00	(100,741,109.91)	(5,795,510.10)	(910,479.88)	(2,768,997.81)	(25,535,419.44)	(689,651.75)	0.00	(1,495,919.34)	\$15,851,401.30		
November-10	128,700,028.48	4,136,417.50	8,502.82	7,254,102.30	0.00	(92,952,557.09)	(6,045,196.37)	(1,057,721.90)	(3,096,373.97)	(33,661,834.95)	(1,002,412.38)	0.00	(48,900.00)	\$2,234,054.44		
December-10	154,667,125.73	4,175,792.50	17,266.38	0.00	0.00	(106,206,115.29)	(5,511,187.74)	(1,097,065.54)	(3,162,375.45)	(27,028,044.87)	(849,684.78)	0.00	(30,280.00)	\$14,975,430.94		
Plan Year Transactions to Date	1,474,268,363.94	51,843,968.02	82,065.07	14,254,805.62	0.00	(1,016,298,767.20)	(67,316,753.15)	(14,012,930.86)	(42,708,522.97)	(330,494,624.99)	(10,380,815.11)	0.00	(4,894,978.57)	\$54,341,809.80		

Kentucky Employees' Health Plan – Status Report
Attachment B

4th Quarter, 2013
Page B-31

PLAN YEAR 2011																
2013	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL		
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS FROM OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS TO OTHER PLAN YEAR(S)	OPERATING TRANSFER/EXP & ADJ	CASH TRANSACTIONS		
2011 Balance Forward	1,594,749,119.31	47,847,607.60	277,002.84	32,526,101.91	0.00	(1,141,237,477.98)	(71,467,329.53)	(16,278,922.83)	(42,635,012.79)	(334,514,670.50)	(9,759,001.35)	0.00	(9,300,252.27)		\$50,207,164.41	
January-13	(2,828.53)	0.00	6,661.95	0.00	0.00	(757,840.09)		0.00	(1,300.81)	(4,110.28)	13,229.85	(2.50)	0.00	0.00	(\$746,190.41)	
February-13	18.27	0.00	7,018.47	0.00	0.00	(275,291.25)	(4,021.29)	493.69	(1,766.71)	(151.29)	(7.50)	0.00	0.00		(\$273,707.61)	
March-13	(533.45)	0.00	7,140.26	0.00	0.00	559,637.84	(2,379.60)	(2,638.05)	22.41	(250.89)	(10.00)	0.00	0.00		\$560,988.52	
April-13	98.06	0.00	8,928.22	0.00	0.00	259,372.22	(688.24)	(211.46)	(295.08)	523.90	(10.28)	0.00	0.00		\$267,717.34	
May-13	0.00	269.22	6,469.39	0.00	0.00	283,069.10	(1,938.06)	(1,916.98)	(2,217.63)	(232.54)	(12.78)	0.00	0.00		\$283,489.72	
June-13	0.00	(269.22)	4,774.62	(4,030.82)	0.00	959,837.25	0.00	4,037.39	14,983.88	0.00	(57.50)	0.00	0.00		\$979,275.60	
July-13	0.00	0.00	4,627.35	0.00	0.00	(300,698.72)	(3,867.68)	2,658.29	6,816.66	32.58	(5.00)	0.00	0.00		(\$290,436.52)	
August-13	1,565.88	0.00	5,023.75	0.00	0.00	44,191.26	(3,394.02)	223.39	7,182.73	3,293.78	(207.50)	0.00	0.00		\$57,879.27	
September-13	251.36	0.00	5,860.59	0.00	0.00	(195,575.10)	8,656.37	37,776.18	62,686.46	827.64	(10.00)	0.00	0.00		(\$79,526.50)	
October-13	0.00	0.00	4,442.31	0.00	0.00	107,465.34	(86.51)	1,874.56	908.90	(161.97)	(12.50)	0.00	0.00		\$114,430.13	
November-13	0.00	0.00	3,297.06	0.00	0.00	(32,026.46)	0.00	0.00	0.00	0.00	(2.50)	0.00	0.00		(\$28,731.90)	
December-13	0.00	0.00	4,174.33	0.00	0.00	298,474.22	(5,669.47)	0.00	75.00	0.00	0.00	0.00	0.00		\$297,054.08	
Plan Year Transactions to Date	1,594,747,690.90	47,847,607.60	345,421.14	32,522,071.09	0.00	(1,140,286,862.37)	(71,480,718.03)	(16,237,926.63)	(42,550,726.45)	(334,497,559.44)	(9,759,339.41)	0.00	(9,300,252.27)		\$51,349,406.13	

203 The September 2013 expense reduction of \$37,776.18 is the net result of a refund from Humana in the amount of \$40,214.31 for claims overcharges and a payment to Humana for duplicated claims refunds in the amount of \$2,438.13

204 The September 2013 expense reduction of \$62,686.46 is the net result of a refund from Humana in the amount of \$74,253.56 for claims overcharges and a payment to Humana for duplicated claims refunds in the amount of \$11,567.10

Kentucky Employees' Health Plan – Status Report
Attachment B

4th Quarter, 2013
Page B-32

PLAN YEAR 2011														
2012	101 PREMIUMS	102 HRA WAIVER	110 INTEREST	120 DRUG	130 TRANSFERS	201 MEDICAL CLAIMS	202 HUMANA ADMIN FEES	203 HRA SELECT CLAIMS	204 HRA WAIVER CLAIMS	301 PHARMACY CLAIMS	302 EXPRESS	500 TRANSFERS TO	501 OPERATING	TOTAL CASH
2011 Balance Forward	1,526,196,607.59	47,836,510.94	150,396.82	16,097,358.02	0.00	(1,030,046,608.57)	(70,560,363.69)	(15,271,752.19)	(38,703,721.89)	(328,030,216.60)	(9,560,660.06)	0.00	(9,065,643.15)	\$89,041,907.22
January-12	64,831,266.19	6,825.00	2,073.20	0.00	0.00	(76,973,291.01)	0.00	(340,782.02)	(1,359,612.98)	(6,377,509.66)	(390,804.22)	0.00	(234,609.12)	(\$20,836,444.62)
February-12	3,238,913.87	4,271.66	9,016.43	0.00	0.00	(21,234,916.29)	(488,214.12)	(133,196.99)	(737,678.43)	(7,359.92)	(4,385.28)	0.00	0.00	(\$19,353,549.07)
March-12	121,087.46	0.00	1,141.35	8,326,710.96	0.00	(8,898,188.75)	(172,586.12)	(263,991.53)	(920,249.17)	(37,296.79)	(1,842.56)	0.00	0.00	(\$1,845,215.15)
April-12	65,262.27	0.00	4,968.67	0.00	0.00	(4,781,490.30)	(83,726.19)	(192,310.24)	(824,783.57)	4,629.55	(259.76)	0.00	0.00	(\$5,807,709.57)
May-12	148,315.93	0.00	42,946.59	0.00	0.00	(260,378.50)	(51,683.01)	(49,860.67)	(61,009.80)	(11,638.73)	(1,461.78)	0.00	0.00	(\$244,769.97)
June-12	52,308.06	0.00	10,050.50	7,711,686.20	0.00	(1,740,536.10)	(44,301.27)	2,402.62	2,263.38	(1,550.75)	203,162.13	0.00	0.00	\$6,195,484.77
July-12	(713.57)	0.00	9,707.62	0.00	0.00	243,372.58	0.00	(20,487.84)	(41,447.09)	(9,746.26)	(613.75)	0.00	0.00	\$180,071.69
August-12	57,846.30	0.00	9,324.35	0.00	0.00	(130,172.16)	(2,575.98)	(941.77)	12,477.82	(26,247.32)	(878.89)	0.00	0.00	(\$81,167.65)
September-12	(2,560.34)	0.00	8,092.37	390,346.73	0.00	(116,511.68)	(39,083.25)	(416.50)	4,885.88	21,419.82	(524.73)	0.00	0.00	\$265,648.30
October-12	18,272.61	0.00	11,883.85	0.00	0.00	(347,883.65)	0.00	(2,603.33)	1,865.81	(15,599.91)	(512.93)	0.00	0.00	(\$334,577.55)
November-12	(4,590.23)	0.00	10,167.24	0.00	0.00	(508,730.59)	0.00	(1,045.43)	(9,140.71)	(14,499.34)	0.00	0.00	0.00	(\$527,839.06)
December-12	27,103.17	0.00	7,233.85	0.00	0.00	3,557,857.04	(24,795.90)	(3,936.94)	1,137.96	(9,054.59)	(219.52)	0.00	0.00	\$3,555,325.07
Plan Year Transactions to Date	1,594,749,119.31	47,847,607.60	277,002.84	32,526,101.91	0.00	(1,141,237,477.98)	(71,467,329.53)	(16,278,922.83)	(42,635,012.79)	(334,514,670.50)	(9,759,001.35)	0.00	(9,300,252.27)	\$50,207,164.41

Kentucky Employees' Health Plan – Status Report
Attachment B

4th Quarter, 2013
Page B-33

PLAN YEAR 2011																
2011	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL		
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS FROM OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS TO OTHER PLAN YEAR(S)	OPERATING TRANSFER/EXP & ADJ	CASH TRANSACTIONS		
2010 Balance Forward	602.53	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$602.53
January-11	41,658,664.19	4,153,479.69	0.19	0.00	0.00	(21,225,293.17)	(5,685,622.86)	(1,195,854.82)	(2,916,365.63)	(18,756,469.66)	(330,805.46)	0.00	(1,506,150.00)			(\$5,804,417.53)
February-11	135,215,110.95	377,963.00	(2,155.36)	0.00	0.00	(66,018,323.11)	(5,719,499.42)	(1,395,446.60)	(3,256,382.56)	(23,903,264.04)	(1,002,432.67)	0.00	0.00			\$34,295,570.19
March-11	215,670,480.66	7,561,746.66	4,548.18	0.00	0.00	(94,192,802.84)	(5,804,744.75)	(1,860,582.39)	(4,295,957.04)	(31,459,589.75)	(550,461.08)	0.00	0.00			\$85,072,637.65
April-11	57,694,030.16	377,215.86	18,466.38	0.00	0.00	(85,937,305.21)	(5,877,158.90)	(1,592,455.96)	(3,587,891.53)	(24,877,558.67)	(823,250.32)	0.00	(1,475,000.00)			(\$66,080,908.19)
May-11	148,792,707.81	6,826,365.22	20,870.94	0.00	0.00	(89,261,668.83)	(5,955,533.34)	(1,653,429.42)	(3,242,448.87)	(30,404,462.72)	(935,264.46)	0.00	(560,000.00)			\$23,627,136.33
June-11	135,363,342.24	4,147,240.50	59,026.75	0.00	0.00	(99,430,813.45)	(6,104,506.43)	(1,306,116.15)	(4,158,068.83)	(25,409,559.13)	(657,542.11)	0.00	(2,262,825.00)			\$240,178.39
July-11	129,466,025.11	4,055,818.00	0.00	0.00	0.00	(93,245,205.12)	(5,691,468.70)	(1,283,238.69)	(3,555,128.95)	(24,992,929.36)	(1,051,151.61)	0.00	(1,446,700.00)			\$2,256,020.68
August-11	128,825,858.56	4,015,463.08	51,911.60	0.00	0.00	(103,597,773.95)	(5,898,025.96)	(1,247,263.59)	(3,519,245.43)	(31,756,655.40)	(783,194.42)	0.00	505.45			(\$13,908,420.06)
September-11	128,255,589.25	3,985,826.55	(40,402.66)	8,172,233.15	0.00	(94,958,185.05)	(5,831,346.41)	(917,737.17)	(2,597,575.22)	(27,057,987.26)	(920,603.65)	0.00	(722,373.60)			\$7,367,437.93
October-11	142,217,531.59	4,088,693.16	9,335.67	0.00	0.00	(91,148,955.95)	(6,021,191.61)	(916,603.71)	(2,344,259.08)	(27,684,920.74)	(830,136.88)	0.00	(1,446,800.00)			\$15,922,692.45
November-11	128,471,255.32	4,132,176.42	17,380.63	0.00	0.00	(97,269,632.92)	(6,239,987.54)	(1,121,446.52)	(2,344,550.25)	(33,686,306.46)	(678,687.30)	0.00	653,700.00			(\$8,066,098.62)
December-11	134,565,409.22	4,114,522.80	11,414.50	7,925,124.87	0.00	(93,760,648.97)	(5,731,277.77)	(781,577.17)	(2,885,848.50)	(28,040,513.41)	(997,130.10)	0.00	(300,000.00)			\$14,119,475.47
Plan Year Transactions to Date	1,526,196,607.59	47,836,510.94	150,396.82	16,097,358.02	0.00	(1,030,046,608.57)	(70,560,363.69)	(15,271,752.19)	(38,703,721.89)	(328,030,216.60)	(9,560,660.06)	0.00	(9,065,643.15)			\$89,041,907.22

Kentucky Employees' Health Plan – Status Report
Attachment B

4th Quarter, 2013
Page B-34

PLAN YEAR 2012															
2013	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL	
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS FROM OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS TO OTHER PLAN YEAR(S)	OPERATING TRANSFER/EXP & ADJ	CASH TRANSACTIONS	
2012 Balance Forward	1,532,116,948.31	47,791,374.93	55,527.24	15,552,460.50	0.00	(1,079,097,997.28)	(71,532,836.71)	(15,260,344.10)	(37,941,321.50)	(340,860,449.81)	(9,166,555.84)	0.00	(9,192,364.71)		\$32,464,441.03
January-13	66,421,106.81	9,450.00	4,307.68	0.00	0.00	(76,885,056.11)	0.00	(407,608.90)	(1,383,439.57)	(6,091,143.45)	(163,146.01)	0.00	(80,659.29)		(\$18,576,188.84)
February-13	430,241.46	5,250.00	4,550.03	0.00	0.00	(21,355,755.60)	(209,880.10)	(169,484.78)	(668,980.55)	37,270.85	(7,250.91)	0.00	0.00		(\$21,934,039.60)
March-13	747,225.35	(2.68)	(1,163.92)	0.00	0.00	(6,843,059.91)	(446,934.16)	(395,028.68)	(1,125,285.69)	(19,148.52)	(723.98)	0.00	0.00		(\$8,084,122.19)
April-13	(279.22)	(347.32)	(2,894.80)	8,588,953.09	0.00	(4,939,473.95)	(35,999.14)	(340,343.04)	(1,145,075.24)	(6,931.34)	(742.16)	0.00	0.00		\$2,116,866.88
May-13	(318.85)	20,959.92	(1,811.71)	0.00	0.00	(2,424,459.00)	(25,226.37)	(66,565.95)	(136,665.53)	(18,170.00)	(178.82)	0.00	0.00		(\$2,652,436.31)
June-13	518.06	(20,959.92)	(1,555.69)	9,430,603.62	0.00	2,900,988.31	0.00	429.10	2,504.65	403.21	(345.50)	0.00	0.00		\$12,312,585.84
July-13	(2,027.16)	0.00	(390.07)	0.00	0.00	(550,123.94)	(16,272.95)	(1,364.84)	(16,388.26)	(10,680.16)	(510.00)	0.00	0.00		(\$597,757.38)
August-13	(4,323.19)	0.00	(487.02)	0.00	0.00	160,199.26	(5,212.32)	4,201.81	10,857.63	6,608.11	(503.82)	0.00	0.00		\$171,340.46
September-13	125,503.82	0.00	(548.71)	(302,712.23)	0.00	(803,016.89)	(17,232.89)	39,613.72	49,578.91	(679.98)	(80.00)	0.00	0.00		(\$909,574.25)
October-13	220.00	0.00	(495.19)	0.00	0.00	133,041.89	(9,874.47)	(1,444.23)	(1,289.35)	1,530.38	(31.46)	0.00	0.00		\$121,657.57
November-13	2,198.38	0.00	(357.70)	0.00	0.00	290,892.39	0.00	(407.30)	(2,890.11)	0.00	(12.50)	0.00	0.00		\$289,423.16
December-13	71.04	0.00	(431.54)	0.00	0.00	1,255,715.22	(2,319.76)	8,226.36	6,278.43	0.00	0.00	0.00	0.00		\$1,267,539.75
Plan Year Transactions to Date	1,599,837,084.81	47,805,724.93	54,248.60	33,269,304.98	0.00	(1,188,158,105.61)	(72,301,788.87)	(16,590,120.83)	(42,352,116.18)	(346,961,390.71)	(9,340,081.00)	0.00	(9,273,024.00)		(\$4,010,263.88)

203 The September 2013 expenses have been reduced by \$50,563.55 which is the net result of a refund from Humana in the amount of \$51,644.70 for claims overcharges and a payment to Humana for duplicated claims refunds in the amount of \$1,081.15.

204 The September 2013 expenses have been reduced by \$64,346.13 which is the net result of a refund from Humana in the amount of \$71,011.17 for claims overcharges and a payment to Humana for duplicated claims refunds in the amount of \$6,665.04.

Kentucky Employees' Health Plan – Status Report
Attachment B

4th Quarter, 2013
Page B-35

PLAN YEAR 2012																
2012	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL		
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS FROM OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS TO OTHER PLAN YEAR(S)	OPERATING TRANSFER/EXP & ADJ	CASH TRANSACTIONS		
2011 Balance Forward	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$0.00	
January-12	69,528,948.14	4,053,197.91	0.00	0.00	0.00	(23,704,204.41)	(5,825,992.95)	(1,275,395.17)	(2,973,345.92)	(26,492,934.54)	(525,989.29)	0.00	(1,446,700.00)		\$11,337,583.77	
February-12	130,592,230.40	4,095,844.16	85.18	0.00	0.00	(80,086,211.16)	(5,784,867.09)	(1,428,846.68)	(3,293,644.17)	(19,708,117.54)	(781,779.35)	0.00	(650,000.00)		\$22,954,693.75	
March-12	135,681,761.89	4,049,823.20	562.20	0.00	0.00	(83,762,360.41)	(5,822,455.25)	(1,647,570.51)	(3,540,723.01)	(33,181,997.87)	(896,089.76)	0.00	(400,000.00)		\$10,480,950.48	
April-12	120,965,344.25	3,947,101.33	3,498.15	0.00	0.00	(98,967,063.12)	(5,962,894.37)	(1,542,576.95)	(3,338,222.90)	(26,060,773.16)	(750,575.79)	0.00	(2,579,500.00)		(\$14,285,662.56)	
May-12	131,051,730.72	4,051,460.97	26,693.10	0.00	0.00	(98,470,716.25)	(6,035,691.61)	(1,523,255.88)	(3,518,145.59)	(32,746,779.20)	(624,466.72)	0.00	0.00		(\$7,789,170.46)	
June-12	123,669,128.01	2,160,377.56	3,328.15	0.00	0.00	(93,812,170.74)	(6,024,074.49)	(1,408,270.13)	(3,735,852.34)	(27,178,069.80)	(651,847.04)	0.00	(300,000.00)		(\$7,277,450.82)	
July-12	158,601,891.14	2,582,010.48	3,175.00	0.00	0.00	(105,978,992.50)	(5,770,981.74)	(1,427,629.74)	(3,933,256.06)	(33,228,594.36)	(1,126,430.99)	0.00	(2,075,000.00)		\$7,646,191.23	
August-12	134,691,134.90	3,938,126.10	8,261.54	0.00	0.00	(107,303,748.66)	(5,905,006.32)	(1,209,480.27)	(3,212,848.87)	(26,239,257.41)	(842,445.57)	0.00	800,000.00		(\$5,275,264.56)	
September-12	73,635,254.66	3,905,917.68	3,047.25	7,612,407.60	0.00	(85,118,148.95)	(6,259,113.64)	(871,481.45)	(2,410,812.81)	(26,448,775.65)	(592,972.44)	0.00	(115,000.00)		(\$36,659,677.75)	1
October-12	189,825,294.96	7,029,503.50	(4,719.05)	0.00	0.00	(106,957,543.28)	(5,762,839.68)	(1,029,292.15)	(2,760,235.33)	(33,480,572.63)	(724,384.70)	0.00	(2,092,895.54)		\$44,042,316.10	
November-12	132,136,802.40	3,991,012.86	5,425.11	0.00	0.00	(104,244,012.02)	(5,775,468.47)	(969,656.03)	(2,705,702.07)	(26,368,503.83)	0.00	0.00	(290,104.00)		(\$4,220,206.05)	
December-12	131,737,426.84	3,986,999.18	6,170.61	7,940,052.90	0.00	(90,692,825.78)	(6,603,451.10)	(926,889.14)	(2,518,532.43)	(29,726,073.82)	(1,649,574.19)	0.00	(43,165.17)		\$11,510,137.90	
Plan Year Transactions to Date	1,532,116,948.31	47,791,374.93	55,527.24	15,552,460.50	0.00	(1,079,097,997.28)	(71,532,836.71)	(15,260,344.10)	(37,941,321.50)	(340,860,449.81)	(9,166,555.84)	0.00	(9,192,364.71)		\$32,464,441.03	

1 Please note that the August 2012 KDE health insurance premium payment of \$52,467,299.39 one would expect to see included in the September 2012 premiums was not submitted in eMARS until October 2012. If this payment had been included in the September 2012 health insurance premiums the Cash Transactions balance for September-12 would have been a positive \$15,807,621.64.

Kentucky Employees' Health Plan – Status Report
Attachment B

4th Quarter, 2013
Page B-36

PLAN YEAR 2013																
2013	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL		
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS <u>FROM</u> OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS <u>TO</u> OTHER PLAN YEAR(S)	OPERATING TRANSFER/EXP & ADJ	CASH TRANSACTIONS		
2012 Balance Forward	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$0.00
January-13	68,143,104.47	3,896,142.88	0.00	0.00	0.00	(24,391,801.37)	(5,813,983.54)	(1,490,177.99)	(3,070,020.72)	(25,373,655.71)	(521,631.71)	0.00	(2,057,300.00)			\$9,320,676.31
February-13	133,018,873.82	3,890,760.30	1,320.05	0.00	0.00	(72,563,728.72)	(5,812,964.61)	(1,590,383.86)	(3,239,528.86)	(26,604,891.63)	(744,105.42)	0.00	5,778,266.70			\$32,133,617.77
March-13	130,715,440.97	3,894,458.10	6,017.56	0.00	0.00	(82,001,826.68)	(5,821,602.35)	(1,731,695.08)	(3,568,088.26)	(26,521,898.58)	(738,400.84)	0.00	5,755,543.08			\$19,987,947.92
April-13	132,577,415.72	3,919,850.22	11,026.91	0.00	0.00	(98,732,925.69)	(5,988,858.01)	(1,719,677.11)	(3,784,878.95)	(32,735,961.04)	(843,777.57)	0.00	2,941,084.90			(\$4,356,700.62)
May-13	136,896,674.07	4,142,786.74	7,387.54	0.00	0.00	(95,482,679.08)	(5,990,049.29)	(1,482,968.84)	(3,424,475.83)	(25,050,093.03)	(705,330.41)	0.00	5,731,422.62			\$14,642,674.49
June-13	118,632,875.86	3,316,380.37	7,745.88	0.00	0.00	(87,031,148.02)	(5,807,157.33)	(1,341,570.53)	(3,373,926.71)	(26,727,085.13)	(811,833.62)	0.00	5,721,704.14			\$2,585,984.91
July-13	150,893,611.84	4,169,930.69	6,945.49	0.00	0.00	(103,087,599.46)	(6,168,607.49)	(1,497,741.86)	(3,862,501.02)	(32,282,673.90)	(684,503.07)	0.00	8,673,443.42			\$16,160,304.64
August-13	133,241,742.48	3,763,276.15	8,918.17	0.00	0.00	(107,385,933.15)	(5,961,421.65)	(1,146,422.94)	(3,063,185.96)	(25,888,297.37)	(812,117.27)	0.00	0.00			(\$7,243,441.54)
September-13	131,014,807.22	3,710,447.46	9,555.72	9,021,550.77	0.00	(88,786,058.33)	(11,591,988.33)	(1,028,723.40)	(2,609,909.08)	(26,214,351.80)	(712,735.11)	0.00	(33,205.50)			\$12,779,389.62
October-13	132,680,129.20	3,818,531.80	8,372.46	0.00	0.00	(108,872,004.96)	(544,134.31)	(1,119,636.12)	(2,857,527.40)	(34,275,695.92)	(725,994.47)	0.00	(2,117,509.79)			(\$14,005,469.51)
November-13	130,272,794.65	3,813,602.82	5,517.28	8,496,374.45	0.00	(102,345,802.19)	(5,781,719.52)	(918,087.80)	(2,789,485.00)	(27,228,270.39)	(127,260.23)	0.00	0.00			\$3,397,664.07
December-13	135,296,577.57	3,850,383.66	6,983.00	0.00	0.00	(105,434,029.52)	(6,026,340.42)	(1,081,872.96)	(2,541,063.85)	(28,227,075.37)	(534,922.06)	0.00	(30,015,854.88)			(\$34,707,214.83)
Plan Year Transactions to Date	1,533,384,047.87	46,186,551.19	79,790.06	17,517,925.22	0.00	(1,076,115,537.17)	(71,308,826.85)	(16,148,958.49)	(38,184,591.64)	(337,129,949.87)	(7,962,611.78)	0.00	377,594.69			\$50,695,433.23

501 The plan year 2013 balance includes ERRP funds transferred from the 2512 fund in the amount of \$10,159,793.00

1 The September 2013 Humana Admin Fees (202) balance is overstated \$5,693,175.58. A Revenue department intercept placed on Humana payments caused a payment to be voided and reissued. The initial payment document was not voided until October 1, 2013. If the initial document had been voided in September 2013 the Humana Admin Fees balance would have been \$5,898,812.75 and the September 2013 Total Cash Transactions balance would have been \$18,472,565.20.

2 The Humana administrative fees payment voided in September 2013 was deposited on October 1, 2013. This reversal transaction decreased the Humana Administrative Fees (202) balance by \$5,693,175.58.

1
2

Kentucky Employees' Health Plan – Status Report
Attachment B

4th Quarter, 2013
Page B-37

Combined 2006, 2007, 2008, 2009, 2010, 2011, 2012, 2013 Plan Years																
2013	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL		
					TRANSFERS <u>FROM</u> OTHER PLAN YEAR(S)						TRANSFERS <u>TO</u> OTHER PLAN YEAR(S)		OPERATING TRANSFER/EXP & ADJ			
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES					CASH TRANSACTIONS	
2012 Balance Forward	9,531,556,855.83	314,881,499.03	25,653,759.73	181,416,030.35	121,118,824.35	(6,888,434,178.20)	(441,782,866.58)	(66,030,652.47)	(271,378,092.89)	(2,100,593,804.23)	(65,650,746.25)	(121,118,824.35)	(80,174,073.69)		\$139,463,730.63	
January-13	134,561,382.75	3,905,592.88	18,505.33	0.00	0.00	(101,716,615.70)	(5,813,983.54)	(1,898,881.51)	(4,457,460.57)	(31,449,370.43)	(684,780.22)	0.00	(2,137,959.29)		(\$9,673,570.30)	
February-13	133,449,133.55	3,896,010.30	20,978.26	0.00	0.00	(94,241,895.52)	(6,026,866.00)	(1,759,374.95)	(3,910,163.80)	(26,567,793.11)	(751,368.83)	0.00	5,778,266.70		\$9,886,926.60	
March-13	131,462,132.87	3,894,455.42	20,279.91	0.00	0.00	(88,154,099.93)	(6,270,924.35)	(2,129,435.81)	(4,693,508.60)	(26,541,297.99)	(739,134.82)	0.00	5,755,543.08		\$12,604,009.78	
April-13	132,577,234.56	3,919,502.90	27,329.57	8,588,953.09	0.00	(103,346,741.97)	(6,025,686.64)	(2,059,893.61)	(4,930,547.39)	(32,742,314.31)	(844,530.01)	0.00	2,941,084.90		(\$1,895,608.91)	
May-13	136,896,355.22	4,164,015.88	19,456.34	0.00	0.00	(97,570,286.18)	(6,020,841.29)	(1,551,625.02)	(3,564,261.78)	(25,068,673.91)	(705,523.40)	0.00	5,731,422.62		\$12,330,038.48	
June-13	118,633,393.92	3,295,151.23	16,406.53	9,426,572.80	0.00	(82,757,188.63)	(5,807,157.33)	(1,335,917.15)	(3,351,004.44)	(26,726,681.92)	(812,246.62)	0.00	5,721,704.14		\$16,303,032.53	
July-13	150,891,584.68	4,169,930.69	16,396.66	0.00	0.00	(103,851,362.85)	(6,188,854.87)	(1,494,460.27)	(3,869,054.96)	(32,293,321.48)	(685,018.07)	0.00	8,673,443.42		\$15,369,282.95	
August-13	133,238,985.17	3,763,276.15	19,157.21	0.00	0.00	(107,119,868.56)	(5,970,107.92)	(1,141,975.38)	(3,044,982.95)	(25,877,647.84)	(812,881.09)	0.00	0.00		(\$6,946,045.21)	
September-13	131,140,562.40	3,710,447.46	21,520.10	8,718,838.54	0.00	(89,801,560.08)	(11,600,606.46)	(957,769.76)	(2,550,786.82)	(26,214,204.14)	(712,825.11)	0.00	(33,205.50)		\$11,720,410.63	
October-13	132,680,349.20	3,818,531.80	17,363.94	0.00	0.00	(108,623,995.95)	(554,095.62)	(1,119,205.79)	(2,866,040.02)	(34,274,327.51)	(726,038.43)	0.00	(2,117,509.79)		(\$13,764,968.17)	
November-13	130,274,993.03	3,813,602.82	12,192.43	8,496,374.45	0.00	(101,961,081.32)	(5,781,719.52)	(918,495.10)	(2,792,375.11)	(27,228,157.18)	(127,275.23)	0.00	0.00		\$3,788,059.27	
December-13	135,296,648.61	3,850,383.66	15,468.86	0.00	0.00	(103,516,445.74)	(6,034,339.51)	(1,073,646.60)	(2,534,619.90)	(28,227,075.37)	(534,922.06)	0.00	(30,015,854.88)		(\$32,774,402.93)	
Trust Cash Transactions to Date	11,132,659,611.79	361,082,400.22	25,878,814.87	216,646,769.23	121,118,824.35	(8,071,095,320.63)	(513,878,049.63)	(83,471,333.42)	(313,942,899.23)	(2,443,804,669.42)	(73,787,290.14)	(121,118,824.35)	(79,877,138.29)		\$156,410,895.35	
Adjustments	0.00														\$0.00	
Transactions to Date	11,132,659,611.79	361,082,400.22	25,878,814.87	216,646,769.23	121,118,824.35	(8,071,095,320.63)	(513,878,049.63)	(83,471,333.42)	(313,942,899.23)	(2,443,804,669.42)	(73,787,290.14)	(121,118,824.35)	(79,877,138.29)		\$156,410,895.35	

501 The July balance of \$8,673,443.42 includes an EERP funds transfer from the 2512 fund to the 2500 fund in the amount of \$11,418,813.42.

501 The December balance of (30,015,854.88) includes an EERP funds transfer from the 2500 fund to the 2512 fund in the amount of \$30,015,849.88.

130 Per HB 265 plan year balances for 2006, 2007, 2008, and 2009 as of December 31, 2011, and all subsequent balances from those plan years were transferred to Plan Year 2010.

500 HB 265 plan year transfers

Kentucky Employees' Health Plan – Status Report
Attachment B

4th Quarter, 2013
Page B-38

Combined 2006, 2007, 2008, 2009, 2010, 2011, 2012 Plan Years																
2012	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL		
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS <i>TO</i> OTHER PLAN YEAR(S)	OPERATING TRANSFER/EXP & ADJ	CASH TRANSACTIONS		
2011 Balance Forward	7,930,933,519.66	267,079,027.44	25,338,681.16	149,397,142.33	97,819,227.95	(5,709,844,765.67)	(369,335,833.51)	(49,763,086.33)	(229,495,672.41)	(1,753,291,596.16)	(56,490,664.75)	(97,819,227.95)	(70,747,099.86)	\$133,779,651.90		
January-12	134,359,818.71	4,060,022.91	3,114.85	0.00	0.00	(93,012,806.46)	(5,825,992.95)	(1,616,818.80)	(4,328,583.62)	(32,864,686.57)	(916,884.66)	0.00	(1,681,309.12)	\$1,824,125.71		
February-12	133,831,116.77	4,100,115.82	10,106.73	0.00	0.00	(102,024,607.71)	(6,277,459.61)	(1,561,725.59)	(4,024,450.62)	(19,715,587.61)	(786,179.91)	0.00	(650,000.00)	\$2,901,328.27		
March-12	135,780,512.95	4,049,823.20	2,763.10	8,326,710.96	0.00	(93,097,721.87)	(5,994,792.56)	(1,912,341.77)	(4,464,402.85)	(33,220,338.92)	(898,082.89)	0.00	(400,000.00)	\$8,172,129.35		
April-12	121,024,982.64	3,947,101.33	13,194.60	0.00	0.00	(101,890,879.51)	(6,046,635.37)	(1,734,697.60)	(4,163,249.56)	(26,055,949.70)	(750,864.72)	0.00	(2,579,500.00)	\$18,236,497.89		
May-12	131,228,269.78	4,051,460.97	116,962.98	0.00	0.00	(97,239,674.30)	(6,087,589.74)	(1,574,867.36)	(3,588,198.82)	(32,737,839.73)	(625,946.00)	0.00	0.00	(\$6,457,422.22)		
June-12	123,685,190.46	2,160,377.56	24,864.41	7,711,686.20	22,721,775.75	(95,724,960.94)	(6,069,373.82)	(1,404,855.49)	(3,733,969.81)	(27,170,861.58)	(243,128.09)	(22,721,775.75)	(300,000.00)	(\$1,065,031.10)		
July-12	158,805,426.96	2,582,010.48	24,144.64	0.00	364,837.81	(105,445,394.78)	(5,770,981.74)	(1,448,117.58)	(3,982,814.05)	(33,229,655.54)	(1,127,149.75)	(364,837.81)	(2,075,000.00)	\$8,332,468.64		
August-12	134,739,317.38	3,938,126.10	28,384.98	0.00	212,573.26	(106,956,149.48)	(5,908,046.80)	(1,210,002.21)	(3,195,675.33)	(26,268,654.85)	(843,436.96)	(212,573.26)	800,000.00	(\$4,876,137.17)		
September-12	73,632,694.32	3,905,917.68	20,677.07	8,040,437.96	409.58	(84,803,011.00)	(6,299,562.51)	(870,840.82)	(2,393,699.06)	(26,421,456.75)	(593,559.95)	(409.58)	(115,000.00)	(\$35,897,403.06)		
October-12	189,639,264.02	7,029,503.50	21,216.67	0.00	0.00	(107,430,419.92)	(5,762,839.68)	(1,031,776.76)	(2,764,015.64)	(33,495,540.48)	(724,952.91)	0.00	(2,092,895.54)	\$43,387,543.26		
November-12	132,132,212.17	3,991,012.86	27,630.70	0.00	0.00	(104,811,752.26)	(5,775,468.47)	(970,701.46)	(2,726,951.19)	(26,386,348.69)	0.00	0.00	(290,104.00)	(\$4,810,470.34)		
December-12	131,764,530.01	3,986,999.18	22,017.84	7,940,052.90	0.00	(86,152,034.30)	(6,628,289.82)	(930,820.70)	(2,516,409.93)	(29,735,287.65)	(1,649,895.66)	0.00	(43,165.17)	\$16,057,696.70		
Trust Cash Transactions to Date	9,531,556,855.83	314,881,499.03	25,653,759.73	181,416,030.35	121,118,824.35	(6,888,434,178.20)	(441,782,866.58)	(66,030,652.47)	(271,378,092.89)	(2,100,593,804.23)	(65,650,746.25)	(121,118,824.35)	(80,174,073.69)	\$139,463,730.63		
Adjustments	0.00													\$0.00		
Total Adjusted Transactions to Date	9,531,556,855.83	314,881,499.03	25,653,759.73	181,416,030.35	121,118,824.35	(6,888,434,178.20)	(441,782,866.58)	(66,030,652.47)	(271,378,092.89)	(2,100,593,804.23)	(65,650,746.25)	(121,118,824.35)	(80,174,073.69)	\$139,463,730.63		

130 Per HB 265 plan year balances for 2006, 2007, 2008, and 2009 as of December 31, 2011, and all subsequent balances from those plan years were transferred to Plan Year 2010.

500 HB 265 plan year transfers

1 Please note that the August 2012 KDE health insurance premium payment of \$52,467,299.39 one would expect to see included in the September 2012 premiums was not submitted in eMARS until October 2012. If this payment had been included in the September 2012 health insurance premiums the 2012 plan year ending balance would have been positive \$33,599,492.47.

Kentucky Employees' Health Plan – Status Report
Attachment B

4th Quarter, 2013
Page B-39

Combined 2006, 2007, 2008, 2009, 2010, 2011, 2012 Plan Years															
2011	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL	
					TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S)						TRANSFERS <i>TO</i> OTHER PLAN YEAR(S)				
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES		OPERATING TRANSFER/EXP & ADJ		CASH TRANSACTIONS	
2010 Balance Forward	6,341,813,915.56	218,880,760.35	25,049,800.77	119,377,840.18	97,819,227.95	(4,593,357,113.98)	(298,022,916.53)	(33,577,601.34)	(186,653,915.30)	(1,417,844,039.61)	(46,101,896.03)	(97,819,227.95)	(61,181,456.71)	\$68,383,377.36	
January-11	104,584,502.27	4,150,040.84	21,178.18	0.00	0.00	(75,999,263.51)	(6,247,427.64)	(1,638,566.78)	(4,777,290.11)	(25,399,397.99)	(971,875.51)	0.00	(1,506,150.00)	(\$7,784,250.25)	
February-11	134,884,734.96	742,108.00	30,959.07	7,318,750.55	0.00	(80,774,396.21)	(5,708,279.82)	(1,506,692.34)	(3,900,833.10)	(24,031,689.18)	(1,018,114.64)	0.00	0.00	\$26,036,547.29	
March-11	215,970,657.90	7,560,521.66	13,833.95	0.00	0.00	(104,449,207.46)	(5,804,744.75)	(2,053,495.76)	(5,161,703.53)	(31,809,425.67)	(711,618.54)	0.00	0.00	\$73,554,817.80	
April-11	57,773,173.95	377,390.86	26,046.76	0.00	0.00	(87,232,875.89)	(5,942,857.59)	(1,685,499.51)	(4,155,235.80)	(24,906,293.57)	(825,034.49)	0.00	(1,475,000.00)	(\$68,046,185.28)	
May-11	148,898,494.25	6,828,465.22	32,466.25	0.00	0.00	(92,403,747.19)	(5,995,254.14)	(1,689,645.13)	(3,353,570.33)	(30,442,527.33)	(936,978.70)	0.00	(1,060,000.00)	\$19,877,702.90	
June-11	135,195,259.75	4,147,240.50	92,986.05	6,603,193.58	0.00	(99,154,069.14)	(6,148,364.56)	(1,332,730.89)	(4,231,988.59)	(25,443,584.48)	(657,735.45)	0.00	(2,262,825.00)	\$6,807,381.77	
July-11	129,467,603.18	4,055,818.00	0.00	0.00	0.00	(92,105,282.62)	(5,691,468.70)	(1,288,772.73)	(3,558,196.47)	(25,072,615.58)	(1,054,056.11)	0.00	(1,446,700.00)	\$3,306,328.97	
August-11	128,828,423.04	4,015,463.08	51,947.57	0.00	0.00	(104,589,978.91)	(5,906,767.25)	(1,252,118.61)	(3,536,059.99)	(31,854,471.10)	(784,412.56)	0.00	505.45	(\$15,027,469.28)	
September-11	128,256,271.07	3,985,826.55	(37,632.37)	8,172,233.15	0.00	(95,702,173.11)	(5,839,009.41)	(915,062.67)	(2,580,066.76)	(27,076,530.36)	(922,651.44)	0.00	(722,373.60)	\$6,618,831.05	
October-11	142,233,173.19	4,088,693.16	15,830.99	0.00	0.00	(92,141,470.81)	(6,026,716.60)	(917,099.48)	(2,345,378.82)	(27,684,350.74)	(830,216.34)	0.00	(1,446,800.00)	\$14,945,664.55	
November-11	128,470,686.55	4,132,176.42	22,960.29	0.00	0.00	(97,700,693.63)	(6,270,748.75)	(1,123,295.88)	(2,350,943.96)	(33,686,533.43)	(678,723.69)	0.00	653,700.00	(\$8,531,416.08)	
December-11	134,556,623.99	4,114,522.80	18,303.65	7,925,124.87	0.00	(94,234,493.21)	(5,731,277.77)	(782,505.21)	(2,890,489.65)	(28,040,137.12)	(997,351.25)	0.00	(300,000.00)	\$13,638,321.10	
Trust Cash Transactions to Date	7,930,933,519.66	267,079,027.44	25,338,681.16	149,397,142.33	97,819,227.95	(5,709,844,765.67)	(369,335,833.51)	(49,763,086.33)	(229,495,672.41)	(1,753,291,596.16)	(56,490,664.75)	(97,819,227.95)	(70,747,099.86)	\$133,779,651.90	
Adjustments	0.00													\$0.00	
Total Adjusted Transactions to Date	7,930,933,519.66	267,079,027.44	25,338,681.16	149,397,142.33	97,819,227.95	(5,709,844,765.67)	(369,335,833.51)	(49,763,086.33)	(229,495,672.41)	(1,753,291,596.16)	(56,490,664.75)	(97,819,227.95)	(70,747,099.86)	\$133,779,651.90	

Kentucky Employees' Health Plan – Status Report
Attachment B

4th Quarter, 2013
Page B-40

Combined 2006, 2007, 2008, 2009, 2010, 2011, 2012 Plan Years																
2010	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL		
					TRANSFERS <u>FROM</u> OTHER PLAN YEAR(S)				TRANSFERS <u>TO</u> OTHER PLAN YEAR(S)				OPERATING TRANSFER/EXP & ADJ			
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	MEDICAL CLAIMS	HUMANA	ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES			CASH TRANSACTIONS		
2009 Balance Forward	4,809,173,361.77	168,665,147.97	24,913,376.37	88,653,314.52	97,819,227.95	(3,496,039,906.15)	(229,908,712.21)	(18,771,218.87)	(139,858,938.15)	(1,082,090,305.62)	(35,393,066.01)	(97,819,227.95)	(55,011,478.14)		\$34,331,575.48	
January-10	95,747,358.57	4,244,832.31	26,531.51	0.00	0.00	(76,941,840.82)	(5,736,162.63)	(1,256,878.21)	(4,543,397.17)	(24,639,836.75)	(1,072,953.74)	0.00	(1,275,000.00)		(\$15,447,346.93)	
February-10	127,169,263.89	4,212,765.81	5,814.26	8,532,678.01	0.00	(76,818,834.89)	(5,523,329.15)	(1,334,097.78)	(4,478,631.31)	(25,059,247.87)	(816,450.76)	0.00	0.00		\$25,889,930.21	
March-10	130,575,690.89	4,263,342.97	807.13	0.00	0.00	(88,966,839.89)	(6,131,669.29)	(1,725,032.27)	(5,319,247.14)	(32,287,296.46)	(838,104.26)	0.00	(54,178.15)		(\$482,526.47)	
April-10	129,273,592.71	4,258,676.92	5,799.92	0.00	0.00	(88,897,668.64)	(5,481,061.88)	(1,621,968.15)	(4,591,699.98)	(25,746,221.30)	(918,467.39)	0.00	(1,413,911.17)		\$4,867,071.04	
May-10	129,181,824.92	4,260,752.26	259.48	7,937,042.03	0.00	(94,629,538.08)	(5,755,724.02)	(1,220,751.07)	(3,677,149.33)	(25,989,347.02)	(835,137.51)	0.00	(83,745.11)		\$9,188,486.55	
June-10	158,711,733.40	4,183,982.11	1,684.19	0.00	0.00	(102,236,620.07)	(5,629,480.75)	(1,351,564.74)	(4,197,077.16)	(32,506,663.48)	(846,828.84)	0.00	(55,288.36)		\$16,073,876.30	
July-10	123,373,925.07	4,161,587.50	0.00	0.00	0.00	(93,401,709.89)	(5,422,525.77)	(1,259,742.60)	(4,327,901.78)	(25,453,504.91)	(1,070,776.85)	0.00	(1,548,680.08)		(\$4,949,329.31)	
August-10	103,046,413.80	4,120,287.50	20,505.77	0.00	0.00	(98,142,631.72)	(5,654,685.52)	(1,136,894.43)	(3,720,034.08)	(31,969,635.82)	(807,174.97)	0.00	(52,416.36)		(\$34,296,265.83)	
September-10	102,582,008.02	4,036,812.50	14,991.93	7,000,703.32	0.00	(82,106,239.82)	(5,417,936.87)	(829,621.91)	(2,898,271.60)	(25,761,834.96)	(957,399.46)	0.00	(111,660.00)		(\$4,448,448.85)	
October-10	149,611,237.78	4,157,562.50	25,031.62	0.00	0.00	(95,822,317.38)	(5,796,657.02)	(911,986.60)	(2,768,450.36)	(25,541,240.85)	(689,746.75)	0.00	(1,495,919.34)		\$20,767,513.60	
November-10	128,699,776.48	4,139,217.50	11,791.58	7,254,102.30	0.00	(93,646,788.11)	(6,053,783.68)	(1,058,119.04)	(3,105,019.01)	(33,770,560.81)	(1,005,581.09)	0.00	(48,900.00)		\$1,416,136.12	
December-10	154,667,125.73	4,175,792.50	23,207.01	0.00	0.00	(105,706,178.52)	(5,511,187.74)	(1,099,725.67)	(3,168,098.23)	(27,028,343.76)	(850,208.40)	0.00	(30,280.00)		\$15,472,102.92	
Trust Cash Transactions to Date	6,341,813,313.03	218,880,760.35	25,049,800.77	119,377,840.18	97,819,227.95	(4,593,357,113.98)	(298,022,916.53)	(33,577,601.34)	(186,653,915.30)	(1,417,844,039.61)	(46,101,896.03)	(97,819,227.95)	(61,181,456.71)		\$68,382,774.83	
Adjustments	0.00														\$0.00	
Total Adjusted Transactions to Date	6,341,813,313.03	218,880,760.35	25,049,800.77	119,377,840.18	97,819,227.95	(4,593,357,113.98)	(298,022,916.53)	(33,577,601.34)	(186,653,915.30)	(1,417,844,039.61)	(46,101,896.03)	(97,819,227.95)	(61,181,456.71)		\$68,382,774.83	

Kentucky Employees' Health Plan – Status Report
Attachment B

4th Quarter, 2013
Page B-41

Combined 2006, 2007, 2008, 2009, 2010, 2011, 2012 Plan Years																
2009	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL		
					TRANSFERS <u>FROM</u> OTHER PLAN YEAR(S)						EXPRESS SCRIPTS ADMIN FEES		TRANSFERS <u>TO</u> OTHER PLAN YEAR(S)		OTHER PAYMENTS & ADJUSTMENTS	
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS						CASH TRANSACTIONS	
2008 Balance Forward	3,472,792,844.21	115,135,107.78	24,140,976.97	60,564,167.87	97,819,227.95	(2,470,684,506.30)	(165,326,919.20)	(6,911,506.84)	(91,837,975.93)	(775,304,308.60)	(25,568,132.84)	(97,819,227.95)	274,818.21		\$137,274,565.33	
January-09	68,255,311.19	798,573.84	140,780.24	0.00	0.00	(85,452,148.81)	(5,043,705.90)	(827,116.31)	(4,971,264.87)	(22,287,745.83)	(833,298.77)	0.00	0.00		(\$50,220,615.22)	
February-09	116,138,197.11	4,340,347.32	60,540.29	0.00	0.00	(75,791,722.45)	(5,737,676.40)	(952,705.95)	(4,405,562.79)	(22,275,797.48)	(778,021.73)	0.00	(1,275,000.00)		\$9,322,597.92	
March-09	118,008,223.40	8,311,362.50	33,073.20	7,055,831.33	0.00	(83,925,434.88)	(5,295,688.92)	(1,350,494.55)	(5,277,307.12)	(29,633,621.51)	(819,180.11)	0.00	0.00		\$7,106,763.34	
April-09	113,434,068.66	814,290.99	28,726.97	0.00	0.00	(78,925,136.73)	(5,169,296.47)	(1,165,473.69)	(4,524,767.00)	(22,854,392.81)	(788,640.01)	0.00	(1,275,000.00)		(\$425,620.09)	
May-09	115,734,630.50	8,267,882.13	31,360.93	0.00	0.00	(86,759,658.54)	(5,330,325.18)	(1,055,767.92)	(3,504,732.56)	(22,721,210.56)	(653,814.20)	0.00	0.00		\$4,008,364.60	
June-09	125,205,000.97	4,512,349.70	306,950.33	7,295,841.15	0.00	(85,219,714.41)	(5,437,416.06)	(1,180,022.66)	(4,669,317.04)	(29,189,575.56)	(1,037,960.90)	0.00	(50,000,000.00)		(\$39,413,864.48)	
July-09	109,589,613.92	4,454,417.06	4,841.37	590.82	0.00	(95,563,010.91)	(5,302,867.73)	(934,924.26)	(4,403,754.20)	(22,790,148.22)	(763,242.58)	0.00	(1,273,348.65)		(\$16,981,833.38)	
August-09	111,338,708.15	4,404,735.89	35,824.83	0.00	0.00	(86,055,444.88)	(5,143,301.79)	(874,960.75)	(3,690,000.08)	(23,380,653.93)	(762,612.57)	0.00	0.00		(\$4,127,705.13)	
September-09	116,578,824.89	4,346,377.77	35,320.61	7,023,397.82	0.00	(84,858,803.83)	(5,505,684.21)	(940,144.54)	(3,290,411.20)	(30,060,597.42)	(927,184.46)	0.00	0.00		\$2,401,095.43	
October-09	114,644,530.40	4,470,702.84	9,021.72	0.00	0.00	(90,344,330.41)	(5,554,309.19)	(909,795.64)	(3,058,569.14)	(25,090,015.64)	(958,703.20)	0.00	(1,275,000.00)		(\$8,066,468.26)	
November-09	116,247,533.00	4,403,589.15	0.00	0.00	0.00	(74,273,298.27)	(5,216,766.28)	(830,231.35)	(2,801,499.55)	(25,783,129.31)	(681,386.56)	0.00	0.00		\$11,064,810.83	
December-09	142,204,710.76	4,405,411.00	85,958.91	6,713,485.53	0.00	(98,186,695.73)	(5,844,754.88)	(838,074.41)	(3,423,776.67)	(30,719,108.75)	(820,888.08)	0.00	(187,947.70)		\$13,388,319.98	
Trust Cash Transactions to Date	4,840,172,197.16	168,665,147.97	24,913,376.37	88,653,314.52	97,819,227.95	(3,496,039,906.15)	(229,908,712.21)	(18,771,218.87)	(139,858,938.15)	(1,082,090,305.62)	(35,393,066.01)	(97,819,227.95)	(55,011,478.14)		\$65,330,410.87	
Adjustments	0.00														\$0.00	
Total Adjusted Transactions to Date	\$4,840,172,197.16	\$168,665,147.97	\$24,913,376.37	\$88,653,314.52	\$97,819,227.95	(\$3,496,039,906.15)	(\$229,908,712.21)	(\$18,771,218.87)	(\$139,858,938.15)	(\$1,082,090,305.62)	(\$35,393,066.01)	(\$97,819,227.95)	(\$55,011,478.14)		\$65,330,410.87	

* 101 JAN09 The drop in the fund balance is partially attributable to the change in the timing of payments.

Kentucky Employees' Health Plan – Status Report
Attachment B

4th Quarter, 2013
Page B-42

Combined 2006, 2007, 2008, 2009, 2010, 2011, 2012 Plan Years																
2008	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL		
	TRANSFERS <u>FROM</u> OTHER PLAN YEAR(S)															
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES		MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS <u>TO</u> OTHER PLAN YEAR(S)	OTHER PAYMENTS & ADJUSTMENTS	CASH TRANSACTIONS		
2007 Balance Forward	2,244,282,233.46	58,856,749.55	18,182,114.96	34,631,858.72	0.00	(1,539,279,781.73)	(103,798,076.92)	(2,806,678.62)	(42,679,505.27)	(474,493,199.66)	(16,355,810.48)	0.00	5,130,694.38	\$181,670,598.39		
January-08	102,988,425.84	853,335.50	827,145.06	0.00	658,356.10	(76,334,055.51)	(4,842,532.38)	(224,755.24)	(4,432,702.53)	(26,272,430.60)	(702,681.93)	(658,356.10)	92,763.42	(\$8,047,488.37)		
February-08	100,542,297.69	8,725,938.57	960,108.01	0.00	657,763.84	(78,532,581.12)	(5,042,452.80)	(332,257.72)	(4,795,436.79)	(21,934,249.43)	(887,925.79)	(657,763.84)	0.00	(\$1,296,559.38)		
March-08	100,877,753.28	4,754,701.92	768,656.51	5,958,195.90	56,102,467.13	(61,065,091.32)	(5,005,078.31)	(752,708.54)	(5,178,186.16)	(29,345,300.60)	(733,323.17)	(56,102,467.13)	0.00	\$10,279,619.51		
April-08	101,659,637.37	4,782,116.07	712,576.54	0.00	1,850,662.56	(75,176,414.95)	(5,255,305.52)	(372,544.32)	(5,407,822.66)	(26,637,527.83)	(710,692.12)	(1,850,662.56)	(2,000,000.00)	(\$8,405,977.42)		
May-08	105,355,954.92	862,281.74	431,392.98	0.00	(3,952,389.72)	(77,032,608.33)	(5,258,400.11)	(447,786.33)	(4,101,182.55)	(20,782,280.92)	(817,954.72)	3,952,389.72	(400,000.00)	(\$2,190,583.32)		
June-08	104,591,289.33	8,626,127.26	431,326.45	6,236,447.62	42,502,368.04	(72,924,805.10)	(4,897,212.47)	(530,844.59)	(4,336,420.94)	(21,929,235.31)	(710,486.54)	(42,502,368.04)	0.00	\$14,556,185.71		
July-08	103,059,405.26	4,587,429.00	74,536.00	0.00	0.00	(80,311,179.56)	(5,286,315.21)	(338,888.30)	(4,367,019.10)	(26,346,891.24)	(551,133.34)	0.00	1,360.41	(\$9,478,696.08)		
August-08	139,548,424.17	4,499,127.32	428,331.61	0.00	0.00	(91,149,999.59)	(4,987,877.31)	(239,307.16)	(3,622,981.74)	(21,864,402.52)	(929,650.41)	0.00	(1,275,000.00)	\$20,406,664.37		
September-08	64,929,533.04	812,931.18	491,797.55	6,912,622.35	0.00	(80,524,433.21)	(5,162,711.50)	(216,606.94)	(3,327,303.63)	(27,982,388.81)	(863,456.25)	0.00	0.00	(\$44,930,016.22)		
October-08	60,335,714.07	8,348,674.55	290,759.55	0.00	0.00	(79,780,992.95)	(5,303,864.33)	(195,901.14)	(3,085,633.12)	(23,248,694.56)	(707,152.51)	0.00	0.00	(\$43,347,090.44)		
November-08	144,083,073.47	822,852.19	287,641.38	0.00	0.00	(72,635,398.45)	(5,395,995.18)	(219,870.06)	(3,142,308.02)	(24,572,795.46)	(866,358.11)	0.00	(1,275,000.00)	\$37,085,841.76		
December-08	69,540,266.92	8,602,842.93	254,590.37	6,825,043.28	0.00	(85,937,164.48)	(5,091,097.16)	(233,357.88)	(3,361,473.42)	(29,894,911.66)	(731,507.47)	0.00	0.00	(\$40,026,768.57)		
Trust Cash Transactions to Date	3,441,794,008.82	115,135,107.78	24,140,976.97	60,564,167.87	97,819,227.95	(2,470,684,506.30)	(165,326,919.20)	(6,911,506.84)	(91,837,975.93)	(775,304,308.60)	(25,568,132.84)	(97,819,227.95)	274,818.21	\$106,275,729.94		
Adjustments	0.00													\$0.00		
Total Adjusted Transactions to Date	\$3,441,794,008.82	\$115,135,107.78	\$24,140,976.97	\$60,564,167.87	\$97,819,227.95	(\$2,470,684,506.30)	(\$165,326,919.20)	(\$6,911,506.84)	(\$91,837,975.93)	(\$775,304,308.60)	(\$25,568,132.84)	(\$97,819,227.95)	\$274,818.21	\$106,275,729.94		

101 PREMIUM	The drop in premium payments between August premiums of \$139M and September premiums of \$64M was caused by KDE paying 2 months of the Boards of Educations' premiums in August and none in September. The October payment of \$41,820,165.63 was received on 11/10/2008.
102 WAIVER CONTRIB	The September drop in the HRA Waiver contributions occurred because the September Board of Educations' payment of \$3,697,487.50 was not received until 10/02/2008.

Kentucky Employees' Health Plan – Status Report
Attachment B

4th Quarter, 2013
Page B-43

Combined 2006, 2007, 2008, 2009, 2010, 2011, 2012 Plan Years																
2007	101	102	110	120	130 TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S)	201	202	203	204	301	302	500 TRANSFERS <i>TO</i> OTHER PLAN YEAR(S)	501	TOTAL		
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	OTHER PAYMENTS & ADJUSTMENTS	CASH TRANSACTIONS				
2006 Balance Forward	1,101,509,965.08	0.00	6,705,247.06	11,813,426.09	0.00	(696,060,326.39)	(51,029,179.63)	0.00	0.00	(218,657,413.99)	(7,555,888.27)	0.00	0.00		\$146,725,829.95	
January-07	96,460,532.11	890,337.50	613,850.54	0.00	0.00	(44,717,495.18)	(4,606,277.93)	(70,023.79)	(1,638,005.91)	(23,803,783.10)	(817,818.71)	0.00	6,806,011.40		\$29,117,326.93	
February-07	94,757,241.81	7,028,187.50	729,554.18	0.00	0.00	(76,297,980.20)	(4,508,865.17)	(182,325.14)	(4,371,073.41)	(19,224,461.40)	(843,930.89)	0.00	100,010.73		(\$2,813,641.99)	
March-07	92,623,952.19	4,994,500.00	1,095,184.33	5,614,413.50	0.00	(73,051,261.94)	(4,814,764.49)	(539,111.13)	(4,290,599.88)	(19,795,242.95)	(717,137.89)	0.00	59,956.22		\$1,179,887.96	
April-07	95,130,655.61	2,953,650.00	860,324.93	0.00	0.00	(65,053,599.07)	(4,424,821.23)	(207,874.13)	(4,768,571.76)	(19,223,135.89)	(677,520.52)	0.00	0.00		\$4,589,107.94	
May-07	95,705,980.42	9,051,033.88	1,002,677.40	0.00	0.00	(69,857,566.45)	(543,472.58)	(547,762.85)	(4,157,130.61)	(23,544,640.97)	(800,875.14)	0.00	0.00		\$6,308,243.10	
June-07	96,003,496.30	4,913,354.62	1,869,413.02	5,136,289.06	0.00	(78,361,628.09)	(4,613,355.82)	(184,726.50)	(4,470,479.22)	(19,667,856.25)	(695,111.09)	0.00	157,230.00		\$86,626.03	
July-07	94,065,173.34	4,820,107.23	0.00	0.00	0.00	(76,161,323.62)	(99,766.85)	(354,933.71)	(3,998,535.11)	(19,295,360.80)	(501,690.58)	0.00	0.00		(\$1,526,330.10)	
August-07	92,807,741.42	892,664.70	958,404.82	6,127,725.00	0.00	(77,686,845.13)	(9,397,503.94)	(163,086.56)	(3,859,291.89)	(24,481,631.29)	(802,063.98)	0.00	0.00		(\$15,603,886.85)	
September-07	94,858,022.07	8,625,244.17	971,848.00	0.00	0.00	(59,795,885.17)	(4,640,244.18)	(137,420.21)	(2,730,049.63)	(19,969,297.61)	(690,530.78)	0.00	0.00		\$16,491,686.66	
October-07	136,543,983.44	4,920,047.56	1,355,373.53	0.00	0.00	(79,483,837.47)	(4,771,304.14)	(152,300.13)	(3,237,230.54)	(25,419,024.04)	(694,700.18)	0.00	(25,157.96)		\$29,035,850.07	
November-07	57,524,524.06	875,086.46	0.00	0.00	0.00	(76,763,454.19)	(5,374,281.49)	(169,977.44)	(2,540,207.78)	(20,369,526.00)	(712,161.99)	0.00	7,486.03		(\$47,522,512.34)	
December-07	96,290,965.61	8,892,535.93	2,020,237.15	5,940,005.07	0.00	(65,988,578.83)	(4,974,239.47)	(97,137.03)	(2,618,329.53)	(21,041,825.37)	(846,380.46)	0.00	(1,974,842.04)		\$15,602,411.03	
Trust Cash Transactions to Date	2,244,282,233.46	58,856,749.55	18,182,114.96	34,631,858.72	0.00	(1,539,279,781.73)	(103,798,076.92)	(2,806,678.62)	(42,679,505.27)	(474,493,199.66)	(16,355,810.48)	0.00	5,130,694.38		\$181,670,598.39	
Adjustments	0.00														\$0.00	
Total Adjusted Transactions to Date	\$2,244,282,233.46	\$58,856,749.55	\$18,182,114.96	\$34,631,858.72	\$0.00	(\$1,539,279,781.73)	(\$103,798,076.92)	(\$2,806,678.62)	(\$42,679,505.27)	(\$474,493,199.66)	(\$16,355,810.48)	\$0.00	\$5,130,694.38		\$181,670,598.39	

There was reduced cash activity for August due to the following:

- 1) Humana invoiced both the July and August ASO fees in August due to delays in billing related to the change in ASO fees on July 01,2007.
- 2) KDE delayed payment of their August HRA contributions until September
- 3) There was an increase in claim expense in August (ESI bills weekly, there were 5 weekly invoices in August compared to 4 invoices in a typical month).

**

September's cash activity is high due to:

- 1) KDE sending two months of HRA contributions (August and September)
- 2) Lower claim expense (received claim recovery from Humana for Feb. - Jun. 07).

Kentucky Employees' Health Plan – Status Report
Attachment B

4th Quarter, 2013
Page B-44

Combined 2006, 2007, 2008, 2009, 2010, 2011, 2012 Plan Years																
2006	101	102	110	120	130 TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S)	201	202	203	204	301	302	500 TRANSFERS <i>TO</i> OTHER PLAN YEAR(S)	501	TOTAL		
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	OTHER PAYMENTS & ADJUSTMENTS	CASH TRANSACTIONS				
December-05	12,109,520.87	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$12,109,520.87	
January-06	58,717,052.66	0.00	0.00	0.00	0.00	(5,858,910.97)	0.00	0.00	0.00	(4,355,323.50)	0.00	0.00	0.00	0.00	\$48,502,818.19	
February-06	95,279,715.21	0.00	0.00	0.00	0.00	(33,608,116.12)	0.00	0.00	0.00	(27,222,136.63)	0.00	0.00	0.00	0.00	\$34,449,462.46	
March-06	96,203,652.90	0.00	479,416.24	0.00	0.00	(55,143,313.46)	(8,450,597.05)	0.00	0.00	(22,689,138.39)	0.00	0.00	0.00	0.00	\$10,400,020.24	
April-06	95,713,661.72	0.00	363,022.39	0.00	0.00	(49,234,826.19)	(4,245,852.36)	0.00	0.00	(15,725,632.15)	(2,123,741.31)	0.00	0.00	0.00	\$24,746,632.10	
May-06	96,477,472.44	0.00	433,688.68	0.00	0.00	(59,192,900.58)	(4,237,553.40)	0.00	0.00	(21,614,764.42)	(505,455.83)	0.00	0.00	0.00	\$11,360,486.89	
June-06	75,715,144.70	0.00	1,309,327.87	0.00	0.00	(66,179,114.23)	(8,434,079.16)	0.00	0.00	(17,722,866.45)	(859,244.32)	0.00	0.00	0.00	(\$16,170,831.59)	
July-06	106,607,010.35	0.00	0.00	0.00	0.00	(62,801,570.87)	(4,262,691.48)	0.00	0.00	(16,921,364.87)	(641,012.65)	0.00	0.00	0.00	\$21,980,370.48	
August-06	87,156,882.23	0.00	377,971.98	0.00	0.00	(73,872,918.18)	(4,112,992.68)	0.00	0.00	(17,456,752.65)	(746,088.15)	0.00	0.00	0.00	(\$8,653,897.45)	
September-06	84,428,262.67	0.00	1,577,522.63	5,799,613.36	0.00	(68,916,143.27)	(4,229,309.40)	0.00	0.00	(14,830,311.45)	(653,915.94)	0.00	0.00	0.00	\$3,175,718.60	
October-06	90,247,993.08	0.00	646,707.29	0.00	0.00	(65,259,252.33)	(4,243,928.76)	0.00	0.00	(22,693,132.66)	(657,804.47)	0.00	0.00	0.00	(\$1,959,417.85)	
November-06	88,348,883.51	0.00	720,536.99	0.00	0.00	(62,337,283.05)	(4,504,712.82)	0.00	0.00	(18,218,171.50)	(799,688.56)	0.00	0.00	0.00	\$3,209,564.57	
December-06	85,532,174.21	0.00	797,052.99	6,013,812.73	0.00	(64,828,977.14)	(4,307,462.52)	0.00	0.00	(19,207,819.32)	(568,937.04)	0.00	0.00	0.00	\$3,429,843.91	
Plan Year Transactions to Date	1,072,537,426.55	0.00	6,705,247.06	11,813,426.09	0.00	(667,233,326.39)	(51,029,179.63)	0.00	0.00	(218,657,413.99)	(7,555,888.27)	0.00	0.00	0.00	\$146,580,291.42	
Plus: Claims Escrow	28,827,000.00					(28,827,000.00)									\$0.00	
Total Adjusted Transactions to Date	\$1,101,364,426.55	\$0.00	\$6,705,247.06	\$11,813,426.09	\$0.00	(\$696,060,326.39)	(\$51,029,179.63)	\$0.00	\$0.00	(\$218,657,413.99)	(\$7,555,888.27)	\$0.00	\$0.00	\$0.00	\$146,580,291.42	

** In 2006, the Commonwealth funded the payment of medical claims on an "as cleared" basis. Due to the "as cleared" banking arrangement Humana required the funding of an escrow account of \$28,827,000 to cover an estimated 8 days of medical claims. In 2007, the banking arrangements

Kentucky Employees' Health Plan – Status Report
Attachment B

4th Quarter, 2013
Page B-45

Plan Year Balances as of December 31, 2013															
Plan Year	101 PREMIUMS	102 HRA WAIVER CONTRIBUTION	110 INTEREST INCOME	120 DRUG REBATES	130 TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S)	201 MEDICAL CLAIMS	202 HUMANA ADMIN FEES	203 HRA SELECT CLAIMS	204 HRA WAIVER CLAIMS	301 PHARMACY CLAIMS	302 EXPRESS SCRIPTS ADMIN FEES	500 TRANSFERS <i>TO</i> OTHER PLAN YEAR(S)	501 OPERATING TRANSFER/EXP & ADJ	TOTAL CASH TRANSACTIONS	
2006	1,102,199,258.24	0.00	13,255,658.11	22,564,128.65	0.00	(762,460,936.69)	(47,449,907.92)	0.00	0.00	(231,474,267.61)	(7,783,597.84)	(84,850,334.94)	(4,000,000.00)	(0.00)	
2007	1,140,907,524.60	59,281,406.95	7,947,800.34	24,262,373.59	0.00	(836,800,979.65)	(56,911,781.09)	(2,931,705.94)	(47,117,430.12)	(256,402,961.76)	(8,874,050.30)	(30,082,833.67)	6,722,637.05	0.00	
2008	1,230,691,400.22	52,090,899.58	3,981,846.10	28,089,928.93	97,819,227.95	(949,213,609.34)	(61,670,290.07)	(4,335,289.87)	(48,364,646.21)	(291,065,510.28)	(9,113,443.00)	6,587,304.83	(55,497,818.84)	(0.00)	
2009	1,393,951,770.58	55,664,485.80	(143,564.39)	30,206,603.39	0.00	(1,018,973,395.29)	(64,704,353.44)	(12,286,056.34)	(48,448,767.83)	(308,526,140.46)	(9,956,325.10)	(12,772,960.57)	(4,011,296.35)	0.00	
2010	1,536,940,834.57	52,205,724.17	357,614.91	28,214,433.38	23,299,596.40	(1,099,085,894.51)	(68,050,383.36)	(14,941,275.32)	(46,924,620.80)	(337,746,889.29)	(10,997,841.71)	0.00	(4,894,978.57)	58,376,319.87	
2011	1,594,747,690.90	47,847,607.60	345,421.14	32,522,071.09	0.00	(1,140,286,862.37)	(71,480,718.03)	(16,237,926.63)	(42,550,726.45)	(334,497,559.44)	(9,759,339.41)	0.00	(9,300,252.27)	51,349,406.13	
2012	1,599,837,084.81	47,805,724.93	54,248.60	33,269,304.98	0.00	(1,188,158,105.61)	(72,301,788.87)	(16,590,120.83)	(42,352,116.18)	(346,961,390.71)	(9,340,081.00)	0.00	(9,273,024.00)	(4,010,263.88)	
2013	1,533,384,047.87	46,186,551.19	79,790.06	17,517,925.22	0.00	(1,076,115,537.17)	(71,308,826.85)	(16,148,958.49)	(38,184,591.64)	(337,129,949.87)	(7,962,611.78)	0.00	377,594.69	50,695,433.23	
Ending Balance *	11,132,659,611.79	361,082,400.22	25,878,814.87	216,646,769.23	121,118,824.35	(8,071,095,320.63)	(513,878,049.63)	(83,471,333.42)	(313,942,899.23)	(2,443,804,669.42)	(73,787,290.14)	(121,118,824.35)	(79,877,138.29)	156,410,895.35	
														1	
														1	

HRA Reserves Fund as of December 31, 2012

(38,829,253.43)

Ending Balance Net of HRA Reserves

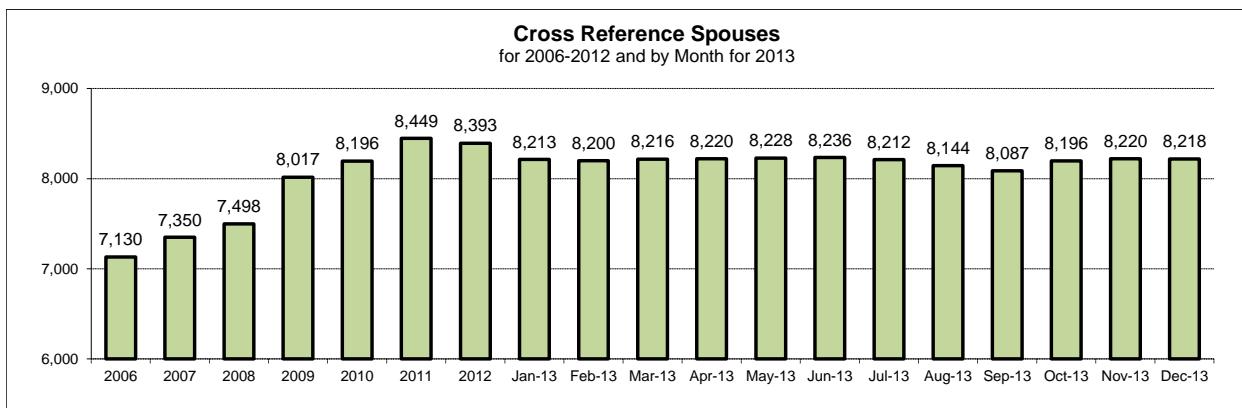
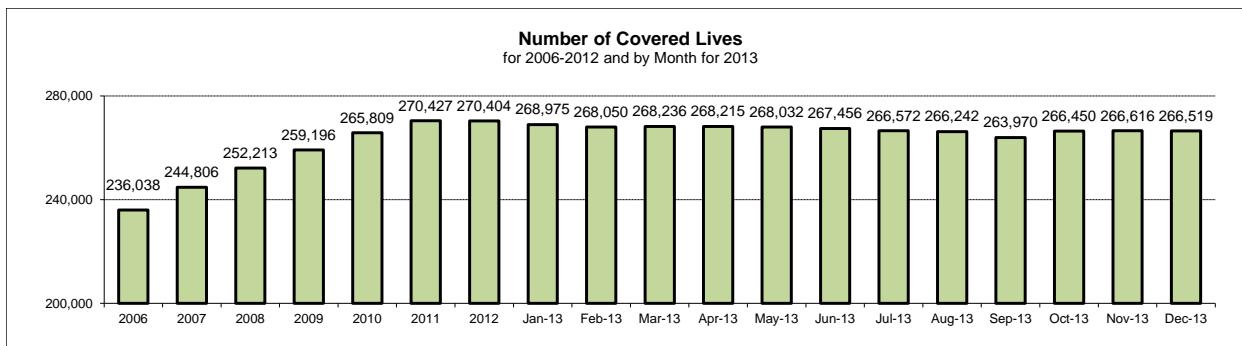
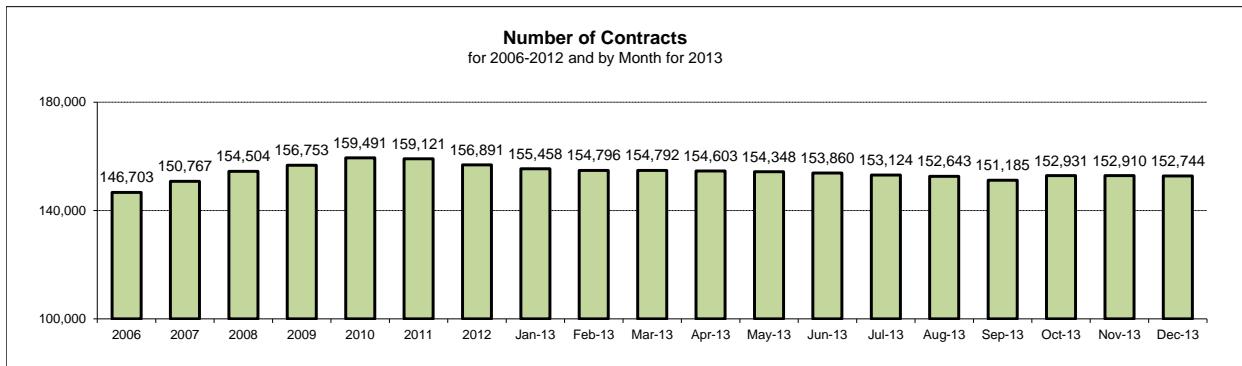
117,581,641.92

* The ending balance includes assets allocated for the HRA (Select and Waiver) reserve.

- 1 501 The plan year 2013 balance includes EERP funds transferred from the 2512 fund in the amount of \$10,159,793.00**
500 Per HB 265 plan year balances for 2006, 2007, 2008, and 2009 as of December 31, 2011, and all subsequent balances from those plan years were transferred to Plan Year 2010.
130 Per HB 265 plan year balances for 2006, 2007, 2008, and 2009 as of December 31, 2011, and all subsequent balances from those plan years were transferred to Plan Year 2010.

Kentucky Employees' Health Plan – Status Report
Attachment C

4th Quarter, 2013
Page C-1



This page was intentionally left blank.

Kentucky Employees' Health Plan – Status Report

Attachment E

4th Quarter, 2013
Page E-1

HumanaHealth Clinical Update

Kentucky Employees' Health Plan (KEHP)

Report for: 01/01/2013 - 06/30/2013

This report provides a high-level view of KEHP's key demographic indicators, clinical program participation rates, utilization management requests, acute case management reviews, and Humana Health Alerts activity during the reporting period.

Demographics

	Current
Average Number of Members	271,502
Average Population Age	38.0

Humana works to control your health care costs by offering programs to help members effectively manage their conditions, make better use of the health care system, and get the most value from the benefits you provide.

Clinical Programs

	Total Eligible	Participating During Period	% Eligible Participating
Total	17,739	12,880	72.6%
Episodic Care Management	3,204	2,633	82.2%
Nurse Support for Complex Conditions	11,753	8,739	74.4%
Maternity (Humanabeginnings)	3,782	1,508	54.2%

	Participating During Period
Why Weight KY	413
Alliance for a Healthier Generation	165
Integrated Medical-Behavioral Health (Humana Achieve)	583

- Episodic Care Management:** Nurses provide post-discharge follow up and ongoing case management after hospitalization. For babies in the neonatal intensive care unit, nurses provide care coordination. Members undergoing transplants or bariatric surgery can work with a specialized case manager.
- Nurse Support for Complex Conditions:** Specialized nurses support members with complex conditions, including cancer, coronary artery disease (CAD) and diabetes.
- Maternity (Humanabeginnings):** Nurses offer prenatal education for expectant mothers.
- Why Weight KY and Alliance for a Healthier Generation:** Nurses provide weight management support for adults and children. Participants are included in the total count for Nurse Support for Complex Conditions.
- Integrated Medical-Behavioral Health (Humana Achieve):** Behavioral health specialists offer proactive outreach and engagement to support members experiencing coexisting medical, behavioral and mental health issues.
- Utilization Management:** Preauthorization is required for all non-emergent hospital admissions, inpatient services, and some outpatient services. This section shows the number of authorizations for inpatient and outpatient services, as well as the approval rate for these authorizations.
- Acute Case Management:** Case managers review cases and perform concurrent review and discharge planning during a member's hospitalization.
- Humana Health Alerts:** Health Alerts help to identify gaps-in-care and drug safety issues and encourage routine preventive care for members. Alerts are used by Humana Clinicians, Network Providers, and Customer Care when interacting with members.

Utilization Management

	Authorization Requests	Approval Rate
Total	63,010	95.8%
Inpatient	10,213	99.4%
Outpatient	52,797	95.1%

Acute Case Management

	Reviews
Total	6,979

Humana Health Alerts

	Qualified	Alerts Generated	Alerts Closed	% Changed	% Compliant	Alerts (Per 1000)	Per Alerts (Per 1000)
Total by Alert Type	501,152	281,769	57,239	20.3%	55.2%	2,102	1,557
Gap in care	381,672	213,999	37,109	17.3%	53.7%	1,596	885
Preventive	119,158	67,448	20,130	29.8%	60.3%	503	663

Humana.

Humana confidential and proprietary



**KEHP Wellness Services Report
2013**

KEHP Service	1st quarter ending totals	2nd quarter ending totals	3rd quarter ending totals	4th quarter ending totals
HumanaVitality HA	9,312	12,812	15,089	34,990
HumanaVitality Blue Level	248,643	247,048	233,075	200,330
HumanaVitality Bronze Level	17,630	22,041	27,825	61,272
HumanaVitality Silver Level	474	765	1,111	2,266
HumanaVitality Gold Level	49	183	252	392
HumanaVitality Platinum Level	37	134	322	520
Health Coaching New Members	37	47	52	88
Health Coaching Active	1,226	1,278	1,010	1,190
Biometric Screenings	739	1,895	2,867	5,875

Prepared by: Tracie Meyer and Jala Miller

Humana. *Quarterly Performance Guarantee Report Card*

Contract Begin Date: 1/1/2013 Contract End Date: 12/31/2013

Commonwealth of Kentucky

Metric Reporting Type	Definition	Performance Criteria	Penalty	1Q	2Q	3Q	4Q	Annual
<i>Account Management</i>								
Account Management Satisfaction	Client Specific	Humana acknowledges that its Account Management team must be responsive to the needs of our customers if Humana is to earn and sustain their trust. Therefore, Humana will perform annually a brief account management satisfaction survey to be completed by designated members of the client's benefits staff.	Overall account management satisfaction score of 3 or higher.	Reporting Only	Annually	Annually	Annually	Pending

Kentucky Employees' Health Plan – Status Report
Attachment G

4th Quarter, 2013
Page G-2

Commonwealth of Kentucky

<i>Metric</i> <i>Reporting Type</i>	<i>Definition</i>	<i>Performance Criteria</i>	<i>Penalty</i>	<i>1Q</i>	<i>2Q</i>	<i>3Q</i>	<i>4Q</i>	<i>Annual</i>	
<i>Claims Processing</i>									
Clean Claim Turnaround - 30 Calendar Days	Client Specific	Humana agrees to a cycle time of 98% within 30 calendar days. Cycle time is measured from the date a clean claim is received to the date it is processed. "Processed" means paid or denied without requiring additional information from an external source.	98% within 30 calendar days	88%-97.99%=<2% of annual base administrative fee Below 88%=>4% of annual base administrative fee	98.9%	98.7%	98.9%	98.9%	98.9%
Financial Accuracy	Client Specific	Humana agrees to a financial accuracy rate of 99%. The financial accuracy rate is defined as the percentage of dollars paid correctly. It is calculated by dividing the total claim dollars paid less the absolute value of overpayments and underpayments by the total claim dollars paid.	99%	98%-98.99%=<2% of annual base administrative fee 97%-97.99%=<3% of annual base administrative fee Below 97%=>4% of annual base administrative fee	100%	100%	99.6%	100%	99.9%
Payment Accuracy	Client Specific	Humana agrees to a payment accuracy rate of 97%. Payment accuracy is defined as the percentage of claims paid correctly. It is calculated by dividing the total number of correctly paid claims by the total number of claims paid.	97%	95.5%-96.99%=<2% of annual base administrative fee Below 95%=>3% of annual base administrative fee	100%	100%	99.3%	100%	99.8%

Friday, February 21, 2014

Commonwealth of Kentucky

Quarterly Reporting

Page 2 of 7

Kentucky Employees' Health Plan – Status Report
Attachment G

4th Quarter, 2013
Page G-3

Commonwealth of Kentucky

<i>Metric</i>	<i>Reporting Type</i>	<i>Definition</i>	<i>Performance Criteria</i>	<i>Penalty</i>	<i>1Q</i>	<i>2Q</i>	<i>3Q</i>	<i>4Q</i>	<i>Annual</i>
Processing Accuracy	Client Specific	Humana agrees to a processing accuracy rate of 97%. Processing accuracy is defined as the percent of claims coded correctly in the system; calculated by dividing the claims audited without processing errors by the total volume of claims audited.	97%	95.5%–96.99% = 2% of annual base administrative fee Below 95% = 3% of annual base administrative fee	100%	100%	100%	99.8%	99.96%
<hr/>									
<i>Clinical</i>									
Clinical Engagement*	Client Specific	Engage equal or greater number of total participants that are actively engaged with AHM (Participants are defined as having discussions with a clinical program).	Refer to Definition	.5% of clinical fee	Annually	Annually	Annually	Annually	Met
Identified Member Engagement*	Client Specific	Engage at least 75% of the total members identified for Baniatric, Case Management, HumanaBeginnings, Neonatal Case Management, Personal Nurse, Transplant, and Chronic Condition Management programs in aggregate.	Refer to Definition	.5% of clinical fee	Annually	Annually	Annually	Annually	75.1%

Friday, February 21, 2014

Commonwealth of Kentucky

Quarterly Reporting

Page 3 of 7

Kentucky Employees' Health Plan – Status Report
Attachment G

4th Quarter, 2013
Page G-4

Commonwealth of Kentucky

<i>Metric</i> <i>Reporting Type</i>	<i>Definition</i>	<i>Performance Criteria</i>	<i>Penalty</i>	<i>1Q</i>	<i>2Q</i>	<i>3Q</i>	<i>4Q</i>	<i>Annual</i>
<i>Customer Service</i>								
Abandonment Rate	Client Specific	Humana agrees to an abandonment rate of 4%. Abandonment rate is defined as the percent of callers that ended the call prior to reaching a customer service representative.	4%	4.01%–7.99%=>2% of annual base administrative fee 8% or higher=>3% of annual base administrative fee	0.30%	0.31%	1.0%	0.5%
Call Hold Time	Client Specific	No more than 30 seconds to send to a Customer Service Representative.	30 seconds	31 seconds–2 minutes=>2% of annual base administrative fee Over 2 minutes=>3% of annual base administrative fee	6	6	6	8
Telephone Response - 30 Seconds	Client Specific	Humana agrees to a telephone response time of 90% within 30 seconds. Telephone response is defined as the percent of calls answered within "n" seconds.	90% in 30 seconds	85%–89.99%=>2% of annual base administrative fee Below 85%=>3% of annual base administrative fee	91.38%	91.71%	91.2%	90.1%

Friday, February 21, 2014

Commonwealth of Kentucky

Quarterly Reporting

Page 4 of 7

Kentucky Employees' Health Plan – Status Report
Attachment G

4th Quarter, 2013
Page G-5

Commonwealth of Kentucky

<i>Metric Reporting Type</i>	<i>Definition</i>	<i>Performance Criteria</i>	<i>Penalty</i>	<i>1Q</i>	<i>2Q</i>	<i>3Q</i>	<i>4Q</i>	<i>Annual</i>
<i>Implementation</i>								
Eligibility - Discrepancy Reporting	Client Specific	Humana agrees that "discrepancies" will be reported within 5 business days. A "discrepancy" is a data element that is submitted on a customer file that does not match what Humana has on the eligibility platform, i.e. date of birth. All discrepancies are reported back to the client or their designated vendor via secured email within 5 business days.	Within 5 business days	2% of annual base administrative fee	Met - 5 Days			
ID Card Issuance - Ongoing	Client Specific	97% mailed within 14 calendar days of data availability contingent upon receiving "clean" enrollment data. "Clean" enrollment is defined as needing no additional information from the member or group.	97% within 14 calendar days	96%-96.99%-2% of annual base administrative fee 94.5%-95.99%-3% of annual base administrative fee Below 94.5%-4% of annual base administrative fee	99.96%	99.99%	99.98%	99.83%
ID Card Issuance - Open Enrollment (Automated)	Client Specific	Humana will agree that 100% of ID card will be mailed 10 calendar days prior to the groups effective date contingent upon receiving "clean" enrollment data. "Clean" enrollment is defined as needing no additional information from the member or the group.	100% mailed 10 calendar days prior to effective date	98%-100%-None 95%-97.99%-2% of annual base administrative fee 93.5%-94.99%-3% of annual base administrative fee Below 93.5%-4% of annual base administrative fee	100%	N/A	N/A	100%

Friday, February 21, 2014

Commonwealth of Kentucky

Quarterly Reporting

Page 5 of 7

Kentucky Employees' Health Plan – Status Report
Attachment G

4th Quarter, 2013
Page G-6

Commonwealth of Kentucky

<i>Metric Reporting Type</i>	<i>Definition</i>	<i>Performance Criteria</i>	<i>Penalty</i>	<i>1Q</i>	<i>2Q</i>	<i>3Q</i>	<i>4Q</i>	<i>Annual</i>
<i>Miscellaneous</i>								
Claims Data Warehouse Support	Client Specific	Consistent and accurate data on or before 11th business day of the month following calendar quarter. (Med Stat)	By 11th business day of following month	\$25 per contract per business day late (maximum of 25% of quarterly administrative fees per quarter)	Met	Met	Met	Met
Error Transactions (Eligibility)	Client Specific	Error transactions sent to DMV corrected and returned to DMV within five (5) business days.	Within 5 business days	2% of annual base administrative fee	Met - 5 Days	Met - 5 Day	Met - 5 Days	Met - 5 Days
Pre-Admission Reviews	Client Specific	Humana agrees that 99% of pre-admission reviews and outpatient testing reviews are completed in two (2) business days of initial contact.	99% completed within 2 business days of initial	2% of annual base administrative fee	99.2%	99.4%	99.6%	99.7%
								99.5%

Friday, February 21, 2014

Commonwealth of Kentucky

Quarterly Reporting

Page 6 of 7

Kentucky Employees' Health Plan – Status Report
Attachment G

4th Quarter, 2013
Page G-7

Commonwealth of Kentucky

<i>Metric Reporting Type</i>	<i>Definition</i>	<i>Performance Criteria</i>	<i>Penalty</i>	<i>1Q</i>	<i>2Q</i>	<i>3Q</i>	<i>4Q</i>	<i>Annual</i>
<i>SPAA - Claims Processing</i>								
Claim Turnaround - 10 Calendar Days (SPAA)	Client Specific	Humana agrees to a cycle time of 95% within 10 calendar days. Cycle time is measured from the date a paper claim is received to the date it is processed.	95% within 10 calendar days	\$100,000	99.86%	99.35%	99.82%	99.76%
Financial Accuracy (SPAA)	Client Specific	Humana agrees to a financial accuracy rate of 99%. The financial accuracy rate is defined as the percentage of dollars paid correctly. It is calculated by dividing the total claim dollars paid less the absolute value of overpayments and underpayments by total claim dollars paid.	99%	\$50,000	100%	100%	100%	100%
Processing Accuracy (SPAA)	Client Specific	Humana agrees to a processing accuracy rate of 98%. Processing accuracy is defined as the percent of claims coded correctly in the system; calculated by dividing the claims audited without processing errors by the total volume of claims audited.	98%	\$50,000	100%	100%	99.5%	100%
<i>SPAA - Customer Service</i>								
Abandonment Rate (SPAA)	Client Specific	Humana agrees to an abandonment rate of 4%. Abandonment rate is defined as the percent of callers that ended the call prior to reaching a customer service representative.	4%	\$50,000	1.10%	1.20%	0.40%	0.80%
Telephone Response - 20 Seconds (SPAA)	Client Specific	Humana agrees to a telephone response time of 80% within 20 seconds. Telephone response is defined as the percent of calls answered within "n" seconds.	80% within 20 seconds	\$50,000	76.40%	79.30%	87.30%	79.90%

Friday, February 21, 2014

Commonwealth of Kentucky

Quarterly Reporting

Page 7 of 7

Kentucky Employees' Health Plan – Status Report
Attachment H

4th Quarter, 2013
Page H-1

Express Scripts Performance Guarantees

DIV/Group CKY	Reporting Period Jan.2013 - Dec.2013	Agreement Type PBM Direct
Measurement Frequency: Quarterly		
Guarantee ID 2143684584	Guarantee Name Average Speed of Answer ESI guarantees that 100% of calls will be answered in an average of 30 seconds or less with the exception of a failure in a third party communication system. This guarantee is predicated on the installation of a toll free number unique to Commonwealth.	Requested Period Q1 (Jan.2013-Mar.2013) 16.68 seconds Q2 (Apr.2013-Jun.2013) 18.23 seconds Q3 (Jul.2013-Sep.2013) 41.17 seconds Q4 (Oct.2013-Dec.2013) 74.99 seconds Total \$0.00
Guarantee Description Penalty Basis Quarterly	Penalty Description ESI will put 0.5% of admin fee as a total amount of penalty at risk quarterly. This standard will be measured and reported quarterly. Penalties will be paid annually.	

DIV/Group CKY	Reporting Period Jan.2013 - Dec.2013	Agreement Type PBM Direct
Measurement Frequency: Quarterly		
Guarantee ID 2143684585	Guarantee Name Blockage Rate ESI guarantees a blockage rate of 5% or less, except in the event of a failure in a third-party communication system. Defined as a caller receiving a busy signal. Predicated on the installation of a toll-free number unique to Commonwealth.	Requested Period Q1 (Jan.2013-Mar.2013) 0.00 % Q2 (Apr.2013-Jun.2013) 0.00 % Q3 (Jul.2013-Sep.2013) 0.00 % Q4 (Oct.2013-Dec.2013) 0 % Total \$0.00
Guarantee Description Penalty Basis Quarterly	Penalty Description ESI will put 0.5% of admin fee as a total amount of penalty at risk quarterly. This standard will be measured and reported quarterly. Penalties will be paid annually.	

Kentucky Employees' Health Plan – Status Report
Attachment H

4th Quarter, 2013
Page H-2

Express Scripts Performance Guarantees

DIV/Group
CKY

Reporting Period
Jan.2013 - Dec.2013

Agreement Type
PBM Direct

Measurement Frequency: Quarterly

Guaranteee ID	2143684586
Guaranteee Name	Call Center - Written Inquiries
Guaranteee Description	ESI guarantees that 100% of written inquiries will be responded to within 10 business days.
Penalty Basis	Quarterly
Penalty Description	ESI will put 0.5% of admin fee as a total amount of penalty at risk quarterly. This standard will be measured and reported quarterly.

Requested Period	Guarantee Results	Penalty Amount	Payments To Date
Q1 (Jan.2013-Mar.2013)	100.00 %	\$0.00	\$0.00
Q2 (Apr.2013-Jun.2013)	100.00 %	\$0.00	\$0.00
Q3 (Jul.2013-Sep.2013)	100.00 %	\$0.00	\$0.00
Q4 (Oct.2013-Dec.2013)	100.00 %	\$0.00	\$0.00
Total		\$0.00	

DIV/Group
CKY

Reporting Period
Jan.2013 - Dec.2013

Agreement Type
PBM Direct

Measurement Frequency: Quarterly

Guaranteee ID	2143684587
Guaranteee Name	Abandonment Rate
Guaranteee Description	ESI guarantees that the annual call abandonment rate will be 5% or less with the exception of a failure in a third party communication system. Does not include calls terminated by members in less than 30 seconds.
Penalty Basis	Quarterly
Penalty Description	ESI will put 0.5% of admin fee as a total amount of penalty at risk quarterly. This standard will be measured and reported quarterly. Penalties will be paid annually.

Requested Period	Guarantee Results	Penalty Amount	Payments To Date
Q1 (Jan.2013-Mar.2013)	0.54 %	\$0.00	\$0.00
Q2 (Apr.2013-Jun.2013)	0.75 %	\$0.00	\$0.00
Q3 (Jul.2013-Sep.2013)	1.92 %	\$0.00	\$0.00
Q4 (Oct.2013-Dec.2013)	3.58 %	\$0.00	\$0.00
Total		\$0.00	

Kentucky Employees' Health Plan – Status Report
Attachment H

4th Quarter, 2013
Page H-3

Express Scripts Performance Guarantees

DIV/Group CKY	Reporting Period Jan.2013 - Dec.2013	Agreement Type PBM Direct
------------------	---	------------------------------

Measurement Frequency: Quarterly

Guaranteee ID 2143684588	Guarantee Name Paper Claims TAT	Requested Period Q1 (Jan.2013-Mar.2013)	Guarantee Results 100 %	Penalty Amount \$0.00	Payments To Date \$0.00
	Guarantee Description ESI guarantees that at least 95% of all paper claims will be reimbursed or responded to within 10 business days.	Q2 (Apr.2013-Jun.2013)	100.0 %	\$0.00	\$0.00
		Q3 (Jul.2013-Sep.2013)	100 %	\$0.00	\$0.00
		Q4 (Oct.2013-Dec.2013)	100 %	\$0.00	\$0.00
			Total	\$0.00	

DIV/Group CKY	Reporting Period Jan.2013 - Dec.2013	Agreement Type PBM Direct
------------------	---	------------------------------

Measurement Frequency: Quarterly

Guaranteee ID 2143684589	Guarantee Name Computer System Accuracy	Requested Period Q1 (Jan.2013-Mar.2013)	Guarantee Results 100 %	Penalty Amount \$0.00	Payments To Date \$0.00
	Guarantee Description ESI guarantees at least 99.5% of retail claims will be paid with no errors (incorrect drug, form, strength, or patient). This guarantee is contingent on the claims adjudication system being set up accurately.	Q2 (Apr.2013-Jun.2013)	100 %	\$0.00	\$0.00
		Q3 (Jul.2013-Sep.2013)	100 %	\$0.00	\$0.00
		Q4 (Oct.2013-Dec.2013)	100 %	\$0.00	\$0.00
			Total	\$0.00	

Kentucky Employees' Health Plan – Status Report
Attachment H

4th Quarter, 2013
Page H-4

Express Scripts Performance Guarantees

DIV/Group CKY	Reporting Period Jan.2013 - Dec.2013	Agreement Type PBM Direct
Measurement Frequency: Quarterly		
Guarantee ID 2143684590	Guarantee Name Mail Order Accuracy	Requested Period Q1 (Jan.2013-Mar.2013) 100.0 % \$0.00 \$0.00
Guarantee Description ESI guarantees at least 99.5% accuracy in dispensing the correct drug, at the correct strength and the correct dosage (excluding errors by prescribers).		Q2 (Apr.2013-Jun.2013) 100.0 % \$0.00 \$0.00
Penalty Basis Quarterly		Q3 (Jul.2013-Sep.2013) 100.0 % \$0.00 \$0.00
Penalty Description ESI will put 2% of admin fee as the total amount of penalty at risk quarterly. The standard will be measured and reported quarterly. Penalties will paid annually.		Q4 (Oct.2013-Dec.2013) 100.0 % \$0.00 \$0.00
		Total \$0.00

DIV/Group CKY	Reporting Period Jan.2013 - Dec.2013	Agreement Type PBM Direct
Measurement Frequency: Quarterly		
Guarantee ID 2143684591	Guarantee Name Mail Order TAT - Clean	Requested Period Q1 (Jan.2013-Mar.2013) 0.56 days \$0.00 \$0.00
Guarantee Description ESI guarantees dispensing and shipping (or return) of prescriptions, not subject to intervention, within a quarterly average of 2 business days of receipt of the order at ESI's Pharmacy.		Q2 (Apr.2013-Jun.2013) 0.67 days \$0.00 \$0.00
Penalty Basis Quarterly		Q3 (Jul.2013-Sep.2013) 0.85 days \$0.00 \$0.00
Penalty Description ESI will put \$5.500 as a total amount of penalty at risk quarterly. This standard will be measured and reported quarterly. Penalties will be paid annually.		Q4 (Oct.2013-Dec.2013) 0.98 days \$0.00 \$0.00
		Total \$0.00

Kentucky Employees' Health Plan – Status Report
Attachment H

4th Quarter, 2013
Page H-5

Express Scripts Performance Guarantees

DIV/Group CKY	Reporting Period Jan,2013 - Dec,2013	Agreement Type PBM Direct
------------------	---	------------------------------

Measurement Frequency: Quarterly

Guaranteee ID	2143684592
Guaranteee Name	Mail Order TAT - w/int
Guarantee Description	ESI guarantees dispensing and shipping (or return) of prescriptions, subject to intervention, within a quarterly average of 5 business days of receipt of the order at ESI's Pharmacy.
Penalty Basis	Quarterly
Penalty Description	ESI will put \$5,500 as a total amount of penalty at risk quarterly. This standard will be measured and reported quarterly. Penalties will be paid annually.

Requested Period	Guarantee Results	Penalty Amount	Payments To Date
Q1 (Jan,2013-Mar,2013)	2.06 days	\$0.00	\$0.00
Q2 (Apr,2013-Jun,2013)	2.14 days	\$0.00	\$0.00
Q3 (Jul,2013-Sep,2013)	1.77 days	\$0.00	\$0.00
Q4 (Oct,2013-Dec,2013)	1.96 days	\$0.00	\$0.00
	Total	\$0.00	

DIV/Group CKY	Reporting Period Jan,2013 - Dec,2013
------------------	---

Agreement Type PBM Direct

Measurement Frequency: Quarterly

Guaranteee ID	2143684593
Guaranteee Name	Rebate Allocation
Guarantee Description	ESI guarantees 100% of all rebate dollars will be paid to the Commonwealth of Kentucky within 150 calendar days after the last calendar day of the quarter in which such rebates were received and reconciled.
Penalty Basis	Quarterly
Penalty Description	ESI will put \$11,000 as a total amount of penalty at risk quarterly. The standard will be measured and reported quarterly. Penalties will be paid annually.

Requested Period	Guarantee Results	Penalty Amount	Payments To Date
Q1 (Jan,2013-Mar,2013)	145 days	\$0.00	\$0.00
Q2 (Apr,2013-Jun,2013)	144 days	\$0.00	\$0.00
Q3 (Jul,2013-Sep,2013)	152 days	\$0.00	\$0.00
Q4 (Oct,2013-Dec,2013)	138 days	\$0.00	\$0.00
	Total	\$0.00	

Kentucky Employees' Health Plan – Status Report
Attachment H

4th Quarter, 2013
Page H-6

Express Scripts Performance Guarantees

DIV/Group CKY	Reporting Period Jan,2013 - Dec,2013	Agreement Type PBM Direct
------------------	---	------------------------------

Measurement Frequency: Quarterly

Guaranteee ID	2143684594	Requested Period	Guarantee Results	Penalty Amount	Payments To Date
Guaranteee Name	Computer System Availability	Q1 (Jan,2013-Mar,2013)	99.98 %	\$0.00	\$0.00
Guarantee Description	ESI guarantees 99% system availability of the point of sale adjudication system, except for daily scheduled maintenance and communications failure.	Q2 (Apr,2013-Jun,2013)	100 %	\$0.00	\$0.00
Penalty Basis	Quarterly	Q3 (Jul,2013-Sep,2013)	99.98 %	\$0.00	\$0.00
Penalty Description	ESI will put \$11,000 as a total amount of penalty at risk quarterly. The standard will be measured and reported quarterly. Penalties will be paid annually.	Q4 (Oct,2013-Dec,2013)	100 %	\$0.00	\$0.00
			Total	\$0.00	

DIV/Group CKY	Reporting Period Jan,2013 - Dec,2013	Agreement Type PBM Direct
------------------	---	------------------------------

Measurement Frequency: Quarterly

Guaranteee ID	2143684595	Requested Period	Guarantee Results	Penalty Amount	Payments To Date
Guaranteee Name	Production of Reports	Q1 (Jan,2013-Mar,2013)	MET	\$0.00	\$0.00
Guarantee Description	ESI guarantees that reports provided on a quarterly basis will be produced within 45 days after the end of the quarter.	Q2 (Apr,2013-Jun,2013)	MET	\$0.00	\$0.00
Penalty Basis	Quarterly	Q3 (Jul,2013-Sep,2013)	MET	\$0.00	\$0.00
Penalty Description	ESI will put 2% of admin fee as the total amount of penalty at risk quarterly. This standard will be measured and reported quarterly.	Q4 (Oct,2013-Dec,2013)	MET	\$0.00	\$0.00
			Total	\$0.00	

Kentucky Employees' Health Plan – Status Report
Attachment H

4th Quarter, 2013
Page H-7

Express Scripts Performance Guarantees

DIV/Group CKY	Reporting Period Jan,2013 - Dec,2013	Agreement Type PBM Direct
Measurement Frequency: Quarterly		
Guarantee ID 2143684596	Guarantee Name Network Access	Requested Period
	ESI guarantees that at least 95% of members based on client supplied eligibility will have access to a retail network pharmacy within a five-mile radius of their residence if there is an existing pharmacy within that radius.	Q1 (Jan,2013-Mar,2013) 99.50 %
		\$0.00 \$0.00
		Q2 (Apr,2013-Jun,2013) 99.50 %
		\$0.00 \$0.00
		Q3 (Jul,2013-Sep,2013) 99.30 %
		\$0.00 \$0.00
		Q4 (Oct,2013-Dec,2013) 99.40 %
		\$0.00 \$0.00
		Total \$0.00
Penalty Basis Quarterly	Penalty Description ESI will put \$11,000 as a total amount of penalty at risk quarterly. This standard will be measured and reported quarterly using information provided by GeoAccess or similar service. Penalties will be paid annually.	

DIV/Group CKY	Reporting Period Jan,2013 - Dec,2013	Agreement Type PBM Direct
Measurement Frequency: Quarterly		
Guarantee ID 2143684597	Guarantee Name Account Management - Other	Requested Period
	ESI guarantees that all error transactions from the data transfer sent to the data management vendor will be corrected and returned to the data management vendor via data transfer within 2 business days of receipt of the error report. See comments.	Q1 (Jan,2013-Mar,2013) MET
		\$0.00 \$0.00
		Q2 (Apr,2013-Jun,2013) MET
		\$0.00 \$0.00
		Q3 (Jul,2013-Sep,2013) MET
		\$0.00 \$0.00
		Q4 (Oct,2013-Dec,2013) NOT MET
		\$0.00 \$0.00
Penalty Basis Quarterly	Penalty Description ESI will put 1% of admin fee as the total amount of penalty at risk quarterly. This standard will be measured and reported quarterly. Penalties will be paid annually.	Total \$0.00

Kentucky Employees' Health Plan – Status Report
Attachment H

4th Quarter, 2013
Page H-8

Express Scripts Performance Guarantees

DIV/Group CKY	Reporting Period Jan.2013 - Dec.2013	Agreement Type PBM Direct
------------------	---	------------------------------

Measurement Frequency: Quarterly

Guaranteee ID	2143684598	Requested Period	Guarantee Results	Penalty Amount	Payments To Date
Guaranteee Name	Account Management - Other	Q1 (Jan.2013-Mar.2013)	MET	\$0.00	\$0.00
Guaranteee Description	ESI guarantees that all claims and utilization data will be transferred to the medical vendor on a bi-weekly basis.	Q2 (Apr.2013-Jun.2013)	MET	\$0.00	\$0.00
Penalty Basis	Quarterly	Q3 (Jul.2013-Sep.2013)	MET	\$0.00	\$0.00
Penalty Description	ESI will put 1% of admin fee as the total amount of penalty at risk quarterly. This standard will be measured and reported quarterly.	Q4 (Oct.2013-Dec.2013)	NOT MET	\$0.00	\$0.00
			Total	\$0.00	

DIV/Group CKY	Reporting Period Jan.2013 - Dec.2013	Agreement Type PBM Direct
------------------	---	------------------------------

Measurement Frequency: Quarterly

Guaranteee ID	2143684599	Requested Period	Guarantee Results	Penalty Amount	Payments To Date
Guaranteee Name	Account Management - Other	Q1 (Jan.2013-Mar.2013)	MET	\$0.00	\$0.00
Guaranteee Description	ESI guarantees consistent and accurate data destination to Commonwealth's Health Insurance Management Vendor on or before the 11th business day of the month following the calendar quarter.	Q2 (Apr.2013-Jun.2013)	MET	\$0.00	\$0.00
Penalty Basis	Quarterly	Q3 (Jul.2013-Sep.2013)	MET	\$0.00	\$0.00
Penalty Description	ESI will put 1% of admin fee plus the full cost of Health Information Vendor's rebuild as a total amount of penalty at risk quarterly. This standard will be measured and reported quarterly. Penalties will be paid annually.	Q4 (Oct.2013-Dec.2013)	NOT MET	\$0.00	\$0.00
			Total	\$0.00	

Kentucky Employees' Health Plan – Status Report
Attachment H

4th Quarter, 2013
Page H-9

Express Scripts Performance Guarantees

DIV/Group CKY	Reporting Period	Agreement Type	
Measurement Frequency: Annual			
Guarantee ID	2211109649		
Guarantee Name	Network Management and Member Communication Process		
Guarantee Description	ESI agrees, for each network management project with Member communications specific to the Commonwealth of Kentucky, to achieve an accuracy rate of 99% in identifying the targeted list of Members to receive the pharmacy-related communications. If ESI fails to meet this accuracy rate and such failure causes complications to the Plan, Members, or Pharmacies serving the Plan, ESI will pay the assigned penalty. ESI will be responsible for the overall content and style of pharmacy and member communications and the targeted member list; requiring documented approval from the Commonwealth of Kentucky.		
Penalty Basis	Annually		
Penalty Description	\$50,000.		
Requested Period	Guarantee Results	Penalty Amount	Payments To Date
Annually	NA	\$0.00	\$0.00
	Total	\$0.00	